Simulation and observations of stratospheric aerosols
from the 2009 Sarychev volcanic eruption

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We used a general circulation model of Earth’s climate to conduct simulations of the 12-16 June 2009 eruption of Sarychev volcano (48.1°N, 153.2°E). The model simulates the formation and transport of the stratospheric sulfate aerosol cloud from the eruption and the resulting climate response. We compared optical depth results from these simulations with limb scatter measurements from the Optical Spectrograph and InfraRed Imaging System (OSIRIS), in situ measurements from balloon-borne instruments lofted from Laramie, Wyoming (41.3°N, 105.7°W), and five lidar stations located throughout the Northern Hemisphere. The aerosol cloud covered most of the Northern Hemisphere, extending slightly into the tropics, with peak backscatter measured between 12 and 16 km in altitude. Aerosol concentrations returned to near background levels by Spring, 2010. After accounting for expected sources of discrepancy between each of the data sources, the magnitudes and spatial distributions of aerosol optical depth due to the eruption largely agree. In conducting the simulations, we likely overestimated both particle size and the amount of SO₂ injected into the stratosphere, resulting in modeled optical depth values that were a factor of 2-4 too high. Model results of optical depth due to the eruption show a peak too late in high latitudes and too early in low latitudes, suggesting a problem with stratospheric circulation in the model. The model also shows a higher annual decay rate in optical depth than is observed, showing an inaccuracy in seasonal deposition rates. The modeled deposition rate of sulfate aerosols from the Sarychev eruption is higher than the rate calculated for aerosols from the 1991 eruption of Mt. Pinatubo.
1. Introduction

Sarychev Volcano (48.1°N, 153.2°E) in the Kuril Islands, Russia, erupted (Figure 1) over the period 12-16 June 2009, injecting approximately 1.2 Tg of sulfur dioxide into the lower stratosphere at an altitude of approximately 11-16 km [Haywood et al., 2010]. This was the second major stratospheric injection of SO₂ in the span of a year, the previous one being the eruption of Kasatochi on 8 August 2008 [Kravitz and Robock, 2010]. The largest eruptions prior to these were Mount Pinatubo and Mount Hudson in 1991 [Carn and Krueger, 2004].

The climate effects of volcanic eruptions are well established [Robock, 2000]. These effects are due to the production of a large layer of sulfate aerosols in the stratosphere, which efficiently backscatters solar radiation, effectively increasing the planetary albedo and causing cooling at the surface. For these radiative effects to accumulate, the aerosols must remain in the atmosphere for an extended period of time. Stratospheric volcanic aerosols have an average e-folding lifetime of 1 year [Budyko, 1977; Stenchikov et al., 1998; Gao et al., 2007]. Were the injection to occur only into the troposphere, the climate effects would be greatly muted, as the atmospheric lifetime of tropospheric aerosols is about a week [Seinfeld and Pandis, 2006].

Determining the climate effects requires an accurate assessment of the amount of sulfate aerosols created in the stratosphere, as well as the spatial and temporal patterns of the aerosol layer. General circulation models are useful predictive tools for estimating volcanic effects, and they have been used with great success in replicating the effects of past volcanic eruptions [e.g., Oman et al., 2006a]. However, any model can benefit from further testing and improvement. As such, we use the recent eruption of Sarychev to test one climate model’s ability to accurately create and transport sulfate aerosols.
Kravitz et al. [2010] compared modeled results of sulfate aerosol optical depth with satellite and ground-based retrievals from the Kasatochi eruption. Although the spatial pattern of aerosol distributions in the model and the observations largely agreed, they discovered a discrepancy of an order of magnitude in the actual values. They were able to explain some of this discrepancy, but a factor of 2-4 remained unexplained. A similar comparison between model results and observations of the eruption of Sarychev will allow us to expand this study and better analyze the discrepancy. As in Kravitz et al. [2010], a large part of our comparison will be with the Optical Spectrograph and InfraRed Imaging System (OSIRIS), a Canadian instrument on the Swedish Odin satellite [Llewellyn et al., 2004]. Launched in 2001 and still currently operational, OSIRIS measures the vertical profile of limb-scattered sunlight spectra. Previous work has demonstrated the capability of retrieving information about the vertical distribution of stratospheric aerosol from limb scatter measurements [Bourassa et al., 2007, 2008a; Rault and Loughman, 2007; Tukiainen et al., 2008].

Our second means of comparison is with in situ measurements of aerosol size and concentration from balloon-borne instruments that are launched three or four times a year from Laramie, Wyoming (41.3°N, 105.7°W). Past use of this very long term data set in analyzing volcanic aerosol layers in the stratosphere is well established [e.g., Deshler et al., 2006]. We suspect one of the main sources of discrepancy in Kravitz et al. [2010] was inaccurate estimation of aerosol size, which would have a significant impact on our determination of aerosol optical depth, as we describe in Section 3. Direct in situ measurements of aerosol particle size help us address this hypothesis and provide additional useful data. We discuss these measurements in more detail in Section 4.
Finally, compare the model results to data from multiple ground-based lidar stations. We use measurements of aerosol optical depth and particle size, where available, from an elastic backscattering lidar in Hefei, China (31.9°N, 117.1°E), two multi-wavelength aerosol Raman lidars in Leipzig, Germany (51.4°N, 12.4°E) and Ny-Ålesund, Svalbard (78.9°N, 11.9°E), a lidar in Halifax, Nova Scotia (44.6°N, 63.6°W) at Dalhousie University, and a lidar at the Mauna Loa Observatory (19.5°N, 155.6°W). More description of these various instruments can be found in Section 5. The locations of all of these data sources are shown in Figure 2.

The primary purpose of this paper is to explore the differences between modeled sulfate aerosol optical depth and observed optical depth from the Sarychev eruption to analyze possible sources of discrepancy between the two. A secondary purpose is to document the Sarychev eruption with an extensive set of observations. We also want to continue the process of comparison of the model results to the OSIRIS retrievals that was begun in Kravitz et al. [2010], further showing indispensability of the OSIRIS measurements as a global atmospheric data source.

2. Climate Model

To complete the climate modeling aspect of this study, we simulated the climate response with a coupled atmosphere-ocean general circulation model. We used ModelE, which was developed by the National Aeronautics and Space Administration Goddard Institute for Space Studies [Schmidt et al., 2006]. We used the stratospheric version with 4° latitude by 5° longitude horizontal resolution and 23 vertical levels up to 80 km. It is fully coupled to a 4° latitude by 5° longitude dynamic ocean with 13 vertical levels [Russell et al., 1995]. The aerosol module [Koch et al., 2006] accounts for SO₂ conversion to sulfate aerosols, and the radiative forcing
(also called “adjusted forcing” in Hansen et al. [2005], which is the standard definition of radiative forcing as adopted by the IPCC [2001]) of the aerosols is fully interactive with the circulation. The dry aerosol radius is specified to be 0.25 µm, and the model hydrates these to form a distribution with a median radius of approximately 0.30-0.35 µm, where aerosol growth is prescribed by formulas in Tang [1996]. This distribution is consistent with the findings of Stothers [1997], and was also used in the simulations of the eruptions of Katmai [Oman et al., 2005] and Kasatochi [Kravitz et al., 2010]. For more details on the specifications used in these simulations, see Kravitz et al. [2010], which used the same modeling conditions.

Our control ensemble consisted of a 20-member collection of 4-year runs (2007-2010), which involved increasing greenhouse gas concentrations in accordance with the Intergovernmental Panel on Climate Change’s A1B scenario [IPCC, 2007]. No temperature trend resulting from model spin-up was detected, due to corrective efforts utilizing previously run initial conditions and sufficient tuning.

To examine the effects of the volcanic eruptions, we used a 20-member ensemble of 4-year simulations covering the same time period. In these runs, greenhouse gas concentrations increased in the same manner as in the control runs. We also injected 1.5 Tg of SO₂ into the grid box centered at 52°N, 172.5°W, distributed equally in the three model layers that cover an altitude of 10-16 km, on 12 June 2008. We recognize that the coordinates, amount, and year used in this modeling study are not the same as the actual eruption. The reason for choosing these particular values is to compare our simulations with those of the eruption of Kasatochi Volcano on 8 August 2008 for which these specifications are valid [Kravitz et al., 2010; Kravitz and Robock, 2010]. Due to the distribution of the sulfate aerosols by the general circulation of the atmosphere, our choice of spatial coordinates in simulating the eruption will not affect the
results. Also, the difference in atmospheric composition in the model between the years 2008 and 2009 is negligible, and any differences in results would be due to noise. We have adjusted the labeling in our figures to make the eruption appear as if we simulated it in 2009, and for the reasons we discuss here, this will not be detrimental to our conclusions. According to Haywood et al. [2010], the results of which appeared after we completed our model runs, the simulations reflect an incorrect choice of the amount of SO$_2$ that was injected into the lower stratosphere. We address this later when we discuss the discrepancy between our modeled results and the observations of aerosol optical depth.

ModelE has been shown to be realistic in simulating past volcanic eruptions. Simulations of the climate response to volcanic eruptions with this model have been conducted for the eruptions of Laki in 1783-1784 [Oman et al., 2006a, 2006b], Katmai in 1912 [Oman et al., 2005], and Pinatubo in 1991 [Robock et al., 2007]. In all of these cases, ModelE simulations agreed with observations and proxy records to such a degree that we are confident in this model’s ability to predict the climatic impact of volcanic eruptions, meaning model representation of aerosol optical depth is accurate. Kravitz et al. [2010] also found the temporal and spatial patterns of optical depth generated by ModelE to be consistent with those measured by OSIRIS.

3. Aerosol Optical Depth: Model vs. OSIRIS

Kravitz et al. [2010] performed an extensive comparison between the modeled sulfate aerosol optical depth and the retrievals obtained by OSIRIS. They encountered a discrepancy of an order of magnitude, some of which was attributed to various assumptions made in both the
model and the radiative transfer of the satellite instrument. The eruption of Sarychev gives us another opportunity to further investigate this discrepancy.

Figures 3 and 4 show the model calculations of the anomaly in spatial and temporal extent of total sulfate aerosol optical depth (mid-visible, $\lambda = 550$ nm). Anomaly is defined as the difference between the volcano ensemble and the control ensemble, thus removing the contribution to optical thickness from tropospheric sulfate aerosols. Therefore, we refer to these plots as volcanic sulfate aerosol optical depth. The largest anomaly of nearly 0.1 in Figure 3 occurs in August after the eruption. McKeen et al. [1984] report the chemical lifetime of SO$_2$ to be 30-40 days, giving an $e$-folding lifetime of 10-14 days. However, the $e$-folding conversion times for aerosols from the 1982 eruption of El Chichón and the 1991 eruption of Mt. Pinatubo were 30-40 days [Heath et al., 1983; Bluth et al., 1992, 1997; Read et al., 1983], giving a chemical lifetime of 90-120 days. Carslaw and Kärcher [2006] also calculate an $e$-folding time of the chemical conversion rate to be 30 days. The actual conversion rate depends on details specific to each eruption, but this peak anomaly in August is consistent with these reported values of chemical lifetime.

The bulk of the aerosol cloud does not pass south of 30°N, which is consistent with Stothers [1996], although smaller values of sulfate optical depth are detectable in the Northern Hemisphere tropics. Large scale deposition has removed most of the volcanic aerosols by February after the eruption, with nearly all remnants disappearing before April. Radiative forcing due to the sulfate aerosols becomes smaller in magnitude than –0.25 W m$^{-2}$ well before this time, dropping below this threshold even before winter.

Vertical profiles of stratospheric aerosol extinction were retrieved from the OSIRIS measurements at a wavelength of 750 nm using the SASKTRAN forward model [Bourassa et
Figure 5 shows a comparison between OSIRIS retrievals and climate model results, divided into three latitude bins. In all latitude bins, background levels are very similar between the model average and OSIRIS, with differences in $\tau$ within ±0.002. The OSIRIS background levels may be slightly higher in the Arctic bin (70°N to 80°N) due to assumptions made in model levels of sulfate aerosols, or perhaps the model has a slightly higher deposition rate than is found in the atmosphere, resulting in a lower equilibrium level of background aerosol. We discuss later deposition rates from the eruption in more detail. The model average is higher in June in the middle bin (50°N to 60°N) than the OSIRIS retrievals because the model output is given in monthly averages, and by late June, some of the aerosols due to Sarychev would already have formed.

In the middle bin, peak optical depth occurs in late July, approximately the same time in both the model and OSIRIS retrievals. This implies an $SO_2$ chemical lifetime of approximately 40-50 days, which is in line with the results of McKeen et al. [1984]. Table 1 shows the comparison of decay in optical depth. The model tends to have autumn deposition rates that are higher than are measured by OSIRIS, based on a linear fit of the data. However, in the Arctic bin, peak optical depth occurs much later for the model, and in the near-tropical bin (20°N to 30°N), the peak occurs earlier. This is unlikely due to an incorrect conversion time from $SO_2$ to sulfate, as a similar problem would be noticeable in all three bins. A likely candidate is improperly calculated stratospheric circulation in the model, which distributes the aerosols to the tropics slightly too quickly and to high latitudes too slowly. However, we are unable to accurately diagnose the cause of this problem at this time.

Similar to the comparison of modeled and retrieved aerosol optical depth for Kasatochi in Kravitz et al. [2010], the peak optical depth calculated by ModelE is nearly one full order of
magnitude larger than the retrievals obtained from OSIRIS in the Arctic bin and approximately 5 times larger in the middle bin. In Kravitz et al., several possible sources of discrepancy were outlined. One prominent source is the difference in wavelength used to calculate optical depth. ModelE calculates optical depth in the mid-visible ($\lambda = 550$ nm), and OSIRIS retrieves in the near infrared ($\lambda = 750$ nm). Since the radiative effects of the stratospheric aerosols follow an Ångstrom relationship, we would expect this to affect our results.

In ModelE, we assumed an aerosol dry radius of 0.25 $\mu$m, consistent with past data from volcanic eruptions as found by Stothers [1997]. We used this value for the current set of simulations, and it was also used in the simulations of Kasatochi [Kravitz et al., 2010] and Katmai [Oman et al., 2005]. Based on ambient relative humidity values, aerosols of this initial size will increase in radius by at most 20-40%, according to formulas by Tang [1996]. These formulas are explicitly used in ModelE and are thus suitable for our calculations. This results in a hydrated aerosol median radius of 0.30-0.35 $\mu$m.

According to the ModelE code, an aerosol radius of this size would have an Ångstrom exponent of 0.75-1.05, resulting in OSIRIS retrievals being as little as 78% of ModelE results, based solely on using a different wavelength. More succinctly, the radiation code in ModelE calculates

$$\frac{AOD \text{ at } 750 \text{ nm and } r_{\text{dry}} = 0.25 \ \mu m}{AOD \text{ at } 550 \text{ nm and } r_{\text{dry}} = 0.25 \ \mu m} \approx 0.78$$

Schuster et al. [2006] and Eck et al. [1999] have measured Ångstrom exponents of this value to be consistent with the particle sizes that we have assumed in our simulations.
This alone does not fully explain the discrepancy between ModelE results and OSIRIS retrievals. One additional source of error could be in assumed particle size. To properly calculate optical depth, the model requires an assumption of particle size. Moreover, the model assumes a unimodal gamma distribution, whereas reality may not have such a clearly defined distribution. Haywood et al. [2010] indeed found two aerosol modes in a lognormal distribution: an Aitken mode with effective radius 0.0065 µm and an accumulation mode of effective radius 0.095 µm. ModelE cannot model aerosols with a dry radius below 0.01 µm, so our model results are incapable of capturing this smaller mode, although due to the very small size of these particles, contributions to optical depth from the Aitken mode are likely not significant. Even in the accumulation mode, the results of Haywood et al. suggest a gross overestimation of particle size in our modeling study.

Russell et al. [1996] calculated a fit to a variety of measurements of aerosol effective radius for the eruption of Pinatubo. In the first four months of the eruption, the effective radius increased linearly from a background level of approximately 0.12 µm in May before the eruption to 0.34 µm in September after the eruption, reaching a peak of 0.56 µm in April 1992. This is not perfectly comparable with calculations of non-area-weighted radius, so a conversion must be made. For a lognormal distribution, which is applicable to volcanic aerosols,

\[
r_{\text{eff}} = r_g \exp \left[ \frac{5}{2} \left( \ln \sigma_g \right)^2 \right]
\]

where \(r_g\) is essentially the median radius, and \(\sigma_g\) is the distribution width. Although the eruption of Pinatubo showed a clearly bimodal aerosol distribution structure for most of the aerosol lifetime [Russell et al., 1996], ModelE is only capable of representing a unimodal distribution, so
this is a good approximation. Because \( \left( \ln \sigma_g \right)^2 \geq 0, \exp \left[ \frac{5}{2} \left( \ln \sigma_g \right)^2 \right] \geq 1, \) meaning \( r_{\text{eff}} \) is always at least as large as \( r_g. \)

Simulations of the eruption of Pinatubo performed with ModelE [Oman et al., 2006] used a dry radius of 0.35 µm, which results in a hydrated aerosol median radius of 0.47-0.52 µm. These results are consistent with Stothers [2001], but they are much higher than the observations, especially in the few months just after the eruption. This raises the possibility that, despite being consistent with pyrheliometric data, the model tends to overestimate aerosol size.

To capture this possibility, we performed the same calculations using ModelE's radiation code, but specifying a dry radius of 0.08 µm, which is approximately 1/3 our initial estimate of dry radius. This results in a hydrated aerosol radius of approximately 0.09-0.11 µm, again based on the formulas of Tang [1996]. We chose this radius to analyze the balloon-borne measurements of aerosol median radius, which are discussed in Section 4. This much smaller radius results in an Ångstrom exponent of approximately 2, as well as the relation

\[
\frac{\text{AOD at 750 nm and } r_{\text{dry}} = 0.08 \ \mu m}{\text{AOD at 550 nm and } r_{\text{dry}} = 0.08 \ \mu m} \approx 0.38
\]

This clearly shows the importance of an accurate estimate of the aerosol radius. An incorrect estimation of the aerosol radius in the model would mean a larger abundance of smaller particles and many fewer larger particles than the distribution we originally calculated. Using the Mie theory formulation of optical depth,
\( \tau = \int_{0}^{\infty} \int_{0}^{\infty} Q_{\text{ext}}(m,r) \cdot \pi r^2 \cdot N(r) \, dr \, dz \)

this amounts to larger values of \( N \) for smaller values of \( r \), and vice versa. Also, since scattering is more efficient for smaller particles, as \( r \) decreases, \( Q_{\text{ext}} \) increases. Determining the cumulative effect of these changes without re-running the model simulations is difficult due to the dependence of the shape of the aerosol distribution on the initial dry radius, as well as available humidity that can contribute to aerosol growth, which has a large dependence upon model dynamics. However, the effects of aerosol size alone can contribute another factor of 2-2.5 beyond the estimates in Kravitz et al. [2010] of the discrepancy found in the Kasatochi comparison.

To some degree, particle size can also have a systematic impact on the OSIRIS results. In order to retrieve the aerosol extinction profile from limb scatter measurements the shape of the scattering phase function must be known or assumed. For the OSIRIS retrievals, a Mie code is used to calculate the scattering phase function for a log-normal particle size distribution. In this case, the OSIRIS retrievals are performed using the scattering phase function for a median, or mode, radius of 0.08 \( \mu \text{m} \) and a mode width of 1.6. Using the above definition, these values correspond to an effective radius of 0.14 \( \mu \text{m} \). These are the same assumptions used for the OSIRIS retrievals of aerosol extinction following the Kasatochi eruption shown in Kravitz et al. [2010] and Bourassa et al. [2010]. As discussed in detail by Bourassa et al. [2007], uncertainty in the particle size distribution systematically affects the retrieved extinction. McLinden et al. [1999] show that for larger particle sizes, most likely in volcanically modified conditions, the phase function remains relatively stable at 750 nm, and systematic error remains on the order a few percent. However, for dramatically larger particle sizes the impact on the OSIRIS retrievals
could be as large as 30 or 40 percent adding an additional factor of uncertainty due to particle size in the comparison between OSIRIS and the modeled optical depths.

Another reason explored in Kravitz et al. [2010] is the lower altitude level used to calculated the stratospheric aerosol optical depths from the OSIRIS retrieved extinction profiles. The lower bound is chosen to be the $\theta = 380$ K level of potential temperature. This assumption is made to avoid attempting to retrieve extinction from clouds, dust, and other scattered signal that are not stratospheric sulfate. However, using this as the lower bound for measurements has the potential to reduce optical depth measurements, as OSIRIS will not account for aerosols between the $\theta = 380$ K line and the true thermal tropopause. Figure 6 again shows optical depth, taking into account this new lower bound, as well as combining the effects of the Ångstrom exponent described above. Compared with Figure 4, optical depth in the midlatitudes and subtropics is largely unchanged, with some areas of slight increase, indicating the thermal tropopause is actually higher than the $\theta = 380$ K line. However, high latitude optical depth patterns are much lower, sometimes by more than a factor of 2, indicating OSIRIS possibly underestimates high latitude optical depth by assuming too high a base altitude for measurement. Combining these results with scaling due to wavelength, as well as the possibility of using an incorrect aerosol radius, gives the bottom left and top right panels of Figure 6.

Haywood et al. [2010] reported the upper tropospheric/lower stratospheric loading due to Sarychev to be 1.2 Tg of SO$_2$. Although we were unable to obtain other firm estimations for this value, this indicates our modeled aerosol optical depth values are overestimated by 25%. Arlin Krueger [personal communication, 2010] estimated the atmospheric loading to be 1.5 Tg, which was exactly his estimate of the loading due to Kasatochi. Kai Yang’s group at NASA Goddard Earth Sciences and Technology Center reported the atmospheric loading to be near 2.0 Tg SO$_2$. 
A. Krueger, personal communication], which was the same value they reported for the eruptions of Okmok and Kasatochi [Yang et al., 2010]. Since the model results show higher optical depths than the OSIRIS retrievals, we suspect the model overestimated the atmospheric loading, so, for the purposes of calculating discrepancy, we will scale our model results by 0.8. The results of this are shown in the bottom right panel of Figure 6. This panel shows that the maximum overestimation of aerosol optical depth by the model due to these reasons is quite large, although not as large as the overestimation of optical depth due to Kasatochi in Kravitz et al. [2010].

Figure 7 shows the combination of these three sources of error in comparison with OSIRIS retrievals. When these potential errors are taken into account, the fit of the model to the observations of the volcanic aerosols is quite good. Under this scaling, the fit to the background level of stratospheric aerosols is very poor, which is expected, since the assumptions we made regarding overestimation are specific to volcanic aerosols. Also, the mismatch of aerosol decay rates becomes visibly clear. The decay rate in the summer appears to be good, although the small amount of data is not conducive to the construction of a linear fit. However, as in Figure 5, the autumn decay rate in the model appears to be larger than is observed. Also more apparent is the peak in subtropical optical depth, which is much larger and much earlier than is observed. Kravitz et al. [2010] discovered evidence for additional sources of discrepancy in their comparison, some of which may also be relevant to the eruption of Sarychev. Although we cannot quantify the degree to which they might affect our results, we can briefly discuss them.

One of the largest potential sources of discrepancy is that not all of the SO2 may have been injected above the tropopause, meaning some of the aerosols would have formed in the troposphere and deposited very rapidly. This leaves the option that the model’s overestimation of SO2 loading is even greater than is discussed above.
Additionally, as was found by Schmale et al. [2010] for the eruption of Kasatochi, not all of the volcanic aerosol layer is necessarily composed of sulfate, which will affect the radiative properties of the aerosol layer. Schmale et al. also discovered some SO₂ remained as late as three months after the eruption, possibly indicating overly rapid conversion of SO₂ into sulfate in the model. Both of these would indicate a potential source of additional overestimation of sulfate aerosol optical depth by the model.

Finally, some additional possible sources of discrepancy are related to possible inaccurate representations of removal processes in the model. The model can potentially have an incorrect rate of aerosol deposition, although our comparison in Section 3 suggests this is a negligible explanation of discrepancy. More unknown is the phase of the QBO and its effects on the removal efficiency, and the phase and magnitude of tropical modes, which we would not necessarily expect the model to accurately represent, given the large natural variabilities of these processes.

4. Comparison using in situ aerosol profiles

Our second means of comparison with model output is in situ aerosol measurements from balloon-borne instruments lofted from Laramie, Wyoming (41.3°N, 105.7°W). Use of this very long-term data source has been well established for both volcanic eruptions and background stratospheric aerosol concentrations [e.g., Deshler et al., 2006]. The size resolved number concentration measurements are fit to either unimodal or bimodal lognormal size distributions of the form [e.g., Hoffmann and Deshler, 1991; Deshler et al., 1993]

\[
n(r) = \sum_{i=1}^{2} \frac{N_i}{\ln(\sigma_i)\sqrt{2\pi}} \cdot \frac{1}{r} \exp \left[ -\frac{\ln^2(r/r_i)}{2\cdot\ln^2(\sigma_i)} \right]
\]
where $N_i$ is aerosol number density, $r_i$ is the aerosol median radius, and $\sigma_i$ is the standard deviation of the distribution. Deshler et al. [2003] provide more details on the specifics of the measurements, their uncertainties, and the derivation of size distributions and their moments. Measurement uncertainties lead to an error of the fits by $\pm 30\%$ for the median radius, $\pm 20\%$ for the standard deviation, and $\pm 40\%$ for surface area and volume. In the aerosol measurements following the Sarychev eruption, the larger aerosol mode has such a low number concentration that the fit is effectively unimodal. Deshler et al. [1997] showed the Pinatubo aerosols developed a clearly bimodal structure approximately 40 days after the eruption, so perhaps the Sarychev eruption did not eject enough material to create this larger mode.

Figure 8 shows in situ measurements from 22 June 2009, ten days after the initial eruption of Sarychev. For comparison, it also shows results from 3 July 2007, over a year after Soufriere Hills and prior to Kasatochi. This sounding was chosen because it was approximately the same time of year as the 22 June 2009 sounding, has a similar temperature profile, and was a relatively clean period for volcanic eruptions. We chose a sounding within close temporal proximity to 2009, as the stratospheric aerosol layer has become increasingly thick since approximately 2000, so only recent soundings would be suitable for comparison [Hofmann et al., 2009].

The 2009 measurements show no significant differences from the 2007 measurements. If the chemical lifetime of SO$_2$ for this eruption is on the lower end of the estimates given in the previous section, similar to the values reported by McKeen et al. [1984], then a significant amount of aerosols from Sarychev would have been formed by 22 June 2009. Moreover, back-
trajectory calculations show the volcanic plume could have reached Laramie by this time

[Haywood et al., 2010].

Radiosondes are launched every 12 hours from Sakhalin Island (47.0°N, 142.7°E), which is very close to the eruption site of Sarychev (48.1°N, 153.2°E). The initial plume height of 11-16 km [Haywood et al., 2010] corresponds to a potential temperature range of 342-400 K, according to radiosonde data from 00Z 16 June 2009 [Durre et al., 2006]. This station is southwest of the eruption site, so this result should not have been altered by the eruption, due to the predominating westerlies at this latitude. Due to the stratosphere’s inherent stability, stratospheric motion is often confined to isentropic layers [Holton, 2004]. Although cross-isentropic motion is possible, due to diabatic heating or lofting of the isentropes due to the pressure wave of the volcanic eruption, it is plausible that the volcanic plume remained confined to this range of potential temperatures through its passage over Laramie. The 22 June 2009 sounding reports the potential temperature range of 342-400 K corresponds to an altitude range from below the tropopause up to 16 km. Therefore, it is unlikely that the measurements from 22 June 2009 show any aerosols from the Sarychev eruption, as these altitudes show little difference from background levels.

Figure 9, similar to Figure 8, shows results from measurements on 7 November 2009, five months after the eruption, and from 17 October 2005. The 2005 measurements were chosen because 2005 was a quiescent year for stratospheric aerosols, yet according to Hofmann et al. [2009], was still close enough in time to the eruption to have comparable levels of background stratospheric aerosol, and the time of year and tropopause heights were similar in both profiles. The aerosols have had time to age since the June sounding, resulting in much larger volumes and surface areas. The aerosols have also settled, which is evidenced by a large area of increased
volume and surface area from the tropopause (13.0 km at this time and latitude) to 19.0 km in
altitude, with a strong peak at 14.0 km. The reported median radius at 14 km in altitude is
approximately 0.07-0.08 µm, which motivates our choice of radius in the calculations in Section
3.

Deshler et al. [1997] calculated a subsidence rate in the Southern Hemisphere of 3-4 km
a⁻¹ for the stratospheric aerosols from Pinatubo, which is consistent with the fall rate of a particle
of radius 0.5 µm. This is likely much larger than the Sarychev aerosols, implying that
gravitational settling mechanisms would result in a much slower fall speed for the Sarychev
aerosols. However, assuming the Pinatubo deposition rate for the eruption of Sarychev, the
aerosol plume would have descended no more than 1.5-2 km over the period June to November
and 2.5-3 km over the period June to March. Therefore, to explain the large peaks in Figure 9 at
14.0 km, the initial plume height cannot have been greater than 16.0 km in altitude. This is again
consistent with the results in Haywood et al. [2010]. However, if the initial plume height were
16.0 km, at the same deposition rate, the aerosols could not have descended below 13.0 km by
March 2010. The tropopause height in March was measured to be 11.0 km, and no significant
stratospheric aerosol layers were detected at this time in the model results or any of the data
sources, meaning all aerosols had been deposited out of the atmosphere and thus must have
descended lower than this height. Thus, assuming a rate of deposition identical to the Pinatubo
rate is contrary to our findings, meaning it is likely that the Sarychev aerosols have a higher
deposition rate than the Pinatubo aerosols.

This faster deposition rate can be explained by a number of factors. A large part of the
atmospheric lifetime of stratospheric aerosols is poleward transport, where large scale descent of
air in the winter is responsible for removal of the aerosols [e.g., Hamill et al., 1997]. If the
aerosols already begin at high latitudes, as in the case of Sarychev, the absence of the need for poleward transport will necessarily decrease the atmospheric lifetime. Oman et al. [2005] obtained similar results in their simulations, as they found an $e$-folding lifetime of 1 year for aerosols from Pinatubo, a tropical eruption, and 8-9 months for aerosols from Katmai, a high latitude eruption. Moreover, a large part of the aerosol plume from Sarychev is concentrated in the midlatitude storm tracks, where tropopause folding is responsible for even more removal of stratospheric aerosols [e.g. Kravitz et al., 2009]. Finally, the relatively small amount of aerosols created was insufficient to avoid these deposition factors, meaning very little aerosol remained in the stratosphere by the following spring. Conversely, Pinatubo was a very large eruption, injecting gases and particles to much higher altitudes, and thus aerosols remained in the stratosphere for multiple years afterwards. The processes controlling aerosol deposition at higher altitudes may be weighted significantly different than processes near the tropopause where dynamics is more of a factor. These differences may account for the calculation of a slower deposition rate from Pinatubo [Deshler et al., 1997].

Mie theory was used to calculate aerosol extinction profiles and optical depth at 758 nm from the in situ aerosol profiles on 17 October 2005, 22 June 2009, and 7 November 2009, Figure 10. The profiles and optical depths on 17 October and 22 June are quite similar. In contrast, the 7 November 2009 sounding shows a stratospheric optical depth of 0.0044, over three times higher than observed earlier. The increase in optical depth on 7 November 2009 is from an increase in aerosol between the tropopause and 20 km. This increase in aerosol optical depth by more than a factor of three is due to the eruption of Sarychev. OSIRIS measurements for the latitude bin $40^\circ$N-$45^\circ$N and for the week of 7 November 2009 give an optical depth of 0.0109, which is over a factor of two greater than the in situ measurements. This is nearly within
the in situ measurement error of ± 40% which applies to any aerosol moment calculated. After accounting for the sources of discrepancy we discuss in Section 3, as well as the uncertainty in the in situ measurements, the model and in situ measurements are relatively similar. The uncertainty is too large for us to reliably determine the degree to which they disagree.

5. Further comparison using lidar data

To better characterize our results, our simulations will be compared with observations from five ground-based lidar sources in Hefei, China (31.9°N, 117.1°E), Leipzig, Germany (51.4°N, 12.4°E), Ny-Ålesund, Svalbard (78.9°N, 11.9°E), Halifax, Nova Scotia, Canada (44.6°N, 63.6°W), and Mauna Loa, Hawaii (19.5°N, 155.6°W) (Figure 2).

The lidar in Hefei is an elastic backscattering lidar for profiling aerosol backscatter coefficient at 532 nm based on a Nd:YAG laser with a second harmonic generator. Aerosol coefficient profiles below about 25 km above ground level were derived from lidar data using the Fernald method with an assumed lidar ratio of 50 sr.

The results from this lidar (Figure 11) show a peak in backscatter in September 2009 at an altitude of 18-19 km, which corresponds to an aerosol optical depth of approximately 0.014. All profiles are very similar above 21 km in altitude, suggesting this as an upper bound for the plume height. July 2009 shows a slight peak, whereas the profile for June 2009 is nearly identical to months prior. All backscatter profiles from December 2009 onward are similar to the background. However, aerosol optical depth measurements from 2010 are slightly larger than in early 2009, prior to the eruption, suggesting a small amount of aerosol remained in the stratosphere through at least the winter following the eruption.
Although we would not expect the model to perfectly capture the distribution of the aerosol plume, the aerosol optical depth measurements at Hefei are of similar magnitude to the climate model results. The lidar measures a peak optical depth of 0.014 in September, whereas the model calculates a peak of 0.012 in August. This is consistent with our comparison with OSIRIS, in that the modeled peak optical depth at this latitude occurs earlier than is observed.

The autumn deposition rate also appears to be higher in the model than the observations. The altitude of reported peak backscatter is at a similar altitude to peak aerosol retrievals as seen in the 7 November 2009 in situ measurements. Optical depth measurements from the lidar are slightly higher than in situ calculations, but the difference is within the range of uncertainty.

MARTHA (Multiwavelength Atmospheric Raman lidar for Temperature, Humidity, and Aerosol profiling), a multiwavelength Raman lidar in Leipzig, Germany, has been in operation since 1996 [Mattis et al., 2010]. From it, we can obtain vertical profiles of the particle backscatter coefficient at the three wavelengths of 355, 532, and 1064 nm, the extinction coefficient at 355 and 532 nm, the corresponding lidar ratio at 355 and 532 nm, and profiles of depolarization ratio at 532 nm. Mattis et al. [2002a, 2002b] and Ansmann et al. [2002] describe in more detail the current system in operation, as well as error analysis. This lidar has been used to evaluate the aerosol cloud resulting from past volcanic eruptions, including Pinatubo [Mattis, 1996; Ansmann et al., 1997] and Kasatochi [Mattis et al., 2010]. It has also had success in retrieving aerosol microphysical properties [Wandinger et al., 1995; Müller et al., 1999].

The results from this lidar (Figure 12) show optical depth measurements about a factor of 2 lower than model results but approximately a factor of 2 higher than the in situ measurements. The peak value of approximately 0.025 occurs in late July and mid August, which is 2-4 weeks later than modeled peak optical depth. This factor of 2 can be explained by several potential
reasons. The spatial distribution of the volcanic plume in the model would not be expected to perfectly match the lidar observations, especially considering the coarse spatial resolution of the model. Also, several assumptions in both the model and observations could alter the results, including the assumed lidar ratio of approximately 38 in determining optical depth, the base altitude from which backscatter is integrated, an inaccurate estimation of the eruption size and particle radius (as was discussed in Section 3), and in situ measurement uncertainty (as discussed in Section 4). With the exception of those factors detailed in Sections 3 and 4, we are unable to accurately quantify the degree to which our comparison is affected. Aerosol optical depth returns to near background levels by December following the eruption.

To partly resolve discrepancies between this lidar and OSIRIS, Figure 13 shows the same backscatter results as Figure 12, but optical depth is recalculated at 750 nm, using both the thermal tropopause and the 380 K potential temperature line as the lower bound for integration. Comparing with Figure 7, making these corrections still results in optical depth calculations that are of the same order of magnitude as the OSIRIS retrievals and the corrected model output. However, the differences between these corrections and the values in Figure 12 are rather small.

The Koldewey Aerosol Raman Lidar (KARL) is part of the AWIPEV research base in Ny-Ålesund, Svalbard (78.9°N, 11.9°W, www.awipev.eu) and in operation since 2001. The light source is a Nd:YAG laser, which transmits pulses at the three wavelengths of 355, 532, and 1064 nm at a repetition rate of 50 Hz. With a 70-cm telescope elastic backscattering at those three wavelengths as well as N₂ and H₂O Raman signals and the depolarization ratio at the two shorter wavelengths are detected. Backscatter coefficient profiles are calculated using the Klett method with different lidar ratios [Klett, 1981]. KARL has mainly been used for characterizing the Arctic spring troposphere, where Arctic haze occurs [Ritter et al., 2004; Hoffmann et al., 2009].
recent years, stratospheric volcanic aerosols, e.g. from the Kasatochi volcano [Hoffmann et al., 2010] have also been observed.

The results from KARL (Figure 14) agree very well with the model simulations. Modeled optical depth values and decay rates are nearly identical to the lidar retrievals. Measured peak optical depth occurs in late July, which is earlier than the August peak in the model. This is also consistent with the comparison with OSIRIS, in which modeled optical depth peaked later than measured optical depth at this latitude. However, the maximum sulfate aerosol optical depth of above 0.08 is found in August above Spitsbergen, which agrees with the model results. The temporal variability of backscatter ratios and hence aerosol optical depth is very high within the first 2 months after the volcanic eruption, due to the occurrence of several distinct layers of enhanced backscatter (Figure 15). In September, stratospheric aerosol optical depth was still high with 0.04 but less variable, due to a more uniform distribution of the sulfate aerosols within the stratosphere. The temporal evolution of aerosol optical depth shown in Figure 14 matches the model output for the Arctic bin in Figure 5. As stated earlier, these values are much higher than the aerosol optical depths obtained with OSIRIS but could be confirmed by co-located sun photometer measurements. These values are higher than the in situ measurements by approximately one order of magnitude, but the comparability of these two sources of measurement is uncertain, due to the large difference in latitude between the two sites.

The Dalhousie Raman Lidar is operated in Halifax, Nova Scotia, Canada (44.6°N, 63.6°W) and measures vertical profiles of atmospheric scattering. The instrument employs a frequency-doubled ND:YAG laser which transmits pulses of 532 nm wavelength light into the atmosphere at a repetition rate of 20 Hz. The receiver consists of a 25-cm telescope and photomultipliers with fast counting electronics to detect the signals. Profiles of the aerosol backscatter cross-
section are derived from the measured elastic lidar signals using the Klett Inversion technique [Klett, 1981], assuming a constant lidar ratio of 40 sr for stratospheric aerosols. A more detailed description of the instrument and aerosol optical property retrievals can be found in Bitar et al. [2010].

The results for the lidar in Halifax (Figure 16) show peak backscatter in July of very similar values to peak backscatter in the Leipzig lidar results. The altitudes of this peak backscatter are more concentrated, ranging between 14-16 km for the Halifax results and 12-16 km for the Leipzig results. Also, the peak occurs approximately one month earlier than the Leipzig measurements. These altitude ranges are consistent with model input, the findings of Haywood et al. [2010], and the in situ measurements discussed in Section 4. Backscatter is near background levels for the June and December measurements. Calculations of optical depth show a peak of approximately 0.02, again in July, with a lower peak in August. This pattern matches the model output quite well, although the modeled values of optical depth are approximately a factor of 2 larger than the retrievals. The decay rate of optical depth also matches between the two sources. The in situ measurements in November are approximately one order of magnitude higher than the lidar measurements, but we are unable to determine what caused this large discrepancy.

The NOAA Mauna Loa Observatory lidar uses a 30 Hz Nd:YAG laser producing the 1064 nm and 532 frequency-doubled wavelengths. The power at each wavelength is about 15 W, and two 61-cm diameter mirrors are used to collect the scattered light. Photon-counting photomultiplier tubes are used for both wavelengths and are electronically gated when needed. The data acquisition electronics has 300 m altitude resolution, and files are normally saved every 5.6 minutes. The molecular signal is usually normalized in the interval from 35 to 40 km. The
molecular profile is derived from the Hilo radiosonde and a Mass Spectrometer Incoherent Scatter model for the upper stratosphere. The error due to the signal statistics is about 5%. The lidar is a primary instrument of the Network for the Detection of Atmospheric Composition Change.

Figure 17 shows weekly observations from the Mauna Loa Observatory. The lidar detected aerosols from the Sarychev eruption as early as July 1, at which time the aerosol cloud remained confined to 14-16 km in altitude, which is similar to the in situ measurements. Throughout its lifetime, the plume rose in altitude and spread to an altitude of approximately 16-23 km, which is still the lower stratosphere in the tropics. The plume ceased to be detectable by February, 2010. Modeled optical depth at this latitude shows a large peak in August of nearly 0.01, whereas the lidar shows a rather consistent optical depth, reaching a slight peak of 0.004. Modeled optical depth also decays much more rapidly, showing very low levels by November, 2010, whereas the lidar detected aerosols for a few months after. The in situ measurements agree with the lidar measurements quite well, showing a difference of approximately a factor of 2, which is within the uncertainty range.

In general, the model results show agreement with the lidar retrievals, with differences in aerosol optical depth being at most a factor of 2, with the exception of the Mauna Loa lidar, where the differences were somewhat larger and the timeseries of optical depth values have very different shapes. The Hefei lidar and the Svalbard lidar, both in agreement in magnitude with the model results, were far in latitude from the original eruption site and assumed a lidar ratio of approximately 50 sr. In contrast, the Leipzig and Halifax lidars were closer to the same latitude as the eruption and assumed a lower lidar ratio of approximately 40 sr. Thus, two likely explanations for the discrepancies in difference between lidar optical depth and model optical
depth are a difference in lidar ratio and a difference between modeled stratospheric circulation and the real world. The factor of 2 can be explained by the same mechanisms as were discussed in Section 3, i.e., an overestimation of particle size, the lidars’ assumed higher base of integration to avoid contamination of the measurements by cirrus clouds, and the amount of SO2 injected in the model. The larger disagreement with the Mauna Loa observatory is similar to our findings for OSIRIS, in that for this particular eruption, the model did not accurately calculate optical depth for the tropics. The in situ measurements agreed quite well with OSIRIS and measurements from three of the lidar sites, showing at most a difference of a factor of 2, which can be explained by measurement uncertainties and predicted sources of discrepancy.

6. Discussion and Conclusions

We evaluated ModelE simulations of the Sarychev volcanic plume using several different observational data sets. In so doing, we discovered several areas in which ModelE could be improved. The model has issues with stratospheric circulation, specifically the latitudinal spread of the aerosols. We also found that the model deposits the aerosols out of the atmosphere too quickly during autumn and winter.

We found that, however, after accounting for expected sources of discrepancy, the model results and all reported sources of data show good agreement. Due to the difficulty of determining the degree to which different wavelengths of measurement affect discrepancies between OSIRIS and the lidar data, we are currently unable to make a thorough comparison of the OSIRIS observations with the model results. However, intercomparison with the model results and the lidar data suggests that OSIRIS is an accurate, useful means of obtaining stratospheric aerosol optical depth.
Despite the agreement among our different sources of data, volcanic observation systems still require a great deal of improvement. A range of reported amount of SO$_2$ injected into the stratosphere, if used to force a climate model, would result in a large range of predicted climate effects. Moreover, estimates of aerosol particle size are very sparse. As we discuss in Section 3, accurate measurement of particle size, both initially and as the aerosols age, are essential to accurate determining the radiative effects.

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Stothers, R. B. (1997), Stratospheric aerosol clouds due to very large volcanic eruptions of the early twentieth century: Effective particle sizes and conversion from pyrheliometric to visual optical depth, *J. Geophys. Res.*, 102(D5), 6143-6151.


Table 1. Results from the linear fit to optical depth data shown in Figure 5. The annual decay rate of optical depth in the model is approximately 5-7 times the decay rate measured by OSIRIS.

(a) OSIRIS

<table>
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<tr>
<th>Bin</th>
<th>Decay Rate ($\tau$ a$^{-1}$)</th>
<th>$R^2$</th>
<th>Decay Rate calculated from Figure 5 ($\log_{10}(\tau)$ a$^{-1}$)</th>
<th>$R^2$</th>
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</thead>
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<td>1.0607</td>
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<tr>
<td>20°N – 30°N</td>
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<td>0.3833</td>
<td>0.10</td>
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</table>

(b) ModelE (linear fit not plotted)

<table>
<thead>
<tr>
<th>Bin</th>
<th>Decay Rate ($\tau$ a$^{-1}$)</th>
<th>$R^2$</th>
<th>Decay Rate calculated from Figure 5 ($\log_{10}(\tau)$ a$^{-1}$)</th>
<th>$R^2$</th>
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<td>0.81</td>
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Figure 1. The eruption of Sarychev volcano on 12 June 2009 as seen from the International Space Station [NASA, 2009]. Image courtesy of the Image Science & Analysis Laboratory, NASA Johnson Space Center.
Figure 2. The locations of all point measurements used in our discussion of the Sarychev eruption. The site of the eruption is indicated by a red square. The in situ measurements from Laramie are indicated by a green dot. Lidar stations are indicated by orange dots. OSIRIS is a global measurement, so it cannot be included in this figure.
Figure 3. Time progression of anomaly in stratospheric sulfate aerosol mid-visible optical depth for the eruption of Sarychev from June 2009 to February 2010. Both the volcano ensemble and the baseline ensemble are averages of 20 runs. By February 2010, volcanic aerosols remaining in the atmosphere are at very low levels.
1.5 Tg Eruption on June 12

**Figure 4.** Zonally averaged anomalies in stratospheric sulfate aerosol mid-visible optical depth and clear sky shortwave radiative forcing (W m$^{-2}$) at the surface due to sulfate aerosols. Only the Northern Hemisphere values are plotted, as the Southern Hemisphere values are zero. Results shown are for model simulations of the Sarychev volcanic eruption. Both the volcano ensemble and the baseline ensembles are averages of 20 runs. Results shown here are similar to those in Figure 3, i.e., most of the sulfate aerosols have been deposited out of the atmosphere by February, 2010. Radiative forcing due to the sulfate aerosols ceases to be detectable even sooner.
Figure 5. Total stratospheric aerosol optical depth measured by OSIRIS at 750 nm and model results of optical depth at 550 nm. The month labels indicate the beginning of each month. All blue values are individual retrievals from OSIRIS, divided into three latitude bands. All red dots are individual grid box measurements of aerosol optical depth for each latitude band (72 for each latitude that falls into the above bands). The model output is placed on the 15th of each month, as these values represent monthly averages. The red line is an average of all red points (log_{10} is taken after averaging), indicating an average of model optical depth in the given latitude band. The green line is the median of all red points. Black lines are linear fits to aid in understanding atmospheric deposition rates, the details of which are in Table 1. For OSIRIS measurements, the vertical column extends only from the 380 K level of potential temperature to 40 km altitude. OSIRIS coverage of the Arctic is not available from November to March due to the lack of sunlight.
Figure 6. Zonally averaged total stratospheric aerosol optical depth anomaly as calculated by the model. Top left shows anomaly in zonally averaged optical depth, scaled using the \( \theta = 380 \) K line as the tropopause instead of the thermal tropopause. Bottom left shows the same field multiplied by 0.78 to reflect the difference in measured optical depth due to a change in wavelength, assuming a dry radius of 0.25 \( \mu \text{m} \). Top right is again scaled using the \( \theta = 380 \) K line as the tropopause and is also multiplied by 0.38 to reflect the difference in measured optical depth due to a change in wavelength, assuming a dry radius of 0.08 \( \mu \text{m} \). Bottom right is the same as the top right but multiplied by 0.8 to reflect our overestimation of the initial \( \text{SO}_2 \) loading, which should have been 1.2 Tg instead of 1.5 Tg.
Figure 7. OSIRIS retrievals and model output of sulfate aerosol optical depth, as in Figure 5, but scaled to reflect sources of discrepancy. OSIRIS retrievals are unchanged from the values in Figure 5. Model output is scaled using the $\theta = 380$ K line as the tropopause instead of the thermal tropopause. Model output is also multiplied by 0.8 to reflect our overestimation of the initial SO$_2$ loading, which should have been 1.2 Tg instead of 1.5 Tg. The top and bottom magenta lines denote multiplication of this resultant by 0.78 and 0.38, respectively, to denote changes in optical depth that would result from Ångstrom exponent scaling. The top line, a multiplication by 0.78, assumes a dry radius of 0.25 µm, and the bottom line, a multiplication by 0.38, assumes a dry radius of 0.08 µm. All multiplication is performed before taking $\log_{10}$. 

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Figure 8. Profiles of temperature, total aerosol concentration (condensation nuclei), aerosol median radius, effective radius, surface area, and volume derived from size resolved particle concentration measurements from balloon flights from Laramie, Wyoming. Temperature and number concentration are measured, and the other products are derived. The blue line shows measurements on 22 June 2009, ten days after the largest eruption of Sarychev. The red line shows 3 July 2007, which was free of volcanic activity after Soufriere Hills in 2006 and prior to Kasatochi. Measurements are shown from the 22 June 2009 tropopause at 15.0 km to balloon burst at 30.5 km.
Figure 9. Same as Figure 8 but for measurements from Laramie, Wyoming, on 7 Nov 2009 (blue line), several months after the eruption of Sarychev, and 17 Oct 2005 (red line), which was a period of low perturbations of stratospheric aerosol with otherwise similar atmospheric conditions to the 2009 measurement. Measurements are shown from the tropopause, at 13.0 km, to balloon burst at 31.0 km. The volcanic layer appears to have begun to settle through the lower stratosphere, with a large peak at 14.0 km in altitude.
**Figure 10.** Aerosol extinction profiles from in situ measurements on 17 October 2005, 22 June 2009, and 7 November 2009, calculated at 758 nm. The lower limits of the lines are defined by the tropopause on each day. The error bars on 7 November 2009 represent a ± 40% uncertainty and apply to the other two profiles as well. The aerosol optical depth (AOD) for each day is shown at the top.
Figure 11. Lidar retrievals from Hefei, China compared with ModelE output. The lidar is capable of measuring backscatter up to 25 km in altitude. The left panel shows monthly averages of backscatter as a function of altitude, with a maximum in September, 2009. The backscatter ratio is defined as the fraction $\frac{\beta_{\text{molecules}} + \beta_{\text{particles}}}{\beta_{\text{molecules}}}$, where $\beta$ is backscatter, so any values less than 1 are spurious and are likely due to instrument noise. In the right panel, the black line shows integrated (15-25 km) optical depth through the stratosphere, assuming a lidar ratio of 50 sr. The red line shows zonally averaged stratospheric aerosol optical depth calculated by the model in the grid latitude band containing the Hefei lidar (28-32°N). Aerosol concentrations return to background levels by Spring of the year following the eruption.
Figure 12. Backscatter coefficient profiles at 1064 nm and aerosol optical depth at 532 nm from the lidar in Leipzig. Backscatter coefficients are defined as the scattering coefficient (units m⁻¹) at 180 degrees (units sr⁻¹) and are scaled by 10⁻⁶, giving units of Mm⁻¹ sr⁻¹. Each strip of backscatter measurements is a 10 day mean profile. Aerosol optical depth was calculated using a lidar ratio of 38 sr, which is the mean value of all cases for which the lidar ratio could be measured. Black dots are stratospheric optical depth measurements calculated using this ratio. Red dots show zonally averaged stratospheric aerosol optical depth calculated by the model in the grid latitude band containing the Leipzig lidar (48-52°N). Black horizontal lines indicate the height of the tropopause. Triangles show the plume top heights of individual eruptive events. Peak backscatter and optical depth occur in mid-August, and aerosols have returned to low levels by winter following the eruption.
Figure 13. Same as Figure 12, but optical depth is recalculated at 750 nm, using both the thermal tropopause and the 380 K potential temperature line as the lower bound for integration.
Figure 14. Aerosol optical depth at 532 nm from the KARL lidar and an SP1A sun photometer in Ny-Ålesund, Svalbard. Lidar aerosol optical depth was calculated using two different lidar ratios of 50 and 60 sr and integrating the extinction coefficient between the thermal tropopause height and 20 km. The lidar ratios were obtained in case studies from 13 July (50±10 sr) and 3 September (60±10 sr) according to the transmittance method [Chen et al., 2002]. The tropopause height was derived from co-located daily balloon soundings. Photometer AOD are daily means, which are reduced by the monthly long-term means from 1995-2008 without extreme events (June: 0.07, July: 0.05, August: 0.045, September: 0.035). Model output is zonally averaged stratospheric aerosol optical depth in the grid latitude band containing the Svalbard lidar (76-80°N). Model output values represent monthly averages, so they are placed on or near the 15th of each month.
Figure 15. Backscatter ratio profiles at 532 nm for selected days (30 min temporal and 30 m spatial resolution) for the KARL lidar in Ny-Ålesund, Svalbard. Altitude is scaled relative to the thermal tropopause height, which is obtained from co-located daily balloon soundings. In the first two months after the eruption, distinct layers with maximum backscatter ratio above 2 are measured. Late August and September show much smoother profiles with still large values of up to 1.5.
Figure 16. Backscatter and aerosol optical depth from the lidar in Halifax. Backscatter is measured at 532 nm, and the units are the same as in Figure 12. Measurements below 13 km in altitude show strong interference from cirrus clouds and are omitted. Aerosol optical depth was calculated using a lidar ratio of 40 sr. Lidar optical depth values are averaged between 15 and 20 km to avoid interference from cirrus clouds. Red asterisks show zonally averaged stratospheric aerosol optical depth calculated by the model in the grid latitude band containing the Halifax lidar (44-48°N).
Figure 17. Observations of the Sarychev eruption cloud from the Mauna Loa Observatory. Some observations are missing due to interference from cirrus clouds. The top panel shows the top and bottom of the Sarychev aerosol layer as measured at the Mauna Loa Observatory. In the bottom panel, the blue line shows optical depth calculations from the observatory, which are obtained from measured backscatter using a lidar ratio of 40 sr. The red line shows optical depth as calculated by the model, zonally averaged over the grid band spanning latitudes 16-20°N.