



# Stable carbon isotope fractionation of organic cyst-forming dinoflagellates: Evaluating the potential for a CO<sub>2</sub> proxy

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## Abstract

Over the past decades, significant progress has been made regarding the quantification and mechanistic understanding of stable carbon isotope fractionation (<sup>13</sup>C fractionation) in photosynthetic unicellular organisms in response to changes in the partial pressure of atmospheric CO<sub>2</sub> (*p*CO<sub>2</sub>). However, hardly any data is available for organic cyst-forming dinoflagellates while this is an ecologically important group with a unique fossil record. We performed dilute batch experiments with four harmful dinoflagellate species known for their ability to form organic cysts: *Alexandrium tamarense*, *Scrippsiella trochoidea*, *Gonyaulax spinifera* and *Protoceratium reticulatum*. Cells were grown at a range of dissolved CO<sub>2</sub> concentrations characterizing past, modern and projected future values (~5–50 μmol L<sup>-1</sup>), representing atmospheric *p*CO<sub>2</sub> of 180, 380, 800 and 1200 μatm. In all tested species, <sup>13</sup>C fractionation depends on CO<sub>2</sub> with a slope of up to 0.17‰ (μmol L<sup>-1</sup>)<sup>-1</sup>. Even more consistent correlations were found between <sup>13</sup>C fractionation and the combined effects of particulate organic carbon quota (POC quota; pg C cell<sup>-1</sup>) and CO<sub>2</sub>. Carbon isotope fractionation as well as its response to CO<sub>2</sub> is species-specific. These results may be interpreted as a first step towards a proxy for past *p*CO<sub>2</sub> based on carbon isotope ratios of fossil organic dinoflagellate cysts. However, additional culture experiments focusing on environmental variables other than *p*CO<sub>2</sub>, physiological underpinning of the recorded response, testing for possible offsets in <sup>13</sup>C values between cells and cysts, as well as field calibration studies are required to establish a reliable proxy.

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## 1. INTRODUCTION

Over the past decades, many studies have shown that stable carbon isotope fractionation (<sup>13</sup>C fractionation) in

marine unicellular autotrophs varies as a function of dissolved CO<sub>2</sub> concentrations (e.g. Degens et al., 1968; Hinga et al., 1994; Pagani, 2014). This work, carried out on species of e.g. cyanobacteria (Eichner et al., 2014), coccolithophores (Pagani et al., 2002; Pagani, 2002), diatoms (Laws et al., 1997) and dinoflagellates (Burkhardt et al., 1999a; Rost et al., 2006), generally shows an increase in <sup>13</sup>C fractionation with higher CO<sub>2</sub> concentrations. The observed CO<sub>2</sub> responses are, however, clearly species-specific.

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The dominant physiological forcing factor of the CO<sub>2</sub>-dependent <sup>13</sup>C fractionation in primary producers is the kinetic fractionation by the carbon-fixing enzyme RubisCO (e.g. Raven and Johnston, 1991). Experiments based on RubisCO isolated from higher plants indicated values of 26–30‰ (e.g. Christeller et al., 1976; Roeske and O'Leary, 1984; McNevin et al., 2007). This is somewhat higher than estimates for RubisCO in marine phytoplankton that yields values of ~24‰ (e.g. Roeske and O'Leary, 1985; Guy et al., 1993; Scott et al., 2007). A recent study on the coccolithophore *Emiliania huxleyi* found a surprisingly low fractionation value of 11‰ (Boller et al., 2011), which might lead to re-evaluation of <sup>13</sup>C data from various (geo)biological fields. Along with the RubisCO type, <sup>13</sup>C fractionation is influenced by the relative uptake of CO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup> (Sharkey and Berry, 1985) as the equilibrium discrimination between these carbon species is ~10‰ (Mook et al., 1974). Furthermore, the amount of CO<sub>2</sub> that is leaking out of the cell in relation to total inorganic carbon uptake impacts <sup>13</sup>C fractionation (Sharkey and Berry, 1985). More specifically, <sup>13</sup>C fractionation is high with high leakage because the intracellular <sup>12</sup>C pool is replenished at a higher rate.

The <sup>13</sup>C fractionation of autotrophic dinoflagellates, abundant eukaryotic unicellular algae, has been shown to vary with CO<sub>2</sub>. For instance, based on culturing experiments, Burkhardt et al. (1999a) investigated the effects of growth rate, CO<sub>2</sub> and cell size on <sup>13</sup>C fractionation in one dinoflagellate species and found a clear CO<sub>2</sub>-sensitivity. Similarly, CO<sub>2</sub>-dependent <sup>13</sup>C fractionation has been found in other both non-calcareous (Rost et al., 2006) and calcareous dinoflagellate species (Van de Waal et al., 2013). It has furthermore been shown that dinoflagellates possess effective carbon concentrating mechanisms (CCMs), including active uptake of both CO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup>. The regulations of these CCMs were shown to be CO<sub>2</sub> sensitive (Rost et al., 2006; Eberlein et al., 2014), which may have consequences for <sup>13</sup>C fractionation.

Here, we aim to evaluate how <sup>13</sup>C fractionation compares between dinoflagellate species. In addition, we quantify the dependency of <sup>13</sup>C fractionation to CO<sub>2</sub> concentrations ranging from those prevailing during the last glacial (180 μatm) to those projected for the end of the next century (~1200 μatm). We performed dilute batch culture experiments with *Alexandrium tamarense*, *Scrippsiella trochoidea*, *Gonyaulax spinifera* and *Protoceratium reticulatum* and show that these species exhibit a clear, yet specific <sup>13</sup>C fractionation response to CO<sub>2</sub>. Notably, these dinoflagellate species produce cysts (dinocysts) as part of their life cycle. The organic dinocysts of *G. spinifera* and *P. reticulatum* (*Spiniferites ramosus* and *Operculodinium centrocarpum*) are recorded ubiquitously in sediments down to the early Cretaceous (~144–65 Ma BP) and early Cenozoic (~65 Ma BP), respectively. Such CO<sub>2</sub>-dependent changes in <sup>13</sup>C fractionation may therefore be a first step in the development of a CO<sub>2</sub> proxy based on cyst-producing dinoflagellate species.

## 2. MATERIAL AND METHODS

### 2.1. Experimental setup

Cultures of *A. tamarense* (strain Alex5; Tillmann et al., 2009), *S. trochoidea* (strain GeoB267), *G. spinifera* (strain

CCMP 409) and *P. reticulatum* (strain CCMP 1889), were grown as dilute batch cultures in 2.4 L air tight borosilicate bottles at a constant temperature of 15 °C. Bottles were pre-aerated with air containing *p*CO<sub>2</sub> representing approximations of the Last Glacial Maximum (180 μatm), present-day (380 μatm), and distant past levels that could be considered future scenarios as well (800 and 1200 μatm), representing a range of dissolved CO<sub>2</sub> concentrations of ~5–50 μmol L<sup>-1</sup>. These levels were obtained by mixing CO<sub>2</sub>-free air (<0.1 μatm *p*CO<sub>2</sub>, Domnick Hunter, Willrich, Germany) with pure CO<sub>2</sub> (Air liquide Deutschland, Düsseldorf, Germany) using mass flow controllers (CGM 2000, MCZ Umwelttechnik, Bad Nauheim, Germany). Each *p*CO<sub>2</sub> treatment was performed in triplicate. Experiments were carried out at low cell densities with a final concentration of up to 400 cells mL<sup>-1</sup> in order to assure that changes in carbonate chemistry remained low (i.e. <3.5% with respect to dissolved inorganic carbon; DIC). Cultures were grown at a salinity of 34 in filtered North Sea water (cellulose acetate membrane, 0.2 μm pore size, Sartorius, Göttingen, Germany), enriched with 100 μmol L<sup>-1</sup> nitrate and 6.25 μmol L<sup>-1</sup> phosphate. Metals and vitamins were added according to f/2 medium (Guillard and Ryther, 1962), except for FeCl<sub>3</sub> (1.9 μmol L<sup>-1</sup>), H<sub>2</sub>SeO<sub>3</sub> (10 nmol L<sup>-1</sup>) and NiCl<sub>2</sub> (6.3 nmol L<sup>-1</sup>) that were added according to K medium (Keller et al., 1987). To avoid sedimentation and aggregation, bottles were placed on a roller table. Daylight tubes (Lumilux HO 54 W/965, Osram, München, Germany) installed above the roller table provided an incident light intensity of 250 ± 25 μmol photons m<sup>-2</sup> s<sup>-1</sup> at a 16:8 h light:dark cycle.

### 2.2. Sampling and analyses

To monitor changes in the carbonate chemistry of each of the four tested *p*CO<sub>2</sub> treatments, pH was measured every other day using a WTW 3110 pH meter equipped with a SenTix 41 Plus pH electrode (Wissenschaftlich-Technische Werkstätten GmbH, Weilheim, Germany), which was calibrated prior to each measurement on the National Bureau of Standards (NBS) scale. To measure total alkalinity (TA), samples of 50 mL culture suspension were filtered over cellulose acetate syringe filters (Thermo Scientific, 0.2 μm pore size) and stored in gas tight borosilicate bottles at 3 °C. Samples were then analyzed in duplicates using an automated TitroLine burette system (SI Analytics, Mainz, Germany). For colorimetric analysis of DIC, 4 mL of cell suspension was filtered over a 0.2 μm cellulose acetate filter and stored in headspace free gas tight borosilicate bottles at 3 °C. Samples were measured in duplicates using a QuAAtro autoanalyser (Seal Analytical, Mequon, USA). Both TA and DIC were measured at the beginning and the end of each experiment to ensure together with pH measurements a complete resolution of the carbonate chemistry. The carbonate chemistry was assessed with the program CO2sys (Lewis and Wallace, 2006), using TA and pH (average values of beginning and end of each experiment), temperature, salinity and phosphate concentration. The dissociation constants of carbonic acid and sulfuric

acid were based on Mehrbach et al. (1973), refit by Dickson and Millero (1987) and Dickson (1990), respectively.

Cells were acclimated to the  $p\text{CO}_2$  treatments for at least 7 generations (i.e. >21 days) prior to each experiment. Duplicate samples of 1–20 mL culture suspension were fixed with neutral Lugol's solution (2% final concentration in MilliQ) and counted every day or every other day with an inverted light microscope (Axiovert 40C, Zeiss, Germany). Growth rates ( $\mu$ ;  $\text{d}^{-1}$ ) were assessed separately for each biological treatment by fitting an exponential function through the cell numbers over time according to:

$$B = B_0 e^{\mu t} \quad (1)$$

with B referring to cell number at time t,  $B_0$  to the cell number at the start of the experiment, and  $\mu$  referring to the specific growth rate. Particulate organic carbon (POC) production ( $\mu_c$ ;  $\text{pg C cell}^{-1} \text{ day}^{-1}$ ) is calculated by multiplying growth rate with POC quota ( $\text{pg C cell}^{-1}$ ).

To measure POC and its carbon isotopic composition ( $\delta^{13}\text{C}_{\text{POC}}$ ), 300–400 mL of culture suspension was filtered over precombusted GF/F filters (6 h, 500 °C). Filters were stored in pre-combusted glass Petri dishes and 200  $\mu\text{L}$  of HCl (0.1 mol  $\text{L}^{-1}$ ) was added to remove the inorganic carbon before they were dried overnight and stored at  $-25$  °C. POC and  $\delta^{13}\text{C}_{\text{POC}}$  were then measured in duplicate samples with an Automated Nitrogen Carbon Analyser mass spectrometer (ANCA-SL 20–20, SerCon Ltd., Crewe, UK), with a precision of  $\pm 0.5$   $\mu\text{g C}$  and 0.3‰, respectively.  $\delta^{13}\text{C}_{\text{POC}}$  is reported relative to the Vienna PeeDee Belemnite standard (VPDB). For isotopic measurements of the dissolved inorganic carbon ( $\delta^{13}\text{C}_{\text{DIC}}$ ), 4 mL of culture suspension was sterile filtered over 0.2  $\mu\text{m}$  cellulose acetate filters and stored at 3 °C. 0.7 mL of the filtrate was then transferred to 8 mL vials containing three drops of 102%  $\text{H}_3\text{PO}_4$  solution and headspaces filled with helium. After equilibration, the isotopic composition in the headspace was measured using a GasBench-II coupled to a Thermo Delta-V advantage isotope ratio mass spectrometer, with a precision of <0.1‰.

### 2.3. $^{13}\text{C}$ fractionation

A mass balance relation following Zeebe and Wolf-Gladrow (2001) was used to calculate  $\delta^{13}\text{C}_{\text{CO}_2}$  from  $\delta^{13}\text{C}_{\text{DIC}}$ . Fractionation factors between  $\text{CO}_2$  and  $\text{HCO}_3^-$  and between  $\text{HCO}_3^-$  and  $\text{CO}_3^{2-}$  were applied according to Mook et al. (1974) and Zhang et al. (1995), respectively. The isotopic fractionation during POC buildup,  $\varepsilon_{\text{pCO}_2}$ , was calculated relative to the isotopic composition of dissolved  $\text{CO}_2$  in the water ( $\delta^{13}\text{C}_{\text{CO}_2}$ ) according to Freeman and Hayes (1992):

$$\varepsilon_{\text{pCO}_2} = \frac{\delta^{13}\text{C}_{\text{CO}_2} - \delta^{13}\text{C}_{\text{POC}}}{1 + \frac{\delta^{13}\text{C}_{\text{POC}}}{1000}} \quad (2)$$

Because dinoflagellates take up both  $\text{HCO}_3^-$  and  $\text{CO}_2$ , the isotopic fractionation during buildup was also calculated based on the isotopic composition of all inorganic carbon species in the growth medium (i.e.  $[\text{CO}_2]_{\text{aq}}$ ,  $[\text{HCO}_3^-]_{\text{aq}}$ ,  $[\text{CO}_3^{2-}]_{\text{aq}}$ ,  $[\text{H}_2\text{CO}_3]_{\text{aq}}$ ), here called  $\varepsilon_{\text{pDIC}}$ , according to:

$$\varepsilon_{\text{pDIC}} = \frac{\delta^{13}\text{C}_{\text{DIC}} - \delta^{13}\text{C}_{\text{POC}}}{1 + \frac{\delta^{13}\text{C}_{\text{POC}}}{1000}} \quad (3)$$

### 2.4. Statistical analysis

Holm–Sidak tests confirmed normality of the data. Linear and hyperbolic regressions were used to determine the significance between the tested variables and  $\text{CO}_2$ . Significant differences between  $p\text{CO}_2$  treatments were confirmed by one-way ANOVA followed by post hoc comparison of the means using the Tukey HSD ( $\alpha = 0.05$ ; Table 1). Significances of relationships between  $^{13}\text{C}$  fractionation and  $\text{CO}_2$  were tested by means of linear regression and significances of relationships between  $^{13}\text{C}$  fractionation and POC quota/ $\text{CO}_2$  and  $\mu_c/\text{CO}_2$  were tested by means of hyperbolic regression. Analytical errors were calculated using the law of combination of errors (e.g. Barlow, 1989) and approximate 3%, 5%, 6% and 8% for  $^{13}\text{C}$  fractionation,  $\text{CO}_2$  concentration, POC quota/ $\text{CO}_2$  and  $\mu_c/\text{CO}_2$ , respectively.

## 3. RESULTS

### 3.1. Growth parameters as a function of $\text{CO}_2$

Growth rates, POC quotas and POC production varied between the tested species and were largely independent of  $\text{CO}_2$  for *A. tamarensis* and *P. reticulatum*. Although growth rates remained unaltered over the applied  $\text{CO}_2$  range in *S. trochoidea*, significantly decreasing POC quotas resulted in decreasing POC production (linear regression;  $P < 0.05$ ). Growth rates, POC quotas and POC production of the three lower  $p\text{CO}_2$  treatments of *G. spinifera* did not vary significantly. Growth rates of the 1200  $p\text{CO}_2$  treatment, however, were significantly lower and POC quotas significantly higher compared to the other  $p\text{CO}_2$  treatments, resulting in a significantly increased POC production (ANOVA;  $P < 0.05$ ; Table 1). Note that  $\text{CO}_2$  concentrations of specific  $p\text{CO}_2$  treatments tend to have higher variations under elevated  $p\text{CO}_2$ , primarily due to the lowered buffering capacity under these conditions (Eggleston et al., 2010). Effective  $\text{CO}_2$  concentrations nonetheless always differed significantly between the treatments for each tested species (ANOVA;  $P < 0.05$ ; Table 1).

### 3.2. Carbon isotopic fractionation

$^{13}\text{C}$  fractionation ( $\varepsilon_{\text{pCO}_2}$  and  $\varepsilon_{\text{pDIC}}$ ) of all tested species, except for *G. spinifera*, show significant positive correlations with  $\text{CO}_2$  over the whole range of applied  $\text{CO}_2$  concentrations ( $P < 0.05$ ; Fig. 1). Slopes of the linear relationship range from 0.05‰ to 0.16‰ ( $\mu\text{mol CO}_2 \text{ L}^{-1}$ ) in *A. tamarensis*, *S. trochoidea* and *P. reticulatum*.  $^{13}\text{C}$  fractionation of *G. spinifera* is clearly  $\text{CO}_2$ -dependent in the three lower  $p\text{CO}_2$  treatments (Fig. 1c). Since the  $^{13}\text{C}$  fractionation in the highest  $p\text{CO}_2$  treatment is very low, however, no linear relationship can be obtained over the entire  $\text{CO}_2$  range tested. We found no significant linear relationship between  $^{13}\text{C}$  fractionation and growth rate, POC

Table 1

Experimental conditions, growth parameters and  $^{13}\text{C}$  fractionation in dilute batch culture incubations: Average dissolved  $\text{CO}_2$  concentration ( $\mu\text{mol L}^{-1}$ ), growth rate ( $\mu$ ,  $\text{d}^{-1}$ ), POC quota ( $\text{pg C cell}^{-1}$ ), POC production ( $\mu_c$ ,  $\text{pg C cell}^{-1} \text{d}^{-1}$ ),  $^{13}\text{C}$  fractionation  $\epsilon_{\text{pCO}_2}$  (‰) based on  $\delta^{13}\text{C}_{\text{CO}_2}$  and  $^{13}\text{C}$  fractionation  $\epsilon_{\text{pDIC}}$  (‰) based on  $\delta^{13}\text{C}_{\text{DIC}}$ . Values represent the mean of triplicate incubations ( $n = 3$ ,  $\pm\text{SD}$ ). Significant differences between  $p\text{CO}_2$  treatments are indicated by superscript letters.

$p\text{CO}_2$ treatments ( $\mu\text{atm}$ )	$\text{CO}_2$ ( $\mu\text{mol L}^{-1}$ )	$\mu$ ( $\text{d}^{-1}$ )	POC quota ( $\text{pg C cell}^{-1}$ )	$\mu_c$ ( $\text{pg C cell}^{-1} \text{d}^{-1}$ )	$\epsilon_{\text{pCO}_2}$ (‰)	$\epsilon_{\text{pDIC}}$ (‰)
<i>A. tamarensis</i>						
180	$5.92 \pm 0.90^{\text{a}}$	$0.46 \pm 0.02^{\text{a,b}}$	$3169 \pm 254$	$1466 \pm 76$	$9.01 \pm 0.29^{\text{a}}$	$19.25 \pm 0.29^{\text{a}}$
380	$11.53 \pm 2.09^{\text{b}}$	$0.46 \pm 0.02^{\text{a,b}}$	$3620 \pm 308$	$1676 \pm 117$	$10.24 \pm 0.46^{\text{b}}$	$20.64 \pm 0.47^{\text{b}}$
800	$25.89 \pm 5.64^{\text{c}}$	$0.48 \pm 0.01^{\text{a}}$	$3455 \pm 153$	$1669 \pm 55$	$12.72 \pm 0.44^{\text{c}}$	$23.13 \pm 0.45^{\text{c}}$
1200	$36.46 \pm 9.09^{\text{d}}$	$0.45 \pm 0.01^{\text{b}}$	$3461 \pm 165$	$1545 \pm 61$	$12.12 \pm 0.16^{\text{c}}$	$22.56 \pm 0.16^{\text{c}}$
<i>S. trochoidea</i>						
180	$6.62 \pm 0.21^{\text{a}}$	$0.61 \pm 0.03$	$1990 \pm 36^{\text{a}}$	$1208 \pm 40^{\text{a}}$	$6.03 \pm 0.51^{\text{a,b}}$	$16.26 \pm 0.51^{\text{a,b}}$
380	$13.13 \pm 0.54^{\text{b}}$	$0.61 \pm 0.05$	$1762 \pm 15^{\text{a,b}}$	$1080 \pm 77^{\text{a,b}}$	$5.03 \pm 0.11^{\text{a}}$	$15.81 \pm 0.11^{\text{a}}$
800	$28.77 \pm 2.03^{\text{c}}$	$0.61 \pm 0.04$	$1787 \pm 223^{\text{a,b}}$	$1097 \pm 135^{\text{a,b}}$	$7.10 \pm 0.67^{\text{b}}$	$17.50 \pm 0.68^{\text{b}}$
1200	$41.51 \pm 3.56^{\text{d}}$	$0.58 \pm 0.02$	$1500 \pm 85^{\text{b}}$	$874 \pm 24^{\text{b}}$	$11.67 \pm 0.68^{\text{c}}$	$22.19 \pm 0.69^{\text{c}}$
<i>G. spinifera</i>						
180	$6.01 \pm 1.11^{\text{a}}$	$0.22 \pm 0.02^{\text{a}}$	$3708 \pm 366^{\text{a}}$	$812 \pm 21^{\text{a}}$	$7.79 \pm 1.03^{\text{a}}$	$18.14 \pm 0.99^{\text{a}}$
380	$11.73 \pm 2.54^{\text{b}}$	$0.23 \pm 0.01^{\text{a}}$	$2758 \pm 583^{\text{a}}$	$637 \pm 150^{\text{a}}$	$9.44 \pm 0.41^{\text{a}}$	$19.86 \pm 0.41^{\text{a}}$
800	$27.91 \pm 7.4^{\text{c}}$	$0.23 \pm 0.04^{\text{a}}$	$3521 \pm 263^{\text{a}}$	$782 \pm 159^{\text{a}}$	$11.74 \pm 0.67^{\text{b}}$	$22.15 \pm 1.12^{\text{b}}$
1200	$42.36 \pm 7.88^{\text{d}}$	$0.15 \pm 0.01^{\text{b}}$	$8842 \pm 1044^{\text{b}}$	$1321 \pm 101^{\text{b}}$	$8.02 \pm 0.46^{\text{a}}$	$18.43 \pm 0.47^{\text{a}}$
<i>P. reticulatum</i>						
180	$7.05 \pm 0.48^{\text{a}}$	$0.28 \pm 0.00$	$3099 \pm 119^{\text{a}}$	$859 \pm 44^{\text{a}}$	$8.37 \pm 1.82$	$18.78 \pm 1.77$
380	$13.87 \pm 0.78^{\text{b}}$	$0.28 \pm 0.01$	$2494 \pm 356^{\text{a,b}}$	$699 \pm 149^{\text{a,b}}$	$8.43 \pm 0.69$	$18.88 \pm 0.67$
800	$31.02 \pm 4.7^{\text{c}}$	$0.29 \pm 0.02$	$2351 \pm 694^{\text{b}}$	$676 \pm 215^{\text{a,b}}$	$8.56 \pm 2.29$	$20.32 \pm 0.02$
1200	$45.20 \pm 6.85^{\text{d}}$	$0.29 \pm 0.03$	$2600 \pm 316^{\text{a,b}}$	$736 \pm 23^{\text{b}}$	$9.88 \pm 0.81$	$20.27 \pm 0.80$

quota or POC production, except for *S. trochoidea*, for which  $^{13}\text{C}$  fractionation is correlated to both POC quota and POC production (linear regression;  $P < 0.05$ ; data not shown).

To account for possible combined effects of growth parameters and  $\text{CO}_2$  on  $^{13}\text{C}$  fractionation, we investigated the relationships between  $^{13}\text{C}$  fractionation and POC quota/ $\text{CO}_2$  or  $\mu_c/\text{CO}_2$  (Figs. 2 and 3). In all tested species, significant relationships between  $^{13}\text{C}$  fractionation and POC quota/ $\text{CO}_2$  or  $\mu_c/\text{CO}_2$  were obtained ( $P < 0.05$ ; Figs. 2 and 3). Generally,  $^{13}\text{C}$  fractionation is hyperbolically correlated to POC quota/ $\text{CO}_2$  or  $\mu_c/\text{CO}_2$ . In *A. tamarensis* and *S. trochoidea*, significant hyperbolic correlations were also found between  $^{13}\text{C}$  fractionation and  $\mu/\text{CO}_2$  ( $P < 0.05$ ; data not shown).

#### 4. DISCUSSION

In this study, we investigated  $^{13}\text{C}$  fractionation of four different dinoflagellate species over a wide range of  $p\text{CO}_2$ . With the exception of one treatment in *G. spinifera*,  $^{13}\text{C}$  fractionation increased linearly with increasing  $\text{CO}_2$  levels. Since  $^{13}\text{C}$  fractionation may be related to changing growth parameters, we moreover investigated growth rates, POC quotas and POC production. Growth rates, POC quota and POC production differed substantially between the tested species but remained generally unaltered over the tested  $\text{CO}_2$  range. Highest  $\text{CO}_2$ -dependent sensitivities in  $^{13}\text{C}$  fractionation were observed under low POC quota and POC production (i.e. low carbon demand) in combination with high  $\text{CO}_2$  concentrations (i.e. high carbon supply).

#### 4.1. $^{13}\text{C}$ fractionation

In all tested dinoflagellate species,  $^{13}\text{C}$  fractionation is clearly  $\text{CO}_2$ -dependent (Fig. 1). With linear slopes of  $0.17\text{‰} (\mu\text{mol L}^{-1})^{-1}$  for *G. spinifera* (for the range 180–800  $\mu\text{atm CO}_2$ ) and  $0.16\text{‰} (\mu\text{mol L}^{-1})^{-1}$  for *S. trochoidea*,  $\text{CO}_2$  dependency of  $^{13}\text{C}$  fractionation was stronger than the steepest of the various slopes observed for the coccolith-bearing, alkenone producing algae *E. huxleyi* ( $\sim 0.13\text{‰} (\mu\text{mol L}^{-1})^{-1}$ ; Riebesell et al., 2000; Rost et al., 2002). In comparison, slopes of *A. tamarensis* ( $0.11\text{‰} (\mu\text{mol L}^{-1})^{-1}$ ) and *P. reticulatum* ( $0.05\text{‰} (\mu\text{mol L}^{-1})^{-1}$ ) were comparable or lower, respectively. The observed relationships between  $^{13}\text{C}$  fractionation and  $\text{CO}_2$  are strongly species-specific (Fig. 1). This may be the result of differences in growth rates and/or POC production, as observed in various phytoplankton species (Fry and Wainright, 1991; Laws et al., 1995, 1997; Rau et al., 1996; Rost et al., 2002).

Rau et al. (1996) and Popp et al. (1998) proposed species-specific  $^{13}\text{C}$  fractionation to be related to cell morphology, which alters the POC quota to surface area ratios. In this study, however, all species have spherical cell geometry with little differences in size; therefore including surface area does not reduce the observed species-specific differences in  $^{13}\text{C}$  fractionation.

Including POC quota and POC production, however, indeed improved relationships (i.e. higher  $R^2$  values for *S. trochoidea*, *G. spinifera* and *P. reticulatum*; Figs. 2 and 3), even though significant species-specific differences in  $\text{CO}_2$ -dependent  $^{13}\text{C}$  fractionation remain. Crucially, this shows that the low fractionation factor recorded in *G. spinifera*

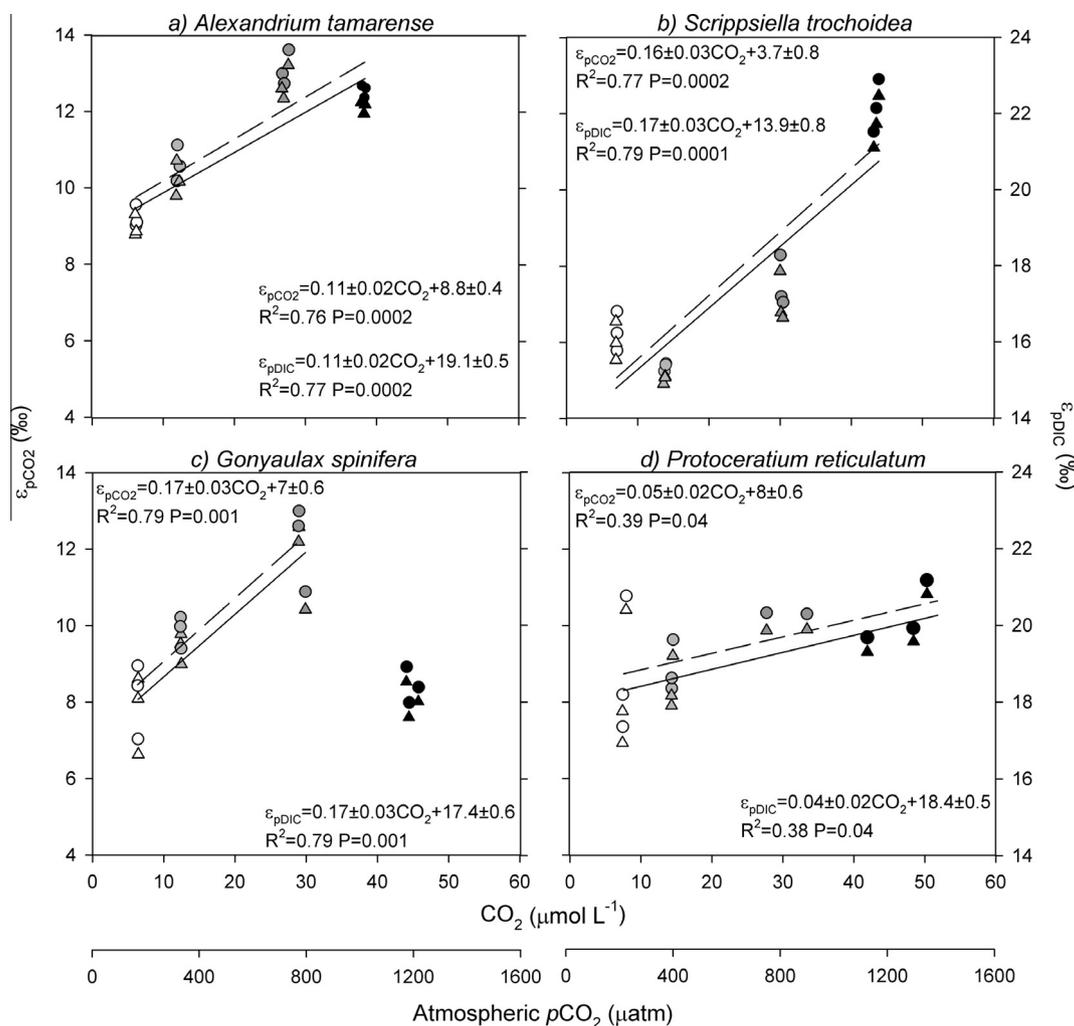


Fig. 1.  $^{13}C$  fractionation based on  $CO_2$  ( $\epsilon_{pCO_2}$ , triangles) and DIC ( $\epsilon_{pDIC}$ , circles) of the studied dinoflagellates as a function of  $CO_2$ , and the respective linear relationships. The various  $pCO_2$  treatments are indicated by open (180  $\mu atm$ ), light gray (380  $\mu atm$ ), dark gray (800  $\mu atm$ ) and black (1200  $\mu atm$ ) symbols. The combination of analytical errors approximates 3% for  $^{13}C$  fractionation and 5% for  $CO_2$ . Symbols show biological triplicates for each treatment. For clarity reasons, treatment means and standard deviations are provided in Table 1. Linear trend lines represent relationships between  $\epsilon_{pCO_2}$  and  $CO_2$  (solid line) and  $\epsilon_{pDIC}$  and  $CO_2$  (dashed line). Trend lines for *G. spinifera* represent the 180, 380 and 800  $\mu atm$   $pCO_2$  treatments only. The very low  $^{13}C$  fractionation in the highest  $pCO_2$  treatment is most likely due to extraordinarily large cells (high POC quotas; Table 1; see text).

in the 1200  $\mu atm$   $pCO_2$  treatment is in large part related to significantly increased POC quota and decreased growth. Cells in this treatment were observed to be significantly larger, and grew significantly slower, than cells in the 180, 380 and 800  $\mu atm$   $pCO_2$  treatments. At present there is no mechanistic explanation for this offset.

The correlations between  $^{13}C$  fractionation and POC quota/ $CO_2$  or  $\mu_c/CO_2$  were not linear but hyperbolic, possibly indicating the presence of CCMs in the tested species. CCMs involve different components and processes, of which two can in principle affect  $^{13}C$  fractionation: (1) the acquisition of not only  $CO_2$  but also  $HCO_3^-$  through active uptake, and/or (2) changes in the amount of  $CO_2$  leaking out of the cell. Since  $HCO_3^-$  is  $^{13}C$ -enriched relative to  $CO_2$ , a decrease in its uptake, e.g. with increasing  $CO_2$  concentrations, may increase  $^{13}C$  fractionation. Increasing leakage may also increase  $^{13}C$  fractionation since it replenishes the intracellular inorganic carbon pool and thus

decreases the  $^{13}C$  accumulation in the vicinity of RubisCO (Sharkey and Berry, 1985; Francois et al., 1993; Rost et al., 2006). CCMs have been reported to differ between *A. tamarense*, *S. trochoidea* and *P. reticulatum*, with respect to the relative  $HCO_3^-$  uptake and leakage as well as to their  $CO_2$ -dependent regulation (Rost et al., 2006; Ratti et al., 2007; Eberlein et al., 2014). Further elucidation of the carbon acquisition characteristics of the tested dinoflagellate species will help developing mechanistic models describing  $CO_2$ -dependent  $^{13}C$  fractionation for potential proxy species.

#### 4.2. Proxy application outlook

The  $CO_2$  dependency of  $^{13}C$  fractionation in dinoflagellates as described here implies that  $^{13}C$  signatures may potentially be used for the reconstruction of past  $CO_2$  concentrations. The experiments indicate that variations in

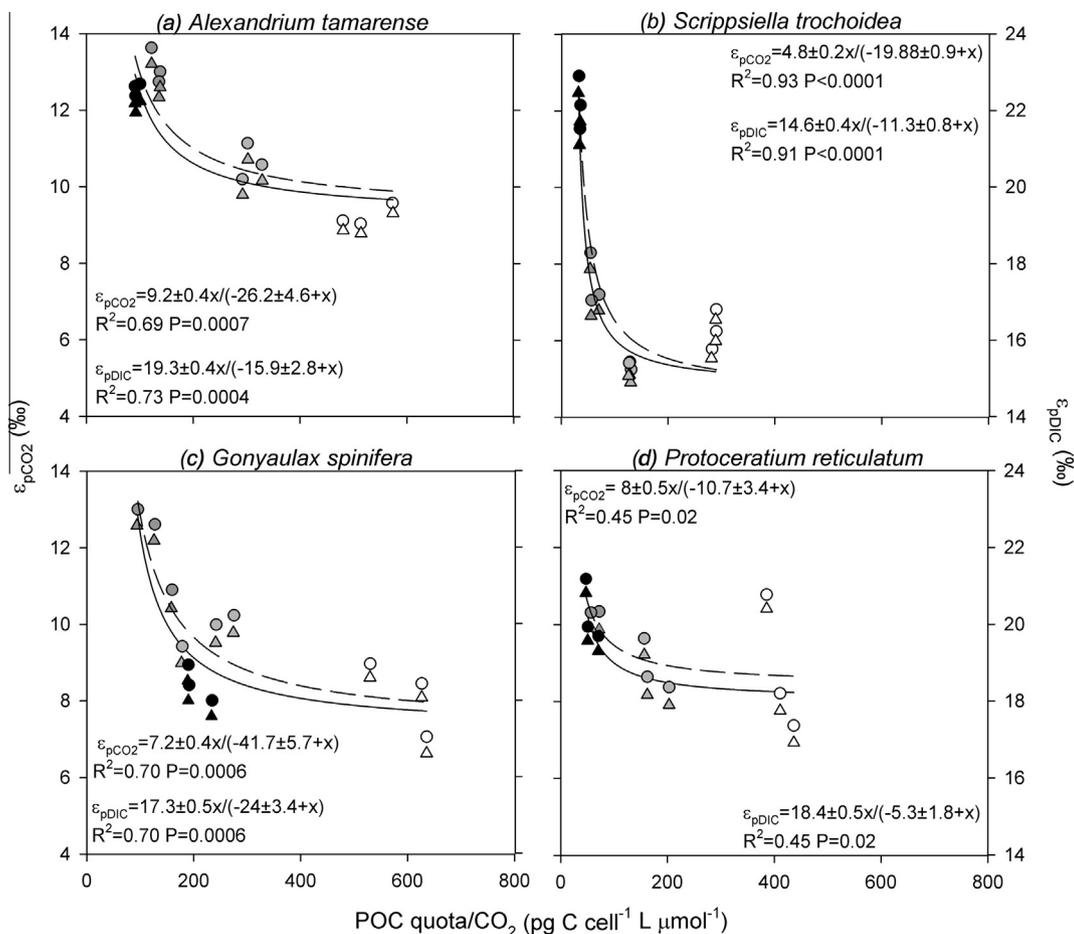


Fig. 2.  $^{13}\text{C}$  fractionation based on CO<sub>2</sub> ( $\epsilon_{\text{pCO}_2}$ , triangles) and DIC ( $\epsilon_{\text{pDIC}}$ , circles) of the studied dinoflagellates as a function of POC quota/CO<sub>2</sub>, and the respective hyperbolic relationships. Trend lines represent relationships between  $\epsilon_{\text{pCO}_2}$  and POC quota/CO<sub>2</sub> (solid line) and  $\epsilon_{\text{pDIC}}$  and POC quota/CO<sub>2</sub> (dashed line). Various  $p\text{CO}_2$  treatments are indicated by open (180 μatm), light gray (380 μatm), dark gray (800 μatm) and black (1200 μatm) symbols. The combination of analytical errors approximates 3% for  $^{13}\text{C}$  fractionation and 6% for POC quota/CO<sub>2</sub>. Symbols show biological triplicates for each treatment. For clarity reasons, treatment means and standard deviations are provided in Table 1.

growth rates and POC quota and/or POC production may affect  $^{13}\text{C}$  fractionation. However, *G. spinifera* is the only species that showed strong CO<sub>2</sub>-dependent changes in these parameters (Table 1). Crucially,  $^{13}\text{C}$  fractionation in *G. spinifera* correlates best to POC quota/CO<sub>2</sub> (Fig. 2c), rather than  $\mu_{\text{c}}/\text{CO}_2$  (Fig. 3c), indicating a stronger effect of POC quota on  $^{13}\text{C}$  fractionation than growth rates. While growth rates are difficult to constrain for paleoreconstructions (Eppley, 1972; Popp et al., 1997), POC quota may be estimated based on cyst size. For instance, Menden-Deuer and Lessard (2000) reviewed previous and own data to infer carbon to volume relationships in dinoflagellates and other protists. Although these relationships also include species like *S. trochoidea*, estimated cell volumes based on measured POC quota were significantly overestimated for our species, as shown as an example for *G. spinifera* in Fig. 4.

As mentioned by Menden-Deuer and Lessard (2000), dinoflagellates have significantly higher carbon contents per volume than e.g. diatoms. For some dinoflagellate species, the carbon density may be even higher and result in the

overestimation. Deriving a carbon to volume relationship specific for certain dinoflagellate species may solve the issue. In fact, microscopic measurements of some of the here tested species suggest that cell size is directly proportional to POC quota (radius (μm) ~ POC quota<sup>1/3</sup> (pg C cell<sup>-1</sup>; Fig. 4)). Consequently, such simple relationships may be applied for reconstructing POC quota based on cell volume. A complicating factor is the relationship between size of cells, as described here, and the size of cysts, found in the sedimentary records. Further work on the relationship between cell size and cyst size is required to better assess POC quota during  $^{13}\text{C}$  fractionation. Alternatively, large cysts, i.e. large cells with an extraordinarily high POC quota, as found in the 1200 μatm  $p\text{CO}_2$  treatment of *G. spinifera* (Table 1), could be excluded from paleoreconstructions. As POC quota of all other tested species were in a range between ~2000 and 4000 pg C cell<sup>-1</sup> (Table 1), cells with extraordinarily high carbon contents (~8000 pg C cell<sup>-1</sup> and bigger) may relatively easily be identified.

Although the experiments presented here suggest a rather negligible influence of growth rates on  $^{13}\text{C}$

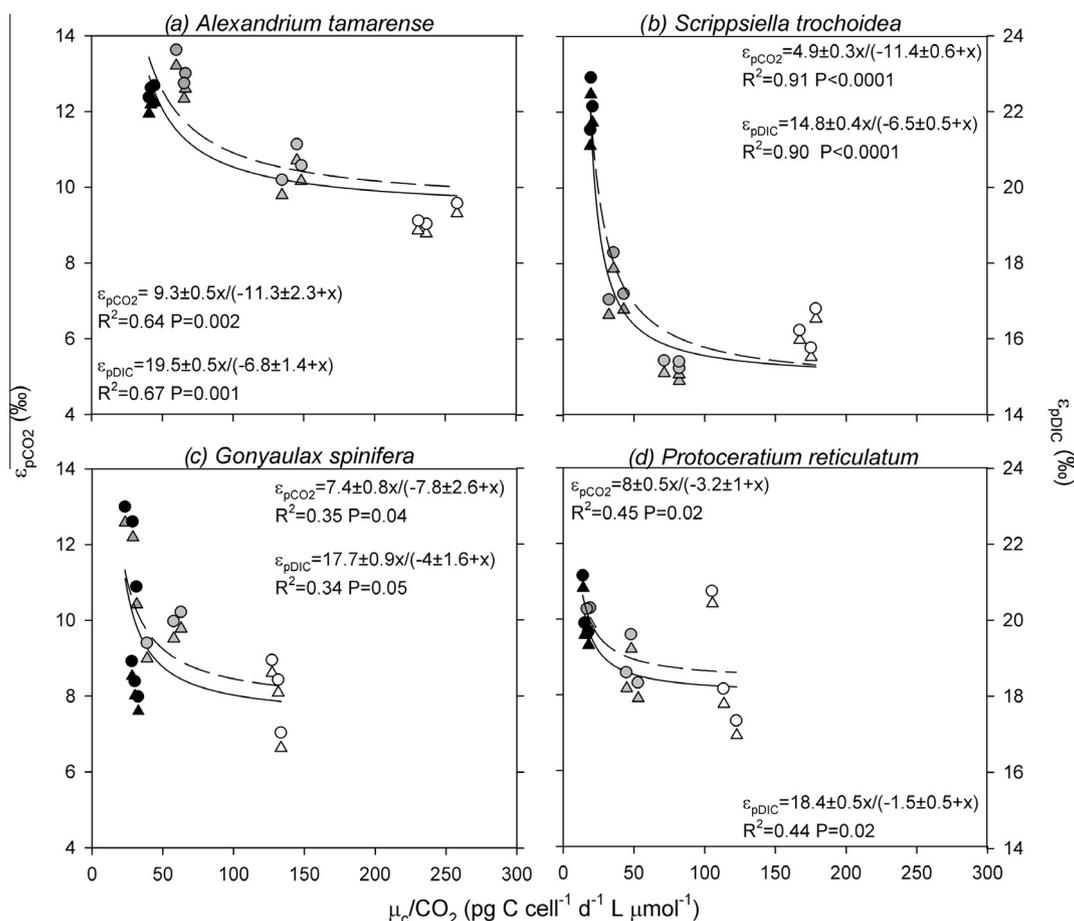


Fig. 3.  $^{13}\text{C}$  fractionation based on  $\text{CO}_2$  ( $\epsilon_{\text{pCO}_2}$ , triangles) and DIC ( $\epsilon_{\text{pDIC}}$ , circles) of the studied dinoflagellates as a function of  $\mu_c/\text{CO}_2$ , and the respective hyperbolic relationships. Trend lines represent relationships between  $\epsilon_{\text{pCO}_2}$  and  $\mu_c/\text{CO}_2$  (solid line) and  $\epsilon_{\text{pDIC}}$  and  $\mu_c/\text{CO}_2$  (dashed line). Various  $p\text{CO}_2$  treatments are indicated by open (180  $\mu\text{atm}$ ), light gray (380  $\mu\text{atm}$ ), dark gray (800  $\mu\text{atm}$ ) and black (1200  $\mu\text{atm}$ ) symbols. The combination of analytical errors approximates 3% for  $^{13}\text{C}$  fractionation and 8% for  $\mu_c/\text{CO}_2$ . Symbols show biological triplicates for each treatment. Treatment means and standard deviations are provided in Table 1.

fractionation (cf. Figs. 2c and 3c), their potential influence must be tested further. For instance, higher temperatures may lead to changes in growth rates that will depend on the species-specific growth optima, while limitation by resources, for example light, may cause a decrease in growth rate. This is especially important since other studies have shown that environmental factors, including light availability, affect  $^{13}\text{C}$  fractionation in diatoms, a haptophyte, as well as a dinoflagellate species (Burkhardt et al., 1999b; Rost et al., 2002). Taking potential influences of growth rates on  $^{13}\text{C}$  fractionation into account, estimations could be made by preferentially choosing those study sites for paleoreconstructions, where oceanographic settings stayed relatively constant throughout the past.

The observed species-specific differences illustrate the complexity and variety of physiological processes affecting  $^{13}\text{C}$  fractionation. In fact, the use of multiple species in eventual proxy application could circumvent potential biases caused by the influence of environmental factors as light, nutrients and temperature. Additionally, the morphology of the cysts produced by dinoflagellates is highly species-specific so that species-specific calibrations can be

confidently used to calculate paleo  $p\text{CO}_2$ . Approximately 15% of the modern dinoflagellate species produce resting cysts (dinocysts), mainly during the sexual life cycle (Fensome et al., 1993). Sexual reproduction and subsequent cyst formation is usually triggered when dinoflagellates grow under nutrient (e.g. nitrogen or phosphorus) depletion at the end of blooms (Ellegaard et al., 1998; Rochon et al., 2009) and can happen rapidly within few minutes to an hour (Kokinos and Anderson, 1995; Hallet, 1999; Rochon et al., 2009). Most species produce cysts of organic matter, which are not susceptible to dissolution and very resistant to oxidation relative to other organic substrates. Consequently, dinocysts are typically found in sediments that are not completely oxidized. Dinocysts are found in sediments as old as the Triassic (~215 Ma) and have provided valuable biostratigraphic tools and paleoenvironmental proxies on geological timescales (MacRae et al., 1996; Sluijs et al., 2005). Furthermore, cysts of many dinoflagellate species, including the ones of *G. spinifera* and *P. reticulatum*, can be found in sediments of very different oceanographic settings; i.e., they have a high tolerance for a range of nutrient concentrations and temperatures and

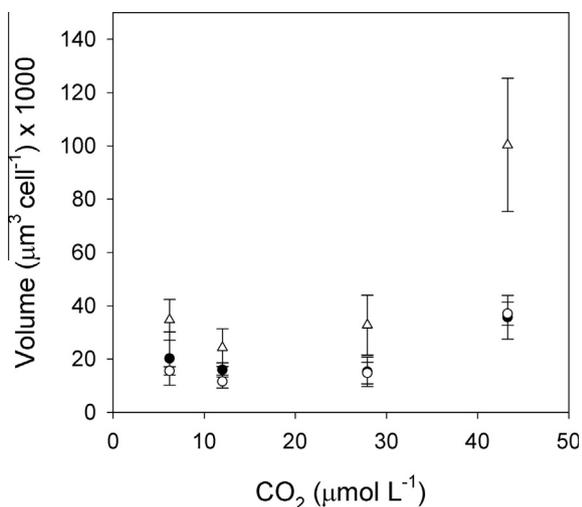


Fig. 4. Cell volumes of *G. spinifera* calculated based on microscope measurements (black circles;  $n = 10\text{--}15$ ;  $\pm$ SD), calculated based on the simple relationship between POC quota and cell volume, which is described by a simple POC quota to volume relationship (open circles;  $n = 3$ ;  $\pm$ SD), and averages of all relationships between POC quotas and cell volumes that are provided by Menden-Deuer and Lessard, (2000; open triangles;  $n = 6$ ;  $\pm$ SD).

can thus be considered as cosmopolitan (Zonneveld et al., 2013). As they range in size between 20 and 150  $\mu\text{m}$ , individuals can be identified and readily isolated to the biological species level using light microscopy. Finally, dinocysts contain sufficient carbon to determine their  $^{13}\text{C}$  fractionation, in principle, on single cysts to few individuals.

Although cyst formation and early diagenesis (Zonneveld et al., 2008; Versteegh et al., 2010) may affect the  $\delta^{13}\text{C}$  of dinocysts, sedimentary studies have shown that dinocyst  $\delta^{13}\text{C}$  is related to the isotopic composition of dissolved inorganic carbon in seawater ( $\delta^{13}\text{C}_{\text{DIC}}$ ; Sluijs et al., 2007). Cyst formation in controlled growth experiments and core top calibrations are needed to further constrain the relation between  $p\text{CO}_2$ , dinoflagellate  $^{13}\text{C}$  fractionation and dinocyst  $\delta^{13}\text{C}$ . Atmospheric  $p\text{CO}_2$  changed considerably over geological timescales, varying between 180  $\mu\text{atm}$  to several thousands of  $\mu\text{atm}$  (e.g. Petit et al., 1999; Zhang et al., 2001; Berner, 2006; Royer, 2006). Several proxies based on different organisms, shells and even mineral occurrences have been suggested for quantitative  $\text{CO}_2$  reconstructions (e.g. Eugster, 1966; Van Der Burgh et al., 1993; Jasper et al., 1994; Sanyal et al., 1996; Bidigare et al., 1997; Lowenstein and Demicco, 2006). Since available proxies are affected by factors other than  $\text{CO}_2$ , the quest for additional constraints on past  $p\text{CO}_2$  remains important.

## 5. CONCLUSIONS

Dilute batch experiments have been performed with four dinoflagellate species in order to quantify the relationship between their  $^{13}\text{C}$  fractionation and  $\text{CO}_2$  concentrations. Clear relationships between  $^{13}\text{C}$  fractionation and  $\text{CO}_2$  concentrations were found for all tested species. However, an offset was found for the highest  $p\text{CO}_2$  treatment of *G.*

*spinifera*, when cells exhibiting extraordinarily high POC quota yielded very low fractionation factors. Apparently, changing cellular organic carbon content (POC quota) relates to  $^{13}\text{C}$  fractionation in *G. spinifera* to a greater extent than variations in growth rate. These results may be interpreted as a first step towards a proxy for past  $p\text{CO}_2$  based on carbon isotope ratios of fossil organic dinoflagellate cysts, although a suite of biological and biogeological experiments are required to establish a reliable proxy.

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