Two finite-volume unstructured mesh models for large-scale ocean modeling

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5 Abstract

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Two approaches pertaining to modeling large-scale ocean circulation on unstructured meshes are described. Both use the finite-volume ideology, un-7 structured surface triangular mesh and geopotential vertical coordinate, and 8 promise better numerical efficiency than $P_1 - P_1$ finite element models. The g first one is formulated on median-dual control volumes for all variables and 10 presents a finite-volume implementation of $P_1 - P_1$ finite-element discretiza-11 tion (A-grid). The second one differs by the cell-centered placement of hor-12 izontal velocities (quasi-B-grid). Two practical tasks have to be solved to 13 ensure their stable performance in long-term simulations. For triangular A-14 grids, it is the stabilization against pressure modes triggered by the stepwise 15 bottom topography. The proposed solution preserves volume and tracers by 16 introducing a composite representation for the horizontal velocity (with an 17 elementwise-constant velocity correction). The quasi-B-grid setup is free of 18 pressure modes but requires efficient filtering and dissipation in the momen-19 tum equation because of its too large velocity space. Implementations of 20 momentum advection and viscosity that serve this goal are proposed. Both 21 setups show stable performance and similar numerical efficiency, as exempli-22 fied by simulations of a baroclinic channel flow and circulation in the North 23 Atlantic. 24

²⁵ Key words: Unstructured meshes, Finite volumes, large-scale ocean

26 circulation

27 **1. Introduction**

There are many ways unstructured meshes can be helpful in large-scale ocean modeling, most obviously by providing a local focus in a global con-

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figuration without nesting and open boundaries. Other appealing features like mesh adaptivity are potentially of interest in some broader context (for a review, see, e. g., Piggott et al. (2008)).

The experience gained thus far with the Finite-Element Sea-ice Ocean cir-33 culation Model (FESOM) (Wang et al. (2008), Timmermann et al. (2009)) 34 indicates that unstructured meshes present a sensible approach to modeling 35 ocean circulation in configurations requiring a regional focus in an otherwise 36 global context; the approach becomes rather efficient on meshes with a large 37 refinement factor (< 20). It has also shown that a strong gain in numerical 38 efficiency is desirable in order to be practical in situations where less refine-39 ment is needed. Discretizations based on finite volume (FV) method promise 40 better computational efficiency (see, e. g. Blazek (2001)) and thus it seems 41 natural to follow their ideology. There also are good examples to follow, 42 one suggested by FVCOM (Chen et al., 2003), and others proposed by the 43 atmospheric modeling community (see, e. g., Szmelter and Smolarkiewicz 44 (2010) and Ringler et al. (2010)). 45

There are more subtle issues as well. Continuous Galerkin (CG) finite-46 element (FE) discretizations (used by FESOM and several other models) 47 face difficulties when solving for hydrostatic pressure and vertical velocity in 48 hydrostatic codes. These elementary first-order problems lead to matrices 49 with zeros at diagonals. The horizontal connections further complicate the 50 solution by entangling all mesh nodes. Similarly, inversion of global matrices 51 is needed if vertical diffusion or viscosity is treated implicitly. Although these 52 difficulties can partly be alleviated by switching to vertically discontinuous 53 elements (as in White et al. (2008)), only a fully discontinuous representation 54 reintroduces 'locality' to the discretized operators. However, the respective 55 Discontinuous Galerkin (DG) methods prove to be more costly than the CG 56 methods. The FV method once again emerges as a promising alternative. 57

This article aims at presenting two FV unstructured-mesh approaches, 58 one using median-dual (vertex-centered) control volumes for all variables, 59 and the other one, using cell-centered horizontal velocities, but preserving 60 median-dual control volumes for scalar variables. A standard set of primitive 61 equations is solved under the Boussinesq, hydrostatic and other traditional 62 approximations. Both setups assume z-coordinate in vertical, as is common 63 in large-scale ocean modeling. Since all variables are at mesh vertices in 64 the horizontal plane in the first case, it will be referred to as the A-grid 65 approach. This placement is shared with FESOM, and the A-grid is just its 66 FV implementation. The other, cell-vertex approach will be referred to as 67

the quasi-B-grid to emphasize staggering of variables. It shares the placement of variables with FVCOM. Judged by the ratio of velocity to scalar degrees of freedom, it is closer to the C-D grids, yet its velocities are not at edges. In the framework of FE method, the quasi-B-grid corresponds to $P_0 - P_1$ element.

These variable placements are well explored on the level of shallow water equations (see, e. g., Le Roux et al. (2007) and Le Roux and Pouliot (2008) for the analysis of $P_1 - P_1$ and $P_0 - P_1$ pairs)¹ and boast long lists of applications, too numerous to be discussed here. Our interest to these variable placements was partly motivated by their known behavior.

The other aspect is that these two grids imply different ratios between 78 degrees of freedom in the horizontal velocity and scalars. This has impli-79 cations for their performance in tasks of large-scale ocean modeling. The 80 A-grids offer the least expensive configuration on triangular meshes with the 81 balanced (2:1) ratio. This may be beneficial in strongly nonlinear regimes 82 because same scales are resolved by velocities and scalars. However, just 83 as $P_1 - P_1$ FE setups, A-grids may support pressure modes. Quasi-B-grids 84 present an alternative without pressure modes, but introduce too many ve-85 locities. This leads to spurious inertial modes, and, more importantly, may 86 result in strong generation of small-scale velocity variance through the mo-87 mentum advection. Note that the velocity space is excessively large for many 88 triangular discretizations proposed in the literature. Note also that many of 89 them support spurious modes (Le Roux et al. (2007)). 90

The implications of these 'geometrical' features depend on typical dy-91 namics, and the specific goal of this paper is to present solutions that work 92 well on large scales for A- and quasi-B-grids. It turns out that the stepwise 93 bottom of z-coordinate meshes triggers pressure modes on A-grids, and we 94 propose a stabilization technique similar to that of FESOM which is compat-95 ible with volume and tracer conservation. The main problem of quasi-B-grids 96 indeed proves to be their tendency to noise in eddy-resolving regimes. Its 97 solution lies in filtering the momentum advection. The algorithms proposed 98 below tackle this problem too. Augmented with these solutions the A- and 90 quasi-B-grids show rather similar performance, but assume different tuning 100

¹As concerns linear waves, the difference between FE and FV implementations is roughly equivalent to mass matrix lumping, which does not compromise wave dispersion (Le Roux et al. (2009)).

¹⁰¹ strategy.

Among many (sometimes sophisticated) ways of discretizing the primi-102 tive equations on unstructured meshes, those based on low-order elements are 103 frequently preferred as they warrant geometrical flexibility at a reasonable 104 numerical cost. Since many of them have to deal with issues introduced by the 105 geometry of variable placement, their robust functioning depends on specific 106 algorithms (like those mentioned above for A- and quasi-B-grids). Current 107 challenge, in our opinion, lies in providing fast and reliable frameworks en-108 abling real-world simulations which will feedback on the model development. 109 It is hoped that the proposed approaches will contribute in this direction. 110

The material is organized as follows. Section 2 explains geometrical is-111 sues. The next sections 3 and 4 present discretizations of the two setups in 112 some detail. Section 4 concentrates only on the momentum equation part. 113 Since the arrangement of scalar variables is the same as on the A-grid, the dis-114 cretization is similar too and is not repeated. Numerical examples illustrating 115 functionality of two setups (baroclinic instability in a channel and circulation 116 in the North Atlantic) are presented in section 5. Section 6 presents a short 117 discussion and section 7 concludes. The analysis assumes plane geometry for 118 simplicity, the spherical geometry is used in reality. 119

120 2. Placement of variables

The horizontal and vertical placement of variables is illustrated in left 121 and right panels of Fig. 1 respectively. On an A-grid all variables are lo-122 cated at nodes (vertices) in the horizontal plane. We will be referring to 123 them as nodal fields, with understanding that the name pertains only to the 124 horizontal placement. Similarly, an elemental field is that with variables at 125 centroids when viewed from above. On quasi-B grids the horizontal veloc-126 ity is elemental, but scalar quantities and vertical component of velocity are 127 nodal, same as on an A-grid. Note that an alternative A-grid setup is possi-128 ble with all variables at centroids. It is not considered here as we would like 129 to keep the scalar parts of A and quasi-B-grid setups as similar as possible. 130 We use z-levels, and arrange the horizontal velocities, temperature, salinity 131 and pressure at mid-levels, while the vertical velocity is at full levels. Let \overline{z}_n 132 denote the depth of levels, with $\overline{z}_1 = 0$ and $\overline{z}_{N_L} = -H_{max}$, where N_L is the 133 maximum number of levels and H_{max} is the maximum depth. The depth of 134 mid-levels is $Z_n = (\overline{z}_n + \overline{z}_{n+1})/2$, $n = 1 : N_L - 1$. The field variables will be 135



Figure 1: Schematics of mesh geometry. Left panel: In the horizontal plane, the scalar quantities and vertical velocities are located at mesh nodes (circles). The horizontal velocities are at nodes on A-grid and on centroids (squares) on quasi-B-grid. An edge is characterized by its two nodes i_1 and i_2 , two neighboring triangles t_1 and t_2 , the edge vector **L** directed to i_2 (t_1 on the left) and two cross-vectors **S**(1:2) directed to centroids. The median-dual control cells in the horizontal plane are formed by connecting mid-edges with centroids (thin lines). Control cells for the horizontal velocities on quasi-B-grid co-incide with triangles. Three-dimensional control volumes are prisms based on respective control cells with top and bottom faces on the level surfaces \overline{z}_n . Right panel: In the vertical plane, the temperature, salinity, pressure and horizontal velocities are at mid-levels Z_n .

distinguished by two indices, for example, T_{ni} is the value of temperature at Z_n and below the surface node *i*.

With each surface node i we associate a median-dual surface control cell 138 that is built from segments connecting centroids of neighboring triangles with 139 centers of edges containing node *i*. A triangle is referred to as neighboring if 140 it contains node i. Most of operations in FV codes are edge-based. An edge 141 j is characterized by its two nodes (i_1, i_2) , the edge vector pointing to node 142 $i_2, \mathbf{L}_j = (x_{i_2} - x_{i_1}, y_{i_2} - y_{i_1})$, two triangles sharing the edge (t_1, t_2) , where 143 t_1 is to the left of \mathbf{L}_j , and two cross-vectors drawn from the edge center to 144 element centroids, $\mathbf{S}_{i}(1:2) = (\mathbf{x}_{1}, \mathbf{x}_{2})$, as illustrated in Fig. 1. For boundary 145 edges the second triangle is absent. 146

Since the elevation is defined at nodes, it would be natural to define the bottom topography in the same way, i. e. associate it with the scalar control cells. This however, leads to problems with respect to pressure gradient computation on A-grids. Indeed, in this case all velocity points are wet, and we have to write momentum equations for each of them. Except for the flat bottom case, there are deep locations where the neighborhoods used to compute pressure and elevation gradients are different, which is inconsistent. Note that this difficulty would not exist on quasi-B grids because velocity locations with reduced number of neighbors are then always on vertical walls where the no-slip boundary conditions are applied. Note also that the problem is specific to z-coordinate meshes.

The alternative is to define the bottom topography on triangles, which is compatible with both A and quasi-B grids. We therefore follow it. The elementwise-constant depth of ocean may take any of \overline{z}_n values for $n \geq 2$.

A 3D control volume is a prism based on respective surface control cell 161 (median-dual for A-grid, and both median-dual and triangular for quasi-162 B-grid) and bounded by level surfaces at its top and bottom. Because of 163 z-coordinate and elementwise-constant bottom topography, the deep median-164 dual control volumes can partly be occupied with land. For that reason it is 16 convenient to introduce the array containing actual 'liquid' horizontal areas 166 of scalar control volumes, A_{ni} , in addition to the array A_t of triangle areas. 16 The area A_{ni} is related to mid-level Z_n and node *i*. The vertical advective 168 flux through the upper face of control volume (n, i) involves this area, and 169 through the lower face, $A_{(n+1)i}$. Also for convenience we introduce, for each 170 node *i*, maximum and minimum numbers of levels over neighboring triangles, 17 N_i^{max} and N_i^{min} , respectively (see Fig. 2). 172

Such 'partial' control volumes do not create complications for scalar quan-173 tities because vertical rigid walls contribute with zero fluxes. The A-grid hor-174 izontal velocities turn to lie at bottom singularities and the only safe option 175 is to fix them assuming no-slip boundary conditions, as illustrated in Fig. 2. 176 In this case the horizontal velocity is non-zero only in full control volumes, i. 177 e., in layers from 1 to $N_i^{min} - 1$. The vertical velocity is not constrained in 178 that way because it must react to convergence (divergence) of volume fluxes 179 through the 'liquid' vertical faces of control volumes. 180

¹⁸¹ On quasi-B-grid the horizontal velocity locations are always 'wet' and ¹⁸² thus both free-slip and non-slip boundary conditions are allowed.

Admittedly, because of boundary conditions in z-coordinate setups Agrids are disadvantageous in narrow straits. More importantly, in shallow regions with rough topography they may over-constrain the solution and trigger a noisy response in the vertical velocity and elevation. It is mainly this induced noise that makes stabilization (see further) indispensable on z-coordinate meshes.



Figure 2: Schematics explaining boundary conditions on the horizontal velocity on A-grid. The horizontal velocities at vertical wall edges are set to zero (four-stars). The 'partial' control volumes hosting these locations are skipped in horizontal velocity computations, so that one always deals with full control volumes in layers from n = 1 to $n = N_i^{min} - 1$. Arrows show locations where the bottom drag is applied. The vertical velocity is zero only at bottom locations, but is allowed at vertical walls to accommodate volume fluxes through faces of control volumes.

189 3. Triangular A-grid

The A-grid setup was inspired by the work by Szmelter and Smolarkiewicz (2010) on the edge-based (median-dual) unstructured mesh discretization in geospherical framework and the fact that it corresponds to FESOM (Wang et al., 2008) reformulated in the finite-volume language. An immediate advantage of FV discretization as compared to the CG FE one of FESOM is the simplicity of computations of the vertical velocity and hydrostatic pressure and the implicit integration of vertical diffusion and viscosity.

A triangular A-grid, similarly to a regular quadrilateral one, may suffer from pressure noise (elevation noise in hydrostatic codes). Its formal reason is the null space of the discretized gradient operator. Despite the true null

space is present very rarely on meshes of variable resolution, the pressure 200 noise is generally observed if the geopotential (z) vertical coordinate is used 201 for the reasons mentioned above. In this respect the situation resembles that 202 on regular B-grids (see, e. g., Killworth et al. (1991)), but the problem is 203 more expressed on triangular A-grids and stabilization is generally necessary. 204 Its basic idea is close to the recipe for B-grids by Killworth et al. (1991), but 205 the implementation is different, as we seek a way that preserves the volume 206 balance. 207

Our presentation of A-grid setup starts from the case without stabilization, shared except for detail with the quasi-B-grid setup, and is complemented with the implementation of stabilization.

211 3.1. Unstabilized solution algorithm

The horizontal momentum equation is discretized with respect to time as

$$\mathbf{u}^{k+1} - \mathbf{u}^k + g\Delta t \nabla(\theta \eta^{k+1} + (1-\theta)\eta^k) = \Delta t \mathbf{R}^{k+1/2}, \tag{1}$$

where

$$\mathbf{R} = -\nabla p - \nabla \cdot (\mathbf{u}\mathbf{u}) - \partial_z(w\mathbf{u}) - \mathbf{f} \times \mathbf{u} + \nabla \cdot \sigma + \partial_z(A_v \partial_z \mathbf{u})$$

is the right hand side (rhs) vector. Here k labels time steps of length Δt , the 213 rhs is estimated at mid-step with an appropriate explicit algorithm, e.g., 214 the second or third order Adams-Bashforth method (the implicit stepping of 215 vertical viscosity introduces modifications mentioned below). The rest of no-216 tation is standard: $\mathbf{u} = (u, v)$ is the horizontal velocity, $\mathbf{v} = (\mathbf{u}, w)$ the full 3D 217 velocity, **f** the Coriolis vector, η the elevation, $p = \int_z^0 g\rho dz / \rho_0$ the normalized 218 pressure due to fluid below z = 0, g the gravity acceleration, ρ the density 219 and ρ_0 its reference value, A_v the vertical viscosity coefficient, θ the implicit-220 ness parameter, and $\nabla = (\partial_x, \partial_y)$. The horizontal viscosity is given in terms 221 of viscous stress tensor σ with components $\sigma_{\alpha\beta} = 2A_h(e_{\alpha\beta} - (1/2)\delta_{\alpha\beta}e_{ll}),$ 222 where A_h is the horizontal viscosity coefficient, α, β and l are x or y, $\delta_{\alpha\beta}$ is 223 the Kronecker tensor, $e_{\alpha\beta} = (1/2)(\partial_{\alpha}u_{\beta} + \partial_{\beta}u_{\alpha})$ is the symmetrized tensor 224 of horizontal velocity derivatives, and summation is implied over repeating 225 indices. 226

We split the momentum equation (1) into a predictor step,

$$\mathbf{u}^* - \mathbf{u}^k = \Delta t \mathbf{R}^{k+1/2} - g \Delta t \nabla \eta^k, \tag{2}$$

²²⁸ and the corrector step,

$$\mathbf{u}^{k+1} - \mathbf{u}^* = -g\Delta t\theta(\eta^{k+1} - \eta^k).$$
(3)

The predictor velocity \mathbf{u}^* can immediately be determined based on values from the previous time step, but the full velocity cannot, because the elevation on the new time level is not known.

In order to find it write first the elevation (vertically integrated continuity) equation

$$\eta^{k+1} - \eta^k = -\Delta t \nabla \cdot \int_{-H}^0 (\alpha \mathbf{u}^{k+1} + (1-\alpha)\mathbf{u}^k) dz, \qquad (4)$$

and insert \mathbf{u}^{k+1} expressed from (3) to obtain an equation containing only the elevation. Here α is the implicitness parameter in the elevation equation. The approximation of linear free surface (zero upper limit in the integral) is used here for simplicity.

However, to be consistent on the discrete level, the substitution has to be made after discretizing equations in space. We will now explain how to do it.

Equations (2), (3) and (4) are integrated over control volumes. By virtue of Gauss theorem their flux divergence terms reduce to sums of fluxes through the faces of control volumes. On an A-grid the momentum advection term becomes

$$\int_{ni} (\nabla \cdot (\mathbf{u}\mathbf{u}) + \partial_z(w\mathbf{u})) d\Omega = w_{ni} \mathbf{u}_{(n-1/2)i} A_{ni} - w_{(n+1)i} \mathbf{u}_{(n+1/2)i} A_{(n+1)i} + \sum_s \mathbf{n} \mathbf{u}_s \mathbf{u}_s l_s h_n$$

Here $h_n = \overline{z}_n - \overline{z}_{n+1}$ is the layer thickness, the sum is over the segments s 241 (faces in reality, but the surface edge/segment structure is used to address 242 them) building the boundary of the control cell i, \mathbf{n}_s are their outer normals, 243 l_s are the segment lengths, \mathbf{u}_s , $\mathbf{u}_{(n-1/2)i}$ and $\mathbf{u}_{(n+1/2)i}$ are, respectively, the 244 velocity estimates on segment s and the top and bottom faces. Similar ap-245 proach is used to compute all other fluxes, with the difference that incomplete 246 prisms are taken into account for scalar quantities. In all cases appropriate 247 estimates of the advected quantities have to be supplied. 248

As an aside note that the convenience of FV approach hinges on using the edge structure to assemble sums of horizontal fluxes. For example, returning to the momentum advection, the contribution from edge j and layer n into the control volume around the first node i_1 of edge j is

$$((\mathbf{S}_j(1) - \mathbf{S}_j(2)) \times \mathbf{u}_{nj}) \cdot \mathbf{e}_z \mathbf{u}_{nj} h_n$$

Here $\mathbf{u}_{nj} = (1/2)(\mathbf{u}_{ni_1} + \mathbf{u}_{ni_2})$ is the velocity estimate at edge j (both segments associated with edge use the same edge velocity), \mathbf{e}_z the unit vertical vector, i_2 the second node of edge j, and the contribution to the control volume around i_2 differs in sign.

We employ centered estimate of velocity at mid-edges in computations of volume flux. This, in fact, defines the discretized divergence operator.

Computation of discretized gradient operator requires a comment. Following the edge scheme, the contribution to the area-integrated pressure gradient at node i_1 of edge j in layer n is

$$(1/2)(\mathbf{S}_{j}(1) - \mathbf{S}_{j}(2)) \times \mathbf{e}_{z}(p_{ni_{1}} + p_{ni_{2}})h_{n}.$$

²⁵⁵ It is taken with opposite sign for the other node.

Alternatively, one may follow the FE way, first computing gradients on elements (triangular prisms) and then combining element-area-weighted gradients to get nodal quantities,

$$(\nabla p)_{ni}A_{ni} = \sum_{t} (\nabla p)_{nt}A_t/3,$$

where t lists neighboring triangles, and A_t is the area of triangle t. Elemental 256 gradients are computed by three nodal values assuming linear representation. 25 Because of stabilization (explained further) we will need gradients on 258 nodes and elements, and the second form becomes more convenient. Al-259 though the two implementations of nodal gradient operator are identical in 260 planar geometry (and prove to be minus transpose of the divergence oper-261 ator), only the edge implementation preserves this property on A-grids in 262 spherical geometry. We admit the incurring small inconsistency. 263

Written in terms of discretized variables, equations (2-4) take the form

$$\mathbf{u}_{ni}^* - \mathbf{u}_{ni}^k = \Delta t \mathbf{R}_{ni}^{k+1/2} - g \Delta t \sum_j G_{ij}^n \eta_j^k, \tag{5}$$

$$\mathbf{u}_{ni}^{k+1} - \mathbf{u}_{ni}^* = -\Delta t \theta g \sum_j G_{ij}^n (\eta_j^{k+1} - \eta_j^k), \tag{6}$$

265 and

$$\eta_i^{k+1} - \eta_i^k = -\Delta t \sum_{n,j} D_{ij}^n (\alpha \mathbf{u}_{nj}^{k+1} + (1-\alpha) \mathbf{u}_{nj}^k) h_n.$$
(7)

Here we introduced the gradient G_{ij}^n and divergence D_{ij}^n operator matrices for layer *n* for brevity. The gradient operator is defined at nodes and acts on elevation on neighboring nodes. The divergence operator is defined at nodes too, but acts on nodal velocities. Index *j* lists all neighbors of node *i* at layer *n*, and *n* lists all layers down to $N_i^{max} - 1$.

Substituting \mathbf{u}_{ni}^{k+1} expressed from (6) into (7), one gets the equation governing the elevation

$$\eta_i^{k+1} - \eta_i^k - g\alpha\theta(\Delta t)^2 \sum_{n,j} D_{ij}^n h_n \sum_l G_{jl}^n (\eta_l^{k+1} - \eta_l^k) = \Delta t R_\eta, \qquad (8)$$

where

$$R_{\eta} = -\sum_{n,j} D_{ij}^{n} (\alpha \mathbf{u}_{nj}^{*} + (1-\alpha) \mathbf{u}_{nj}^{k}) h_{n}.$$

The predictor velocity is estimated first, and equation (8) is then solved for 273 the elevation. The velocity is corrected afterwards by (6). The concern with 274 pressure (elevation) noise on A-grids is explained by the fact that G_{ij}^n pos-275 sesses a null-space in certain cases. The operator occurring in (8) is obtained 276 by summing over layers, and it is thus improbable that it will be rank defi-27 cient on variable topography. Ironically, the pressure noise is the strongest 278 just in such cases and is seldom seen on flat bottom. It is thus enforced 279 through the stepwise z-coordinate bottom and the structure of differential 280 operator in (8) which connects node *i* not only with neighboring nodes, but 28 also with their neighbors. The stencil of this operator, written more con-282 cisely as $H = \sum_{n} D^{n} G^{n} h_{n}$ turns out to be too wide to effectively penalize 283 local discontinuities. The operator is the depth-weighted Laplacian, so the 284 idea of stabilization is to replace it partly or fully with the Laplacian defined 285 on immediate neighborhood stencil as discussed further. 286

When the vertical viscosity is large, it is treated implicitly. In that case 287 $\partial_z A_v \partial_z \mathbf{u}$ is included on the left hand side of (2) and hence (5), while the 288 corrector equations are left without changes. The second-order time accuracy 289 is formally retained because the right hand side of predictor equation (5)290 includes the estimate of elevation gradient at time level k. The velocity nodes 29 become vertically connected in the predictor equation. A three-diagonal 292 system of linear equations is solved for each horizontal location to disentangle 293 them. In contrast, a full 3D system must be solved for CG FE case because 294 of existing horizontal connections. 295

296 3.2. Stabilization

The idea of stabilization is borrowed from FESOM (see Wang et al. (2008) and Danilov et al. (2008)). We modify the predictor and corrector steps in the following way. The predictor step becomes

$$\mathbf{u}_{ni}^* - \mathbf{u}_{ni}^k = \Delta t \mathbf{R}_{ni}^{k+1/2} - g\gamma \Delta t \sum_j G_{ij}^n \eta_j^k, \tag{9}$$

i.e. \mathbf{u}^* is now slightly offset (for γ close, but less than 1) from a 'good' prediction (*j* here lists neighboring nodes). This difference is compensated in the correction step, but in the space of velocities defined at centroids,

$$\tilde{\mathbf{u}}_{ni}^{k+1} = \mathbf{u}_{ni}^*,\tag{10}$$

$$\overline{\mathbf{u}}_{nt}^{k+1} = -\Delta t \theta g \sum_{j} \overline{G}_{tj}^{n} (\eta_{j}^{k+1} - \eta_{j}^{k}) + \Delta t (\gamma - 1) g \sum_{j} \overline{G}_{tj}^{n} \eta_{j}^{k}, \qquad (11)$$

and j here indexes nodes of triangle t. Let us explain this notation. In 303 the second case the velocity is computed at centroids t instead of nodes, 304 and the operator \overline{G}_{ti}^n returns elemental gradients. This is the composite 305 representation of the horizontal velocity, with the largest part (tilde) in the 306 nodal space and the correction (overline) in the elemental space. Although 30 their sum is undefined, the volume or tracer fluxes driven by them can be 308 added. We therefore demand that the continuity be satisfied by the velocity 309 field in the composite representation. The volume flux through vertical faces 310 of control volumes is, for every face, the sum of two contributions, one from 311 the nodal velocity part at mid-edge locations $(\tilde{\mathbf{u}})$ and the other one, from 312 the elemental part at centroids $(\overline{\mathbf{u}})$. Technically the modification reduces to 313 just summing both velocity contributions for each face. 314

³¹⁵ When the elevation η^{k+1} at a new time step is found, both (10) and (11) ³¹⁶ are known and are used to compute the vertical velocity and advect the ³¹⁷ scalars. This ensures internal consistency and warrants conservation.

This modification replaces the operator H with $\mathbf{L} = \sum_{n} \overline{\mathbf{D}}^{n} \overline{\mathbf{G}}^{n} h_{n}$, where $\overline{\mathbf{D}}^{n}$ is the divergence operator complementary to $\overline{\mathbf{G}}^{n}$ (acting on velocities at centroids). In contrast to H, L is computed on the nearest neighborhood stencil. At the end of full model time step, when tracers are already updated, the velocity $\overline{\mathbf{u}}^{k+1}$ is projected to nodal locations, and one recovers full nodal \mathbf{u}^{k+1} . It is only used to compute the rhs of momentum equations on the next time step. In practice, $\gamma = 0.97$ is sufficient in most cases. The role of small deviation from one becomes transparent if we consider a quasistationary limit when $\eta^{k+1} \approx \eta^k$. It is only this difference which keeps \mathbf{u}^* slightly offset from \mathbf{u}^{k+1} , so that the stabilization continues to work.

On the positive side, the operator part in the resulting equation on elevation contains only L. This reduces the CPU time needed to solve for the elevation (the number of nonzero elements in rows of L is more than twice smaller than in rows of H). Similarly to the nonstabilized case, the implicit treatment of vertical viscosity can be added to the predictor step, because the difference between \mathbf{u}^* and \mathbf{u}^{k+1} remains small.

335 3.3. Vertical velocity, pressure

Computation of vertical velocity and hydrostatic pressure follow the standard implementation of hydrostatic models. Here the FV method offers major advantages over the CG FE approach because horizontal connections of CG formulation are absent.

To ensure consistency between w and η the horizontal volume fluxes are accounted in the same way as for η , using the composite representation of velocity. The computation proceeds upward from the bottom at $n = N_i^{max}$ where $w_{ni} = 0$ (recall that w is at full levels) by collecting volume fluxes through the vertical walls of control volumes:

$$A_{(n-1)i}w_{(n-1)i} = A_{ni}w_{ni} + \sum_{s} \mathbf{n}_{s}\mathbf{u}_{(n-1)s}l_{s}h_{n-1},$$

where s implies summation over water segments bounding the control cell i in layer n - 1, $\mathbf{u}_{ns} = \overline{\mathbf{u}}_{nt}^{k+1} + \widetilde{\mathbf{u}}_{nj}^{k+1}$ with j and t indexing the edge and triangle associated with segment s, and the edge value of nodal velocity field is obtained by averaging over the edge nodes, $\widetilde{\mathbf{u}}_{nj}^{k+1} = (1/2)(\widetilde{\mathbf{u}}_{ni_1}^{k+1} + \widetilde{\mathbf{u}}_{ni_2}^{k+1})$. Computations of pressure p begin from the unperturbed surface by taking

 $p_{1i} = -g\rho_{1i}Z_1/\rho_0 \text{ (atmospheric pressure can be added to this value if needed).}$ $p_{1i} = -g\rho_{1i}Z_1/\rho_0 \text{ (atmospheric pressure can be added to this value if needed).}$ Pressure in the layer n > 1 is obtained as $p_{ni} = g\rho_{(n-1)i}(Z_{n-1} - \overline{z}_n)/\rho_0 + g\rho_{ni}(\overline{z}_n - Z_n)/\rho_0 + p_{(n-1)i}.$

348 3.4. Temperature and salinity

We use asynchronous time stepping assuming that the velocity time step is offset by $\Delta t/2$ from that of temperature and salinity. As a result, velocity is now centered for a time step between k and k + 1 for T and S (time is incremented as $t = \Delta t(1/2+k)$ in tracer equations). The transport (advectiondiffusion) equations are discretized by integrating over control volumes and expressing the flux divergence in terms of fluxes leaving the volume. The horizontal velocity in the advection term is taken in the composite form, as for w above, to maintain consistency with the volume fluxes. The contribution from layer n and edge j in $(\int \nabla(\mathbf{u}T)d\Omega)_{ni_1}$ becomes

$$(\mathbf{u}_{ns} \times \mathbf{S}_j(1)) \cdot \mathbf{e}_z T_{ns} h_n,$$

from the left segment, and similarly from the right, but with the minus sign. It remains to provide an estimate of tracer quantity T_{ns} at segments. This step relies on reconstructions of either temperature field or its gradients. Several advection schemes exemplifying different approaches have been implemented. Here we just sketch them, their details will be reported elsewhere.

354 3.4.1. Methods based on tracer reconstruction

If $\mathcal{T}_{ni}(x,y) = T_0 + a_x x + a_y y + a_{xx} x^2 + a_{xy} xy + a_{yy} y^2 + \dots$ is a horizon-355 tal reconstruction for control volume (n, i), it should satisfy the constraint 356 $\int_{ni} \mathcal{T}_{ni} d\Omega = T_{ni} A_{ni}$ (otherwise time derivative will include information on 357 neighbors). Here x, y are components of vector \mathbf{r}_i drawn from vertex *i*. Re-358 latedly, this statement is taken into account as a strong constraint. Together 359 with the weak constraint $\sum_{j(i)} |\int_{nj} \mathcal{T}_{ni} d\Omega - Tnj|^2 = \min$ it is used to com-360 pute the coefficients of reconstruction (see, e. g., Ollivier-Gooh and Van 361 Altena (2002), and Ouvrard et al. (2009)). Here i(i) is the list of vertices 362 close to *i*. A recent implementation of the second-order and fourth-order 363 reconstruction schemes on hexagonal meshes is presented in Skamarock and 364 Menchaca (2010). On median-dual control volumes the nearest neighbors 365 are sufficient for the first or (on good quality meshes) second order recon-366 struction. A much simpler linear reconstruction $\mathcal{T}_{ni}(x,y) = T_{ni} + (\nabla T)_{ni}\mathbf{r}_i$ 367 is sometimes used, but it is biased if the mesh is not uniform. The linear 368 reconstruction upwind (LRU) scheme (similar to that used in FVCOM) and 369 the Miura scheme (Miura (2007)), as implemented by us, are based on biased 370 linear reconstruction. They are least expensive in terms of CPU time and 37 provide second-order accuracy on quasi-uniform meshes. The LRU scheme 372 is stepped with the second-order Adams Bashforth method and the Miura 373 scheme is the direct time-space one. They are augmented by the quadratic 374 reconstruction upwind direct space-time scheme (QRU) which uses the re-375 construction algorithm of Ouvrard et al. (2009). 376

The Miura scheme was originally formulated for hexagonal elements, but it is not specific to the element type. Its idea is to trace the fluid volume that will be advected through a given vertical face (segment) over time interval Δt , and associate T_{ns} with the mean T over this volume. It is just the estimate at the centroid of this volume. Four quadrature points are used for the QRU which exploits the same idea.

383 3.4.2. Method based on gradient reconstruction

The technology suggested by Abalakin et al. (2002) mimics the MUSCL approach and seeks to reconstruct the gradients by combining the centered estimate with estimates from upwind triangles. The approach warrants second order on general meshes and becomes higher order if meshes are uniform. We write

$$T_{ns} = T_{ni_1} + (\nabla T)_{ns} \mathbf{L}_j / 2$$

or

$$T_{ns} = T_{ni_2} - (\nabla T)_{ns} \mathbf{L}_j / 2,$$

depending on which node is upwind. Further,

$$(\nabla T)_{ns}\mathbf{L}_j = (1-\beta)(T_{ni_2} - T_{ni_1}) + \beta(\nabla T)^u_{nj}\mathbf{L}_j,$$

where $(\nabla T)_{ns}^{u}$ is the gradient on triangle that is upwind to edge j, and β is 384 a parameter. $\beta = 1/3$ ensures the third-order behavior on uniform meshes. 385 The order can be raised to fourth if the upwind estimate for T_{ns} is replaced by 386 the centered one. Even higher orders are possible, but estimate of gradient 387 becomes more cumbersome. The third/fourth order scheme is similar to 388 that suggested by Skamarock and Gassmann (2011), with the difference 389 that their formulation is suited for the Barth control volumes (obtained by 390 connecting circumcenters), and that by Abalakin et al. (2002) is valid also 391 for median-dual control volumes. The third-order scheme is implemented in 392 the code (abbreviated with MUSCL further). It is also augmented with the 393 FCT algorithm (MUSCL-FCT). In that case the first-order upwind is used 394 as a low-order method. In parallel implementation these schemes require an 395 additional layer of halo elements, which may influence scalability. Without 396 the FCT limiting the scheme is less expensive in terms of CPU time than the 39 QRU scheme. With the FCT limiting, it becomes more expensive. 398

Our two-dimensional tests show that the QRU, MUSCL and MUSCL-FCT are less dissipative than the Miura scheme. We expect that the performance in terms of convergence is similar to that reported by Skamarock ⁴⁰² and Menchaca (2010) and Skamarock and Gassmann (2011) for hexagonal ⁴⁰³ meshes (the placement of scalar variables is the same in their and our cases).

404 3.4.3. Vertical advection

Quadratic upwind reconstruction is used in most cases in the vertical 405 direction. We replace it with the linear reconstruction at the surface and 406 bottom when necessary. In the case of Miura and QRU schemes the estimate 407 is performed at locations shifted by $-w_{ni}\Delta t/2$ from $z=\overline{z}_n$, in other cases 408 — directly at \overline{z}_n . Quadratic reconstruction is known to be suboptimal on 409 uniform meshes (a linear combination of quadratic and linear reconstruction 410 can lead to a more accurate estimate of flux divergence, see e. g. Webb et al. 411 (1998)), but we keep it here because in practice the vertical discretization is 412 seldom uniform. 413

414 3.4.4. Diffusive fluxes

⁴¹⁵ Computation of diffusive fluxes needs some generalization in the case of ⁴¹⁶ diffusivity tensors, which we skip here for brevity.

When a vertical mixing scheme is operating, the vertical diffusion is treated implicitly as a separate substep. We split the full time step for the temperature T (salinity is treated in the same way)

$$T^{k+1} - T^k = \Delta t \partial_z K_v \partial_z T^{k+1} + \Delta t R_T^{k+1/2}$$

into an explicit

$$T^* - T^k = \Delta t R_T^{k+1/2}$$

and implicit

$$T^{k+1} - \Delta t \partial_z K_v \partial_z T^{k+1} = T^*$$

parts. Here K_v is the vertical diffusivity coefficient, and R_T takes into ac-417 count advection and horizontal diffusion. The implicit part reduces, for every 418 surface location, to a three-diagonal matrix system for $N_L - 1$ or less verti-419 cally aligned nodes, which is easily solved. Notice, that by adding explicit 420 and implicit parts one recovers the original equation, so the split does not in-421 troduce errors. The second-order accuracy in time will be achieved if vertical 422 diffusion is treated semi-implicitly. We do not do it because K_v is supplied 423 by parameterization and its accuracy is unknown. The test cases reported 424 below use the vertical mixing scheme by Pacanowsky and Philander (1981). 425

426 4. Cell–vertex (quasi-B-grid) setup

This setup uses the same placement of variables as FVCOM (Chen et al. 427 2003), but is formulated on z-levels and differs in the implementation of 428 time stepping, advection and dissipation. Distinct from the A-grid case, the 429 horizontal velocity is now at centroids (in the horizontal plane) and triangular 430 prisms serve as control volumes for the momentum. The velocity points are 431 always inside full control volumes so that both no-slip and free-slip boundary 432 conditions are supported. This and the absence of pressure modes are the 433 major advantages of quasi-B-grids. Additionally, the geostrophic balance can 434 be maintained on the discrete level. 435

⁴³⁶ Note that there is an almost exact analog of this variable arrangement on
⁴³⁷ hexagons, called the ZM grid (Ringler and Randall , 2002a,b). The difference
⁴³⁸ lies in using scalar control volumes obtained by connecting circumcenters
⁴³⁹ instead of median-dual ones.

The main practical difficulty of working with quasi-B-grids is their large velocity space. It supports spurious modes that correspond to inertial oscillations at the Coriolis frequency (Le Roux et al., 2007). The modes prove to be a minor issue on their own, as any viscous dissipation will damp them. Much more annoying is the generation of small scales through the advection of momentum in typical eddying regimes encountered in large-scale ocean modeling.

The point of concern here has already been raised by Ringler and Randall 447 (2002b) who showed that the velocity representation on ZM grids resolves 448 wave numbers that are absent in the representation of scalar fields. The 449 small-scale part of the horizontal velocity field may alias the field of horizontal 450 divergence computed at scalar locations. Correspondingly, the small-scale 451 components in the horizontal velocity field have to be effectively filtered. We 452 stress that the extent to which they hamper the performance depends on 453 applications, but noise in the vertical velocity is often seen in eddy-resolving 454 simulations. Filtering can be implemented either through viscous operators 455 or the treatment of momentum advection. 456

In summary, the success of using quasi-B-grid FV discretization for simulating large-scale ocean circulation relies on tuning viscosity and momentum
advection. Below we explain how to do it.

Because the quasi-B-grids do not suffer from pressure modes, the time stepping of dynamical part is organized as for unstabilized A-grids with the difference that operators \overline{G}_{ti}^{n} and \overline{D}_{it}^{n} appear now in equations (5-7), with *i* and *t* being indices of nodes and elements respectively, and momentum equations are formulated at elements. Discretization of momentum advection and viscosity is different and is discussed further. Since the arrangement of vertical velocity, elevation, pressure, temperature and salinity is shared with the case of triangular A-grid, this part of code follows the A-grid setup, with obvious modifications to account for the horizontal velocities on elements.

469 4.1. Linear reconstruction and viscosity operator

We need horizontal gradients of horizontal velocity to perform its linear reconstruction and estimate viscous fluxes. This is done by the least square fit of four velocities (in the control volume and its three neighbors). The reconstruction coefficients are stored for each triangle.

Some of neighbors can be absent in deep layers on z-topography. Instead 474 of modifying the scheme we employ the concept of ghost element across 475 the respective face and compute velocity there either as $\mathbf{u}_{ni} = -\mathbf{u}_{nt}$ for 476 no-slip, or reflect only the component normal to the edge for the free-slip, 477 $\mathbf{u}_{ni} = -\mathbf{u}_{nt} + 2(\mathbf{u}_{nt}\mathbf{L}_{it})\mathbf{L}_{it}/|\mathbf{L}_{it}|^2$. Here j is the index of ghost triangle, and 478 \mathbf{L}_{it} is the edge vector associated with the edge between triangles j and t. In 479 this case the gradient coefficients can be used through the whole depth. On 480 lateral walls the ghost triangles are physically absent, and their centroids are 481 assumed to be mirror images of the centroid of t with respect to the boundary 482 edges. 483

Since velocity gradients are available, the viscous stress tensor is known on
elements too. The viscous flux at the vertical faces is computed as average of
estimates from the two elements sharing the face. No averaging is performed
if the face is at the rigid wall.

The biharmonic diffusivity operator is build by repeating twice the procedures involved in the construction of the harmonic (Laplacian) viscosity. When $\nabla \sigma$ is available, we apply the same least square fit procedure as used for velocities to find its gradients, and then compute the divergence of 'biharmonic stresses'.

Scaling the viscosity coefficients with areas (as $A_t^{1/2}$ and $A_t^{3/2}$ for harmonic and biharmonic viscosities respectively) is sufficient to stabilize flows on coarse meshes. It frequently fails on fine meshes in configurations with strong baroclinicity, which tend to develop a grid-scale mode in the vertical velocity field. The idea is to select the coefficient A_h of harmonic horizontal viscosity so that it penalizes the places where the vertical velocity is changing too sharply (which indicates that small-scale noise in the horizontal velocity field is developing). It is well served by the modified Leith viscosity used in MITgcm (see Fox-Kemper and Menemenlis (2008)). We select

$$A_h = C_{ML} |\nabla \nabla \cdot \mathbf{u}|_{nt} A_t^{3/2},$$

where A_t is the area of respective triangle t, and C_{ML} is the constant of mod-493 ified Leith parameterization. Our implementation uses the w field because 494 $(w_{ni} - A_{(n+1)i}w_{(n+1)i}/A_{ni})/h_n$ provides the estimate of divergence at node i 495 in layer n. Its gradient on triangles is obtained by applying the rule used for 496 scalar quantities. Taking C_{ML} from 0.25 to 1 typically helps to maintain the 497 code stability by enforcing smoothness of w. We also keep the Smagorinsky 498 viscosity as an additional option. Its implementation is standard (velocity 499 gradients are known) and is not repeated here. 500

501 4.2. Momentum advection

We describe here several discretizations of momentum advection. They include the linear upwind reconstruction scheme on velocity control volumes (MA), the scheme based on velocity reprojection (MB), the scheme based on scalar control volumes (MC) and the vector-invariant scheme (MD). In a general case, they still need the modified Leith viscosity for stable performance, but the scheme MC is least demanding.

508 4.2.1. Linear upwind reconstruction

The MA scheme is, perhaps, the most straightforward way to proceed and corresponds to that of Chen et al. (2003). Having the horizontal velocity gradients on triangles t_1 and t_2 of edge j one can linearly reconstruct the horizontal velocity to the mid-edge position in the horizontal plane:

$$\mathbf{u}_{nj,l} = \mathbf{u}_{nt_1} - \mathbf{S}_j(1) \cdot (\nabla \mathbf{u})_{nt_1},$$

on the left triangle (t_1) and

$$\mathbf{u}_{nj,r} = \mathbf{u}_{nt_2} - \mathbf{S}_j(2) \cdot (\nabla \mathbf{u})_{nt_2}$$

on the right one (t_2) . For each face, an estimate, symmetrized over two volumes sharing the face is formed, $\mathbf{u}_{nj} = (1/2)(\mathbf{u}_{nj,l} + \mathbf{u}_{nj,r})$, and used to compute the normal velocity on the face. Depending on its sign, the

linear reconstruction from the upwind control volume is used to compute the horizontal momentum flux,

$$\int_{nt} \nabla \cdot (\mathbf{u}\mathbf{u}) d\Omega = \sum_{j} \mathbf{u}_{nj} \mathbf{n}_{j} |\mathbf{L}_{\mathbf{j}}| (\mathbf{u}_{nj} + (1/2)\operatorname{sign}(\mathbf{u}_{nj}\mathbf{n}_{j})(\mathbf{u}_{nj,l} - \mathbf{u}_{nj,r})) h_{nj}$$

Here j indexes three edges of triangle t, and the normal is directed to the right triangle of edge j.

511 Vertical fluxes of horizontal momentum are computed using quadratic 512 upwind reconstruction of horizontal velocity.

Although this scheme introduces dissipation, it is insufficient to effectively suppress small scales, and additional viscous damping is necessary. This results in low levels of turbulent kinetic energy in experiments on baroclinic instability reported in section 5.1.

517 4.2.2. Momentum advection reprojection

There are two ways of discretizing the flux form of momentum advection that are simultaneously less dissipative and provide certain filtering, which is a desirable feature. The first one (MB) introduces a nodal velocity field as an element-area-weighted estimate of elemental velocities:

$$A_{ni}\mathbf{u}_{ni} = \sum_{t} \mathbf{u}_{nt} A_t / 3$$

where t lists neighboring triangles of node i. The next step uses the nodal velocities to estimate the momentum fluxes through the faces of velocity control volumes:

$$(\int \nabla \cdot (\mathbf{u}\mathbf{u})d\Omega)_{nt} = \sum_{j} \mathbf{u}_{nj} \cdot \mathbf{n}_{j} \mathbf{u}_{nj} |\mathbf{L}_{j}| h_{n},$$

where $\mathbf{u}_{nj} = (\mathbf{u}_{ni_1} + \mathbf{u}_{ni_2})/2$ is the mean velocity on the face associated with layer *n* and edge *j*, *i*₁ and *i*₂ are the nodes of edge *j* and summation is over three edges (faces) of triangle *t*.

The second way (MC) is seemingly more consistent. One selects scalar control volumes to compute full (horizontal and vertical) momentum advection at nodal locations. In the same manner as on A-grid, the contribution of layer n and edge j to $(\int \nabla \cdot (\mathbf{uu}) d\Omega)_{ni_1}$ at the edge node i_1 becomes

$$(\mathbf{u}_{nt_1}(\mathbf{S}_j(1) \times \mathbf{u}_{nt_1}) - \mathbf{u}_{nt_2}(\mathbf{S}_j(2) \times \mathbf{u}_{nt_2}))h_n.$$

It enters with opposite sign to the control volume around node i_2 . Computations of the vertical advection use nodal estimate of horizontal velocities and quadratic upwind reconstruction. On the next step, the nodal estimates of momentum advection are averaged to elements. We employ this scheme most frequently.

526 4.2.3. Vector-invariant form

There is one more possibility (MD) that implies some horizontal smoothing too. It comes from the vector-invariant form of momentum advection:

$$(\mathbf{u} \cdot \nabla)\mathbf{u} + w\partial_z \mathbf{u} = \omega \mathbf{e}_z \times \mathbf{u} + (1/2)\nabla \mathbf{u}^2 + w\partial_z \mathbf{u},$$

where $\omega = \operatorname{curl} \mathbf{u}$. The relative vorticity ω has to be defined at nodal locations where it can be estimated by making use of Stokes' theorem and computing circulation along the boundary of scalar control volume. Then a value of ω averaged to centroids is used to estimate the first term in the formula above. We need the kinetic energy $K = \mathbf{u}^2/2$ at vertices to obtain its gradient on elements. The rule of computing it is dictated by the need to preserve the kinetic energy balance. It can be shown that the rule

$$K_{ni}A_{ni} = \sum_t \mathbf{u}_{nt}^2 A_t / 3,$$

is the consistent one (t lists neighboring triangles of node i). Moreover, the 527 energy conservation also imposes limitations on the implementation of the 528 vertical part. The conservation is warranted if we write $w\partial_z \mathbf{u} = \partial_z (w \mathbf{u}) - \partial_z (w \mathbf{u})$ 529 $\mathbf{u}\partial_z w$. The first term here is computed as the difference of fluxes through 530 the top and bottom faces of triangular prism nt and in the second one $\partial_z w$ 531 is taken as the mean on triangle t, $\sum_{i(t)} (\partial_z w)_{ni}/3$. Centered approximation 532 for the horizontal velocity on the top and bottom face is used. Although 533 we do not show it here, the vector-invariant discretization on median-dual 534 control volumes shares the properties of discretization in Ringler and Randall 535 (2002a) (energy and enstrophy conservation for the shallow water equations). 536 The vector invariant form is sensitive to observing the rules formulated 537 above and is incompatible with upwinding in vertical fluxes. 538

539 4.2.4. Comments on momentum advection

Schemes MB and MC require the least explicit dissipation, followed by MD and then MA, in a baroclinic instability test reported further. They are therefore recommended. They, however, do not conserve energy. There areadditional issues as well.

Although $(\mathbf{u}\nabla + w\partial_z)\mathbf{u} = \nabla \cdot (\mathbf{u}\mathbf{u}) + \partial_z (w\mathbf{u})$ in the continuous case because 544 $\nabla \mathbf{u} + \partial_z w = 0$, this equality is violated in the discretized equations because 545 $\nabla \mathbf{u} + \partial_z w = 0$ is valid only in a particular sense. This implies that the 546 discretizations of vector invariant and flux forms of momentum advection are 54 irreducible to each other. The differences between discretizations may lead 548 to noticeable effects on the ocean circulation on large time scales, especially 549 in the vicinity of topography (cf. Le Sommer et al. (2009)). One should be 550 aware of this fact, its implications require a thorough study. 55

552 5. Performance comparison

Since the variable placements used here are not new, their general per-553 formance is well understood. In particular, Wang et al. (2008) and Danilov 554 et al. (2008) present some test cases with FESOM, and Chen et al. (2003) 555 with FVCOM, and there are numerous other publications which will not 556 be discussed here. In general, because of similar scalar parts and filtering 557 of momentum advection on quasi-B-grids one does not expect to see strong 558 differences in their performance. We therefore focus on two cases that illus-559 trate, to an extent, manifestations of 'geometrical' issues discussed above in 560 situations relevant to large-scale modeling. They do not propose the met-56 rics to judge on model results, but highlight the points we consider worth of 562 attention. 563

The first one involves baroclinic instability in a zonally re-entrant channel. It highlights consequences of the large size of velocity space on quasi-B-grids. The other configuration deals with the circulation in the North Atlantic basin. It illustrates the impact of realistic topography represented with z levels, in which case the quasi-B-grids face less difficulties if properly tuned.

569 5.1. Baroclinic instability in a zonally re-entrant channel

The domain occupies a latitude belt between 30° N and 45° N and is 20 degrees long in zonal direction. The resolution is 1/6 by 1/7 degree and there are 24 levels spaced unevenly down to the depth of 1600 m. Triangulation is done by splitting quadrilaterals of original rectangular mesh into triangles. The initial state is characterized by linear meridional and vertical temperature gradients of -5×10^{-6} and 8.2×10^{-3} °C/m respectively, the largest surface temperature is 25°C and salinity is (and stays) uniform with

35 psu. Full nonlinear equation of state is used. The flow is forced by re-577 laxing temperature to its initial distributions in 1.5 degree wide southern 578 and northern relaxation zones. The relaxation coefficient decreases linearly 579 from 1/(3 days) to zero within these zones. In all cases the background 580 vertical viscosity and diffusivity are 10^{-3} and 10^{-5} m²/s respectively. The 583 Pacanowsky-Philander vertical mixing scheme with maximum diffusivity of 582 $0.01 \text{ m}^2/\text{s}$ is operating on temperature. The horizontal diffusivity is $30 \text{ m}^2/\text{s}$ 583 which is presumably below the implicit diffusivity introduced by the upwind 584 transport schemes used here. The A-grid case is stable with $A_h = 100 \text{ m}^2/\text{s}$ 585 (actual horizontal viscosity and diffusivity are scaled on each triangle with 586 factor $(A/A_0)^{1/2}$, where scaling area $A_0 = 2 \times 10^8 \text{ m}^2$). In the quasi-B-grid 58 case dissipation should each time be carefully adjusted to fit the particular 588 momentum advection scheme (see below). The bottom drag coefficient is 589 $C_d = 0.0025$ in both cases. 590

A small sinusoidal perturbation of temperature is added to zonally uni-591 form initial temperature distribution to trigger the baroclinic instability, 592 which fully develops within the first model year. We performed multiple 593 runs with different scalar advection schemes (A- and quasi-B-grids) and also 594 momentum advection (quasi-B-grid) to identify their influence on the mean 595 kinetic energy levels. Each case is integrated for at least three years. The 596 basin-mean kinetic energy (dominated by the turbulent part) shows marked 59 fluctuations, so that mean levels can be identified only approximately. In 598 order to learn about the 'true' energy levels, reference simulations have been 599 performed on a mesh with approximately doubled resolution (8.5 km) using 600 the quasi-B-grid code with the least possible dissipation. They show fluc-60 tuations of smaller amplitude and give the mean reference kinetic energy of 602 approximately $0.11 \text{ m}^2/\text{s}$. 603

The left and middle panels of Fig. 3 show, respectively, snapshots of elevation and temperature simulated on A-grid using the Miura advection and stabilization with $\gamma = 0.97$. The elevation pattern is free of pressure modes, while that of temperature shows filaments characteristic of well-developed baroclinic instability. The setup also runs without stabilization in this case (the bottom is flat) demonstrating very similar levels of kinetic energy and absence of pressure modes.

The right panel shows the temperature snapshot from quasi-B-grid simulations with MUSCL temperature advection and MC momentum advection. The temperature fronts are noticeably sharper compared to those of Miura scheme, which is indicative of smaller implicit dissipation.



Figure 3: Snapshots of elevation (m) (left) and temperature (°C) (middle and right) (at approximately 100 m depth) in zonally reentrant channel. Left and middle panels: A-grid, the Miura advection; right: quasi-B-grid, MUSCL advection and MC momentum advection.

However, despite this and the fact that the QRU and MUSCL schemes are less dissipative in 2D tests than the Miura scheme (or the LRU scheme which is very similar in performance), we found no obvious increase in kinetic energy levels. This is also true of MUSCL-FCT scheme. We therefore do not consider the impact of these schemes on the energy level any further. The analysis of their other aspects is outside the scope of this paper.

The stabilization on A-grids introduces a bias in the energy transfer be-621 cause of two representations for the horizontal velocity. We diagnose it as the 622 difference between $\int \mathbf{u} \nabla p d\Omega$ (nodal velocity) and $-\int p \nabla \mathbf{u} d\Omega = \int p \partial_z w d\Omega$ 623 (composite velocity) which makes up about 5% of the energy transfer on the 624 mean. It is not negligible, but the effect on the kinetic energy cannot be dis-625 tinguished on the background of natural fluctuations if one compares outputs 626 of stabilized and unstabilized setups. In the case considered, $\int \mathbf{u} \nabla p d\Omega$ is al-627 ways negative (the kinetic energy is supplied through the release of available 628 potential energy which is replenished by the relaxation to 'climatology'), and 629 the bias term does not change sign. It works to reduce the energy transfer. 630

For the quasi-B-grid we first consider two cases: (i) the momentum advec-631 tion is computed on scalar control volumes (scheme MC above), and viscos-632 ity operator is biharmonic, with $A_{bh} = 0.8 \times 10^{10} \text{ m}^4/\text{s}$ scaled as $(A/A_0)^{3/2}$; 633 (ii) the momentum advection is on velocity control volumes (scheme MA) 634 with biharmonic and modified Leith viscosities. Dissipation in (i) is at min-635 imum compatible with stable performance. The case (ii) was first run with 636 $A_{bh} = 3 \times 10^{10} \text{ m}^2/\text{s}$ and $C_{mL} = 1$ for three years, and continued then with 63 reduced dissipation ($A_{bh} = 10^{10} \text{ m}^2/\text{s}$ and $C_{mL} = 0.5, 0.25$ and 0; the last two 638



Figure 4: Doubled kinetic energy per unit mass (m^2/s^2) as a function of time (days) in channel experiments. The two gray curves correspond to MA momentum advection on quasi-B-grid with weak dissipation (thick) with $A_{bh} = 10^{10} \text{ m}^2/\text{s}$ and $C_{mL} = 0.5$ and strong dissipation (thin) with $A_{bh} = 3.0 \times 10^{10} \text{ m}^2/\text{s}$ and $C_{mL} = 1.0$. They show similar energy levels, pointing at the dominance of dissipation due to upwinding. Simulations with MC momentum advection (black thick curve) reach higher energy levels but even they are below the result for A-grid (thin black curve). Initial evolution phase is very similar in all cases and is retained only for A-grid. The reference value of 0.11 m²/s is not achieved, but A-grid simulations are the closest to it.

variants are losing stability with time). Figure 4 illustrates that the case (ii) reaches lower energy level than case (i) (gray curves vs. thick black). It does not show strong sensitivity to the magnitude of dissipative coefficients, as can be concluded from the behavior of two gray curves for strong (thin) and weak (thick) dissipation in Fig. 4, which implies that dissipation is mostly set by upwinding in the MA scheme.

However, the presence of modified Leith viscosity is crucial, and if it 645 is insufficient one sees the development of numerical noise well emphasized 646 in patterns of vertical velocity, as illustrated by Fig. 5. Its bottom left 647 panel represents a snapshot from case (ii) for $C_{mL} = 0.5$, which should be 648 compared to a 'normal' pattern of case (i) shown in the upper left panel. 649 Maxima and minima of vertical velocity are in fact an order of magnitude 650 stronger in the lower left panel. The grid-scale band structure becomes even 651 more expressed for smaller C_{mL} ending in unstable behavior. Schemes MB 652 and MC of momentum advection work with $C_{mL} = 0$ in the channel case, 653

⁶⁵⁴ but MB requires slightly higher biharmonic viscosity $(A_{bh} = 1.0 \times 10^{10} \text{ m}^4/\text{s})$ ⁶⁵⁵ than MC (we do not illustrate it here).

⁶⁵⁶ Two right panels compare the runs with MC (top) and MD ($C_{mL} = 0.5$, ⁶⁵⁷ bottom) momentum advection and MUSCL temperature advection. The ⁶⁵⁸ temperature distribution has sharper fronts in this case, so the *w* pattern ⁶⁵⁹ is less smooth. Despite non-zero C_{mL} , the MD case shows some tendency ⁶⁶⁰ to developing a grid-scale pattern. Apart from that, it reproduces the same ⁶⁶¹ energy levels as MC.



Figure 5: Snapshots of vertical velocity (m/s) at approximately 100 m depth in quasi-B-grid runs with different advection of momentum. Left column: MC scheme (top); MA scheme, $C_{mL} = 0.5$ (bottom). Right column: MC (top); MD, $C_{mL} = 0.5$ (bottom). Temperature advection is with the Miura (left column) or MUSCL (right column) schemes.

This noise is the main difficulty of the quasi-B-grid approach in eddy resolving regimes. In fact the grid-scale pattern in w just visualizes a mode in the horizontal velocity field. It manifests itself through fluctuations of direction of neighboring velocity vectors. While one may attribute its development to the vulnerability of the quasi-B-grid discretization to spurious inertial modes, it is invariably present only when the momentum advection is strong. It seems plausible to conclude that the problem is at least triggered by aliasing of the resolved dynamics through small scales. Indeed, schemes MB and MC are less susceptible to the noise because of explicit averaging (of velocity or the momentum advection). It remains to see why the MD scheme, which works on the same stencil as MB and MC still needs the modified Leith viscosity.

Note that even the simulations with the MC scheme on quasi-B-grid do not reach the kinetic energy level of A-grid simulations (see Fig. 4) with a rather high harmonic viscosity. Namely in an attempt to minimize dissipation we run the quasi-B-grid cases with biharmonic background viscosity, and use the harmonic one only as the modified Leith contribution. The *w* pattern of A-grid runs in channel flow is always smooth.

680 5.2. North-Atlantic configuration

The mesh employed here is fully unstructured and uses resolution of about 681 20 km over the Gulf Stream area and a part of Caribbean basin, and is about 682 100 km otherwise except for coastlines where the resolution is also refined. 683 There are 26 vertical levels, with layer thickness from 10 m at the top to 500 684 m in deep ocean (with the deepest level at -5500 m). The bottom topography 685 is derived from the ETOPO5 database averaged to a regular quarter degree 686 mesh. Strong relaxation to climatology is used in buffer zones attached to 687 open boundaries (the southern one at 28° S, the northern one at 80° N 688 and the eastern one completing the north-east corner of the domain) and in 689 the vicinity of Gibraltar. The surface forcing is implemented as relaxation 690 to monthly mean temperature and salinity of the World Ocean Atlas 2001 69 (www.nodc.noaa.gov/OC5/WOA01/pr_woa01.htm), and wind forcing relies 692 on monthly mean NCAR/NCEP reanalysis winds (Kalnay et al. (1996))693 from 1990 on. The Miura advection scheme is used as most economical. 694

The intention here is only to demonstrate main practical difficulties of the 695 A-grid setup seen in the presence of real topography. The A-grid code is run 696 with stabilization ($\gamma = 0.97$), the background horizontal viscosity $A_h = 200$ 69 m^2/s and horizontal diffusivity $K_h = 100 m^2/s$, both scaled as $(A/A_0)^{1/2}$. It 698 develops rather strong equatorial currents within the first year of integration. 699 In order to keep them in reasonable bounds the modified Leith viscosity is 700 switched on with $C_{mL} = 0.5$ and additionally, the horizontal viscosity is 701 multiplied with a factor linearly increasing from 1 to 2 in a 7 degree zone 702 around the equator. 703

The momentum advection is computed on scalar volumes on quasi-B-grid. It uses the same biharmonic viscosity as in channel runs, the modified Leith viscosity is also added with $C_{mL} = 0.35$.



Figure 6: Snapshots of simulated elevation (m) in the North Atlantic on completing 1 year of integration in quasi-B-grid (left) and A-grid (right) setups. While the pattern is very similar in both cases, the A-grid develops noise in the shallow regions (the periphery of the Labrador Sea and the vicinity of Iceland; there are many other places along the western coast yet they cannot be discerned in the figure). Bottom panels zoom into the area around Iceland to visualize the noise on A-grid. In most cases it can be eliminated by refining the mesh.

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Figure 6 compares instantaneous sea surface height fields after one year of integrations. They are similar in general, but differ in detail over the fine mesh part as dynamics there are to some extent stochastic. Both setups run stable, and we selected the output just after one year only to emphasize similarity which is less apparent at later time. Considering the elevation field

in the vicinity of Iceland (see bottom panels) we note a pronounced noise on 712 the A-grid. It is also present close to the coast in other areas where topog-713 raphy varies too fast for the mesh resolution used (it is hardly discernible 714 without zooming-in). No problem of that kind is seen for the quasi-B-grid 715 setup. Relatedly, the velocity field in the A-grid setup is noisy over such 716 areas (not shown), which may affect dynamics of temperature and salinity if 717 diffusion is insufficient to control their smoothness. The emergence of noise 718 can also be attributed to dynamics being 'overspecified' by a too large num-719 ber of no-slip boundary conditions imposed on vertical edges of z-coordinate 720 bottom topography. The noise can slightly be reduced by increasing stabi-721 lization, but real improvement can only be achieved by increasing resolution 722 locally or smoothing topography in such regions (note that noise is absent 723 over the well-resolved Gulf Stream area). Although the potential danger of 724 such situations on A-grids can be envisaged, the details are not known a 725 priori, which in practice implies probing multiple meshes and topography 726 implementations. 727

728 6. Discussion

Our intention here was to describe two unstructured mesh setups that 729 can be applied for large-scale ocean modeling. Both use finite-volumes as 730 the discretization ideology and share, up to some detail, the scalar part. The 73 choice was partly motivated by the already existing practical applications. 732 The A-grid setup derives from FESOM (Wang et al. (2008), Sidorenko et 733 al. (2011)) and represents in effect, its finite volume reformulation which fol-734 lows the ideas of Szmelter and Smolarkiewicz (2010). The other choice was 735 inspired by the success of FVCOM (Chen et al., 2003) and the understand-736 ing that this type of discretization is well suited to model geostrophically 737 balanced flows. 738

Apart from that, the setups correspond to two configurations with balanced (A-grid) and unbalanced (quasi-B-grid) ratios of velocity to scalar degrees of freedom, which has implications as concerns the measures needed to maintain their stability.

There are two simple ideas behind this development. The first one is the numerical efficiency, and the second one is algorithmic simplicity in the hydrostatic case. The first one hinges on practical observation that FV codes are as a rule more numerically efficient than their FE counterparts, and our comparison with FESOM shows that indeed a speedup of 2 to 3 times is easily achieved for both approaches discussed here (it is difficult to be more precise
as actual results depend on options used). The second one bears on the fact
that the FV discretization enables solving for the hydrostatic pressure and
vertical velocity in a natural way.

There is a comprehensive body of research on wave dispersion for vari-752 ous types of unstructured-mesh discretizations in the linearized shallow-water 753 framework (see, i.e., Le Roux et al. (2007) and Le Roux and Pouliot (2008)). 754 Although it is indispensable in guiding the preliminary choice, the actual 755 problems of particular discretizations frequently show up on the stage of real-756 istic setups. We demonstrate here that the triangular A-grids on large scales 757 are sensitive to the details of stepwise bottom representation on z-coordinate 758 meshes. The stepwise bottom, in essence, is the reason why stabilization is 759 needed, but even the stabilized A-grid setups are prone of producing noisy 760 elevation field over the regions with rough topography. The noise is triggered 761 in most cases by the patchy structure of vertical velocity field in this case, 762 which is partly emphasized through too many no-slip boundary conditions 763 imposed on the horizontal velocity over the deep part (so that adjacent un-764 constrained velocities react in a noisy way). This issue is not a severe one, 765 but annoying in practice because multiple (refined) meshes and topography 766 representations have to be tried before a satisfactory solution is found. One 767 may hypothesize that stabilization and topography-induced noise will be of 768 less relevance on terrain-following meshes, and this remains to be seen. 769

The quasi-B-grid setup does not share this type of difficulty, but has the 770 other one. Namely, because of its too large velocity space, it tends to cre-771 ate scales that are not maintained by other dynamics (see the analysis by 772 Ringler and Randall (2002b)). Here the solution lies in tuning the dissi-773 pation and advection terms in the momentum equation, and we hope that 774 the recipes described above are sufficient in most cases of practical relevance. 775 Computation of momentum advection on scalar control volumes and subse-776 quent averaging to centroids (MC scheme above) is arguably most helpful. It 777 adds filtering which works well in combination with gentle biharmonic and/or 778 modified-Leith viscosity. And yet, as we have seen from baroclinic channel 779 experiments, the levels of turbulent kinetic energy stay lower than on the 780 A-grid, which implies that the net dissipation is higher. 781

Note that similar difficulty (stemming from the large size of velocity space) was also reported for the horizontal velocity representation with nonconforming linear elements (Danilov et al., 2008). The basis functions in this case are associated with edges, so that one gets an even larger velocity space. Once again, stable performance of momentum advection was an issue on that discretization and reprojection of advected velocities on linear
continuous functions was solving the problem in practice.

Full consequences of momentum advection discretization require further studies as different implementations may lead to differences in the vorticity balance, especially in the vicinity of topography.

The finite-volume setups also benefit from a richer choice of advection schemes. Although we have not found significant effect from the high-order schemes described here (QRU, MUSCL, MUSCL-FCT) on the kinetic energy levels in the baroclinic instability tests, there are other aspects (like spurious diapycnal mixing) which remain to be studied.

There are arguments in favor of both, the A-grid and quasi-B-grid, setups, but the absence of stabilization makes the the latter a more consistent (yet not necessarily easier to use) choice. From the viewpoint of numerical efficiency, the A-grid setup is about 20% faster in simulations reported here, the difference comes largely from the overhead in computing momentum advection and biharmonic viscosity in the quasi-B-grid setup.

Recently, the hexagonal C-grid has been suggested as a promising framework for the large-scale modeling of ocean and atmosphere (Ringler et al., 2010). Its scalar part is similar to those of A- and quasi-B-grids (it uses the Barth control volumes instead of median-dual ones). An interesting future task is the comparison of hexagonal C-grid to the setups discussed here, especially because the size of its velocity space is intermediate between those of A- and quasi-B-grids.

810 7. Conclusions

We summarize the main points proposed above. We describe two FV 811 setups, one formulated on a triangular A-grid and using median-dual control 812 volumes, and the other one, using cell-median-dual discretization and called 813 the quasi-B-grid. For the A-grid case we suggest the implementation of stabi-814 lization which is needed in a general case on a stepwise z-coordinate bottom. 815 For the quasi-B-grid we propose to compute the horizontal momentum ad-816 vection on scalar control volumes and use the modified Leith viscosity as 817 measures to maintain stability of its large velocity space. Both setups show 818 robust performance in tests performed by us. 819

Many other discretizations are in principle possible beyond these simple approaches. While the focus of ongoing research is largely on numerical accuracy offered by various discretizations, the issues of numerical efficiency and stable performance in tasks of large-scale ocean circulation are not less important. The setups considered above give examples that work stable and efficiently, but in each case there is a price to pay.

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913 Captions to figures

Fig. 1. Schematics of mesh geometry. Left panel: In the horizontal plane, the 914 scalar quantities and vertical velocities are located at mesh nodes (circles). 915 The horizontal velocities are at nodes on A-grid and on centroids (squares) 916 on quasi-B-grid. An edge is characterized by its two nodes i_1 and i_2 , two 91 neighboring triangles t_1 and t_2 , the edge vector **L** directed to i_2 (t_1 on the 918 left) and two cross-vectors $\mathbf{S}(1:2)$ directed to centroids. The median-dual 919 control cells in the horizontal plane are formed by connecting mid-edges with 920 centroids (thin lines). Control cells for the horizontal velocities on quasi-B-921 grid coincide with triangles. Three-dimensional control volumes are prisms 922 based on respective control cells with top and bottom faces on the level 923 surfaces \overline{z}_n . Right panel: In the vertical plane, the temperature, salinity, 924 pressure and horizontal velocities are at mid-levels Z_n . The vertical velocity 925 is at full levels \overline{z}_n . 926

Fig. 2. Schematics explaining boundary conditions on the horizontal 927 velocity on A-grid. The horizontal velocities at vertical wall edges are set to 928 zero (four-stars). The 'partial' control volumes hosting these locations are 929 skipped in horizontal velocity computations, so that one always deals with 930 full control volumes in layers from n = 1 to $n = N_i^{min} - 1$. Arrows show 93 locations where the bottom drag is applied. The vertical velocity is zero only 932 at bottom locations, but is allowed at vertical walls to accommodate volume 933 fluxes through faces of control volumes. 934

Fig. 3. Snapshots of elevation (left) and temperature (middle and right) (at approximately 100 m depth) in zonally reentrant channel. Left and middle panels: A-grid, the Miura advection; right: quasi-B-grid, MUSCL advection and MC momentum advection.

Fig. 4. Doubled kinetic energy per unit mass (m^2/s^2) as a function 939 of time (days) in channel experiments. The two gray curves correspond 940 to MA momentum advection on quasi-B-grid with weak dissipation (thick) 941 with $A_{bh} = 10^{10} \text{ m}^2/\text{s}$ and $C_{mL} = 0.5$ and strong dissipation (thin) with 942 $A_{bh} = 3.0 \times 10^{10} \text{ m}^2/\text{s}$ and $C_{mL} = 1.0$. They show similar energy levels, 943 pointing at the dominance of dissipation due to upwinding. Simulations with 944 MC momentum advection (black thick curve) reach higher energy levels but 945 even they are below the result for A-grid (thin black curve). Initial evolution 946 phase is very similar in all cases and is retained only for A-grid. The reference 947 value of $0.11 \text{ m}^2/\text{s}$ is not achieved, but A-grid simulations are the closest to 948

949 it.

Fig. 5. Snapshots of vertical velocity (m/s) at approximately 100 m depth in quasi-B-grid runs with different advection of momentum. Left column: MC scheme (top); MA scheme, $C_{mL} = 0.5$ (bottom). Right column: MC (top); MD, $C_{mL} = 0.5$ (bottom). Temperature advection is with the Miura (left column) or MUSCL (right column) schemes.

Fig. 6. Snapshots of simulated elevation in the North Atlantic on com-955 pleting 1 year of integration in quasi-B-grid (left) and A-grid (right) setups. 956 While the pattern is very similar in both cases, the A-grid develops noise in 957 the shallow regions (the periphery of the Labrador Sea and the vicinity of 958 Iceland; there are many other places along the western coast yet they can-959 not be discerned in the figure). Bottom panels zoom into the area around 960 Iceland to visualize the noise on A-grid. In most cases it can be eliminated 961 by refining the mesh. 962