AUTHOR QUERY FORM

	Journal: JASR	Please e-mail or fax your responses and any corrections to:
ELSEVIER	Article Number: 10886	E-mail: corrections.eseo@elsevier.sps.co.in Fax: +31 2048 52799

Dear Author,

Please check your proof carefully and mark all corrections at the appropriate place in the proof (e.g., by using on-screen annotation in the PDF file) or compile them in a separate list. Note: if you opt to annotate the file with software other than Adobe Reader then please also highlight the appropriate place in the PDF file. To ensure fast publication of your paper please return your corrections within 48 hours.

For correction or revision of any artwork, please consult <u>http://www.elsevier.com/artworkinstructions.</u>

Any queries or remarks that have arisen during the processing of your manuscript are listed below and highlighted by flags in the proof. Click on the ' \underline{O} ' link to go to the location in the proof.

Location in article	Query / Remark: <u>click on the Q link to go</u> Please insert your reply or correction at the corresponding line in the proof
<u>Q1</u>	Please confirm that given names and surnames have been identified correctly.
<u>Q2</u>	This section comprises references that occur in the reference list but not in the body of the text. Please position each reference in the text or, alternatively, delete it. Any reference not dealt with will be retained in this section.



Coupled ocean-atmosphere radiative transfer model in the framework of software package SCIATRAN: Selected comparisons to model and satellite data

M. $Blum_{\perp}^{a,b,*,1}$, V.V. Rozanov_{\perp}^a, J.P. Burrows_{\perp}^a, A. Bracher_{\perp}^{a,b,c}

^a Institute of Environmental Physics, University of Bremen, P.O. Box 330440, D-28334 Bremen, Germany ^b Helmholtz University, Young Investigators Group PHYTOOPTICS, Germany ^c Alfred-Wegener-Institute for Polar and Marine Research, Bussestrasse 24, D-27570 Bremerhaven, Germany

infea-wegener-institute for 1 our and Marine Research, Bussestrasse 24, D-27576 Bremerhaven, German

Received 31 March 2011; received in revised form 9 February 2012; accepted 13 February 2012

11 Abstract

5 Q1

6

7 8

g

10

In order to accurately retrieve data products of importance for ocean biooptics and biogeochemistry an accurate ocean-atmosphere 12 13 radiative transfer model is required. For these purposes the software package SCIATRAN, developed initially for the modeling of 14 radiative transfer processes in the terrestrial atmosphere, was extended to account for the radiative transfer within the water and the 15 interaction of radiative processes in the atmosphere and ocean. The extension was performed by taking radiative processes at the atmo-16 sphere-water interface, as well as within water accurately into account. Comparison results obtained with extended SCIATRAN version 17 to predictions of other radiative transfer models and MERIS satellite spectra are presented in this paper along with a description of 18 implemented inherent optical parameters and numerical technique used to solve coupled ocean-atmosphere radiative transfer equation. 19 The extended version of SCIATRAN software package along with detailed User's Guide are freely distributed at http://www.iup. 20 physik.uni-bremen.de/sciatran.

21 © 2012 Published by Elsevier Ltd. on behalf of COSPAR.

22 *Keywords:* Radiative transfer; Ocean-atmosphere coupling

24 1. Introduction

The radiative transfer (RT) model SCIATRAN was originally developed to analyse measurements performed by the hyperspectral instrument SCIAMACHY (*SCanning Imaging Absorption SpectroMeter for Atmospheric*

*CH*artograph *Y*) operating in the spectral range from
240 to 2400 nm onboard ENVISAT (Bovensmann et al.,
1999; Gottwald, 2006). SCIATRAN is a comprehensive
software package (Rozanov et al., 2002; Rozanov et al.,
2005, 2008) for the modeling of radiative transfer processes

0273-1177/\$36.00 © 2012 Published by Elsevier Ltd. on behalf of COSPAR. doi:10.1016/j.asr.2012.02.012

in the terrestrial atmosphere in the spectral range from 34 ultraviolet to the thermal infrared $(0.18-40 \,\mu\text{m})$ including 35 multiple scattering processes, polarization, and thermal 36 emission. The software allows to consider all significant 37 radiative transfer processes such as Rayleigh scattering, 38 scattering by aerosol and cloud particles, and absorption 39 by numerous gaseous components in the vertically inhomo-40 geneous atmosphere bounded by the reflecting surface. The 41 reflecting properties of a surface are described by the bidi-42 rectional reflection function including Fresnel reflection of 43 the flat and wind roughened ocean-atmosphere interface. 44 The developed software package along with detailed User's 45 Guide are freely distributed at http://www.iup.physik.uni-46 bremen.de/sciatran. It contains databases of all important 47 atmospheric and surface parameters as well as many 48 defaults mode which significantly facilitate the usage of 49 SCIATRAN for non-experts in radiative transfer users. 50

^{*} Corresponding author. Address: Institute of Environmental Physics, University of Bremen, FB 1, P.O. Box 330440, 28334 Bremen, Germany. Tel.: +49 421 218 62081.

E-mail address: blum@iup.physik.uni-bremen.de (M. Blum).

¹ (alt.: Otto Hahn Allee 1, 28359 Bremen), Germany.

ARTICLE IN PRESS

9 March 2012 Disk Used

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

Although the developed software can be used to solve 51 52 numerous forward and inverse problems of the atmospheric optics, it does not allow to model e.g. radiation 53 field in the ocean and, in particular, the water leaving radi-54 55 ation containing important information about numerous ocean optical parameters (e.g. Vountas et al. (2007), Brach-56 57 er et al. (2009)). Furthermore, the accuracy of trace gas and aerosol retrievals over oceanic sites can be improved 58 including the interaction of radiative processes in the atmo-59 sphere and ocean in the corresponding RT model. 60

For this reason, the software package SCIATRAN was 61 extended, to account for the radiative transfer within the 62 water and the interaction of radiative processes in the 63 atmosphere and ocean. Although a number of coupled 64 ocean-atmosphere RT models including polarization effects 65 have been recently published (Bulgarelli et al., 1999; Fell 66 and Fischer, 2001; He et al., 2010; Jin et al., 2006; Ota 67 et al., 2010; Zhai et al., 2010), only the COART model 68 (Jin et al., 2006) permits an online usage by providing a 69 set of input parameters; however, the source code is not 70 available, only an interface is given on the website http:// 71 72 snowdog.larc.nasa.gov/jin/rtnote.html. To our knowledge, 73 the SCIATRAN model is the only free available software to calculate radiative transfer in a coupled ocean-atmo-74 sphere system. 75

The main goals of this paper are

- To describe the optical properties of natural waters implemented in the code;
- To discuss modifications in the formulation of the RT
 equation and boundary conditions in the case of the
 coupled ocean-atmosphere system;
 - To present a new iterative technique that is employed to solve boundary value problem in the coupled ocean-atmosphere RT model;
 - to demonstrate validation results of the extended SCIA-TRAN version.

Taking into account that the atmospheric radiative 88 transfer of the SCIATRAN software was successfully vali-89 dated (see e.g. Kokhanovsky et al. (2010)), we restrict our-90 selves here to the validation of the oceanic radiative 91 transfer. The validation is performed through intercompar-92 93 isons with benchmark results and predictions of other RT models as well as through comparisons with MERIS 94 (MEdium Resolution Imaging Spectrometer) (Bezy et al., 95 2000) spectra measured over oceanic sites. 96

97 **2. Basic principles of ocean optics**

98 The principles of Ocean Colour are characterized in 99 Fig. 1. Solar radiation is absorbed and scattered by atmo-100 spheric constituents, and reflected and refracted at the air-101 water interface.

Within water, the transmitted solar radiation is
absorbed and scattered, and after interaction with water
constituents, the solar radiaton reenters the atmosphere.



Fig. 1. Principles of ocean colour.

Finally, before detection at an instrument, the water leaving radiance interacts with atmospheric constituents again.

In order to analyse the radiative processes within water, 107 adequate knowledge of the optical properties of water itself 108 and of its constituents, where the main optically active sub-109 stances besides water molecules are CDOM (Coloured Dis-110 solved Organic Matter), phytoplankton, and suspended 111 particles, is required. One thereby distinguishes between 112 IOPs (Inherent Optical Properties), which are only depend-113 ing on the medium itself, and thus independent on the sur-114 rounding lightfield, and AOPs (Apparent Optical 115 Properties), which are depending on the IOPs as well as 116 on the surrounding electromagnetic radiation field. Typical 117 IOP parameters are the absorption coefficient a, the vol-118 ume scattering function β , and the scattering coefficient b, 119 whereas e.g. reflectance and transmittance are AOPs. To 120 deduce the information about the particular oceanic con-121 stituent from the measured data, accurate knowledge of 122 the optical parameters of oceanic species and the behaviour 123 of electromagnetic radiation in the water medium is 124 essential. 125

3. Radiative transfer in the coupled ocean-atmosphere system 126

The radiative transfer in the atmosphere and ocean will127be considered in the framework of the standard BVP128(Boundary Value Problem) (Chandrasekhar, 1950):129130

$$\mu \frac{\partial I_{\text{tot}}(\tau, \Omega)}{\partial \tau} = -I_{\text{tot}}(\tau, \Omega) + J_{\text{tot}}(\tau, \Omega), \tag{1}$$

$$I_{\rm tot}(0,\Omega) = \pi \delta(\mu - \mu_0) \delta(\varphi - \varphi_0), \quad \mu > 0, \tag{2}$$

$$I_{\text{tot}}(\tau_0, \Omega) = \mathcal{R}I_{\text{tot}}(\tau_0, \Omega'), \quad \mu < 0.$$
(3) 132

Here, $\tau \in [0, \tau_0]$ is the optical depth changing from 0 at the 133 top of the plane-parallel medium to τ_0 at the bottom, the 134 variable $\Omega := \{\mu, \varphi\}$ describes the set of variables 135 $\mu \in [-1, 1]$ and $\varphi \in [0, 2\pi], \mu$ is the cosine of the polar angle 136 ϑ as measured from the positive τ -axis (negative z-axis) and 137 φ is the azimuthal angle, $I_{tot}(\tau, \Omega)$ is the total intensity (or 138 radiance) at the optical depth τ in the direction Ω , $J_{tot}(\tau, \Omega)$ 139 is the multiple scattering source function, and \mathcal{R} is a linear 140

Please cite this article in press as: Blum, M., et al. Coupled ocean-atmosphere radiative transfer model in the framework of software package SCIATRAN: Selected comparisons to model and satellite data. J. Adv. Space Res. (2012), doi:10.1016/j.asr.2012.02.012

2

76

77

78

82

83

84

85

197

198

199 200

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

 $\frac{219}{220}$

223

224

225

226

227

228

229

230

231

232

233 234

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

integral operator. The multiple scattering source function and linear integral operator \mathcal{R} are given as follows:

Disk Used

$$J_{\rm tot}(\tau,\Omega) = \frac{\omega(\tau)}{4\pi} \int_{4\pi} P(\tau,\Omega,\Omega') I_{\rm tot}(\tau,\Omega') d\Omega', \qquad (4)$$

₁₄₅
$$\mathcal{R} = \frac{1}{\pi} \int_0^{2\pi} d\varphi' \int_0^1 d\mu' \mu' R(\Omega, \Omega') \otimes,$$
 (5)

146 where $\omega(\tau)$ is the single scattering albedo (scattering coeffi-147 cient divided by extinction coefficient), $P(\tau, \Omega, \Omega')$ is the 148 phase function describing angular scattering properties of 149 the medium, and $R(\Omega, \Omega')$ determines angular reflection 150 properties of the underlying surface, symbol \otimes is used to 151 denote an integral operator rather than a finite integral.

The UBC (Upper Boundary Condition) given by Eq. (2) 152 describes the unidirectional (μ_0, φ_0) solar light beam at the 153 top of atmosphere, $\delta(\mu - \mu_0)$ and $\delta(\varphi - \varphi_0)$ are the Dirac 154 155 delta functions, μ_0 and φ_0 are the cosines of the solar zenith angle and solar azimuthal angle, respectively. The solar 156 157 zenith angle is defined as an angle between positive direction of z-axis and the direction to the sun. The x-axis of 158 basic Cartesian coordinate system is chosen so that its 159 direction is opposite to the direction to the sun. Therefore, 160 161 the azimuthal angle of the solar beam equal to zero $(\varphi_0 = 0)$. It follows from Eq. (2) that the extraterrestrial 162 solar flux at an unit horizontal area is equal to $\pi\mu_0$. 163

164 The LBC (Lower Boundary Condition) given by Eq. (3) 165 defines the bidirectional reflection of radiation at the sur-166 face. In particular, in the case of Lambertian reflection 167 the integral operator \mathcal{R} results in

170
$$\mathcal{R}_{\rm L} = \frac{A}{\pi} \int_0^{2\pi} d\varphi' \int_0^1 d\mu' \mu' \otimes, \qquad (6)$$

171 where *A* is the Lambertian surface albedo.

172 \perp Formulating the RT equation along with boundary conditions given by Eqs. (1)–(3), we have restricted ourselves with the scalar case i.e., polarization is not included. The thermal emission is not included also because it is of minor importance for the RT processes in the ocean.

The formulated BVP for the total intensity includes gen-177 eralized functions in the form of Dirac δ -functions (see Eq. 178 (2)). It is known that solutions of such equations contain 179 the generalized functions as well. The standard approach 180 181 to eliminate the generalized function in the solution of the RT equation is to separate the total intensity into direct 182 and diffuse component and to formulate the RT equation 183 for the diffuse component only (Chandrasekhar, 1950). In 184 this case the total intensity is represented as follows (Chan-185 186 drasekhar, 1950): 187

189
$$I_{\text{tot}}(\tau, \Omega) = I(\tau, \Omega) + D(\tau, \Omega),$$
 (7)

where $I(\tau, \Omega)$ and $D(\tau, \Omega)$ are the diffuse and direct components of the total intensity, respectively.

192 Substituting $I_{tot}(\tau, \Omega)$ given by Eq. (7) into Eq. (1) and 193 introducing the multiple and single scattering source func-194 tions as follows:

$$J_m(\tau,\Omega) = \frac{\omega(\tau)}{4\pi} \int_{4\pi} P(\tau,\Omega,\Omega') I(\tau,\Omega') d\Omega',$$
(8)

$$J_{s}(\tau,\Omega) = \frac{\omega(\tau)}{4\pi} \int_{4\pi} P(\tau,\Omega,\Omega') D(\tau,\Omega') d\Omega', \qquad (9)$$

we obtain the following RT equation and boundary conditions for the diffuse component:

$$\mu \frac{\partial I(\tau, \Omega)}{\partial \tau} = -I(\tau, \Omega) + J_m(\tau, \Omega) + J_s(\tau, \Omega), \qquad (10)$$

$$I(0,\Omega) = 0, \quad \mu > 0,$$
 (11)

$$I(\tau_0, \Omega) = \mathcal{R}D(\tau_0, \Omega') + \mathcal{R}I(\tau_0, \Omega'), \quad \mu < 0, \tag{12}$$

where the integral operator \mathcal{R} is given by Eq. (5). Eqs. (10)–(12) describe BVP for the intensity of the diffuse radiation field.

Employing appropriate boundary conditions and expressions for the direct component $D(\tau_{\underline{n}}\Omega)$, the formulated BVP can be used to model RT processes in the atmosphere and ocean. These issues will be considered in the three following subsections.

3.1. Uncoupled atmospheric and oceanic radiative transfer models

Ignoring the coupling, the corresponding BVP can be formulated for both ocean and atmosphere independently. It can be seen from Eqs. (9) and (12) that the single scattering source function $J_s(\tau, \Omega)$ and LBC depend on the direct component $D(\tau, \Omega)$. Therefore, to describe radiative transfer in the atmosphere it will be used the following representation of the direct solar component:

$$D_{a}(\tau, \Omega) = \pi \delta(\mu - \mu_{0}) \delta(\varphi - \varphi_{0}) e^{-\tau/\mu_{0}} + \pi \delta(\mu + \mu_{0}) \delta(\varphi - \varphi_{0}) R_{F}(\mu_{0}) e^{-(2\tau_{a} - \tau)/\mu_{0}}, \quad (13) \qquad 222$$

where $R_{\rm F}(\mu_0)$ is the Fresnel reflection coefficient of the water surface and τ_a is the optical thickness of the entire atmosphere. The first term in this equation describes the attenuation of the direct solar radiation by the atmosphere at the optical depth τ and the second one is used if the Fresnel reflection from the absolute flat water surface is accounted for. This term describes the upward direct solar radiation at the optical depth τ reflected by the water surface and attenuated by the atmosphere.

The direct solar component in the ocean at the optical depth τ is used as follows:

$$D_{\rm o}(\tau,\Omega) = \pi \delta(\mu - \mu_0') \delta(\varphi - \varphi_0) \frac{\mu_0}{\mu_0'} T_{\rm F}(\mu_0) e^{-\tau/\mu}.$$
 (14) 236

Here $T_{\rm F}(\mu_0)$ is the Fresnel transmission coefficient of the 237 air-water interface, τ is the optical depth in the ocean, 238 and μ'_0 is the cosine of the solar angle in the ocean defined 239 according to Snell law (Born and Wolf, 1964) as 240 $\mu'_0 = \sqrt{1 - (1 - \mu_0^2)/n^2}$, where *n* is the real part of the water 241 refractive index. We assume throughout this paper that the 242 refractive index of the air is equal to 1. The multiplier μ_0/μ'_0 243 is introduced in the expression (14) to ensure the energy 244

289

290

291

292

293

294

295

296

297

298

299

300

301

302

Δ

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

conservation of the direct solar radiation just above and 245 just below the ocean surface. 246

Disk Used

Substituting expressions (13) and (14) into Eqs. (9) and 247 (12), we obtain the single scattering source function and 248 LBC in the atmosphere and ocean, respectively. The 249 UBC for the atmosphere is given always by Eq. (11) which 250 251 manifests that there is no diffuse radiation incoming in the atmosphere from the top. In contrast to the atmosphere at 252 the top of ocean there is an jump of refractive index. This 253 leads to the Fresnel reflection of the outgoing radiation at 254 the top of the ocean. In particular, the part of energy will 255 be reflected back into ocean. To take this into account 256 one needs to reformulate the upper boundary condition 257 for the intensity in the ocean. To this end we write in the 258 case of the wind-roughened ocean surface $\frac{259}{260}$

262
$$I(0,\Omega) = \mathcal{R}_{\mathbf{w}}I(0,\Omega'), \quad \mu > 0, \tag{15}$$

where \mathcal{R}_{w} denotes a linear integral operator $\frac{263}{264}$

$$\mathcal{R}_{\rm w} = \frac{1}{\pi} \int_0^{2\pi} d\varphi' \int_{-1}^0 d\mu' \mu' R_{\rm w}(\Omega, \Omega') \otimes, \qquad (16)$$

 $R_{\rm w}(\Omega, \Omega')$ determines the angular reflection properties of 267 the upper ocean boundary and $I(0, \Omega)$ describes the inten-268 sity of the radiation reflected from the ocean-atmosphere 269 interface back to the ocean. In the case of the flat ocean 270 surface the linear integral operator \mathcal{R}_w should be replaced 271 by the Fresnel reflection coefficient $R_F(\mu')$. 272

The boundary conditions and single scattering source 273 274 functions corresponding to the uncoupled atmospheric and oceanic RT model are summarized in the left and right 275 276 columns of Table 1, respectively.

It is worth to notice that:

277

• Single scattering albedo, phase function, and the optical 278 thickness in the left and right columns of Table 1 279 describe the optical parameters of the atmosphere and 280 ocean, respectively; 281

• Fresnel reflection $R_{\rm F}(\mu)$ and transmission $T_{\rm F}(\mu)$ coeffi-282 cients of the flat ocean surface are used as given e.g. 283 284 by Born and Wolf (1964);

• Fresnel reflection and transmission of the wind-rough-285 ened air-water interface was implemented in SCIA-286 287

TRAN according to Nakajima and Tanaka (1983)

including	shadowing	effects	and	Gaussian	distribution	
of wave s	lopes;					

- The water-leaving radiation $I_{WL}(\Omega)$ is used according to the modified Gordon approximation (Anikonov and Ermolaev, 1977; Gordon, 1973; Kokhanovsky and Sokoletsky, 2006);
- The uncoupled atmospheric RT model is implemented already in the software package SCIATRAN;
- Only Lambertian reflection of the ocean bottom is implemented in the current version;
- The typical example of the uncoupled oceanic RT model is the widely used in the ocean optics community Hydro-Light model (Mobley and Sundman, 2008a; Mobley and Sundman, 2008b).

3.2. Coupled ocean-atmosphere radiative transfer model 303

The coupled ocean-atmosphere RT model has the same 304 upper boundary condition in the atmosphere and lower 305 boundary condition in the ocean as uncoupled one. How-306 ever, LBC in the atmosphere and UBC in the ocean have 307 to be corrected to properly account for interaction of radi-308 ative processes in the atmosphere and ocean. In particular, 309 a part of energy is transmitted from the ocean through the 310 air-water interface into the atmosphere. To take this into 311 account, LBC for the atmosphere given e.g. in the case of 312 wind-roughened ocean surface in the left panel of Table 1 313 should be rewritten as follows: 314 315

$$I(\tau_0, \Omega) = R(\Omega, \Omega_0) \mu_0 e^{-\tau_0/\mu_0} + \mathcal{R}I(\tau_0, \Omega') + \mathcal{T}_{wa}I(\tau_0^+, \Omega'),$$

$$\mu < 0, \qquad (17) \qquad 317$$

where $I(\tau_0^+, \Omega')$ is the intensity of radiation field just below 318 the air-water interface. The transmission operator ${\cal T}_{wa}$ is 319 given by 320 321

$$\boldsymbol{\mathcal{T}}_{\mathrm{wa}} = \int_{0}^{2\pi} d\varphi' \int_{-1}^{0} d\mu' \boldsymbol{T}_{\mathrm{wa}}(\Omega, \Omega') \otimes, \qquad (18)$$

where $T_{wa}(\Omega, \Omega')$ denotes the angular transmission proper-324 ties of the air-water interface for illumination from below. 325 The last term in Eq. (17) describes the so called water leav-326 ing radiation which is introduced here instead of approxi-327

Atmosphere		Ocean
Wind-roughened ocean surface		
$ \frac{\omega(\tau)}{4} P(\tau, \Omega, \Omega_0) e^{-\tau/\mu_0} $ 0 $ P(\Omega, \Omega) = e^{-\tau_0/\mu_0} + P(\tau, \Omega') + P(\tau, \Omega') $		$\frac{\frac{\omega(\tau)}{4}\frac{\mu_0}{\mu'_0}P(\tau,\Omega;\mu'_0,\phi_0)T_{\rm F}(\mu_0)e^{-\tau/\mu'_0}}{R_{\rm w}I(0,\Omega')}$
$\frac{R(\Omega, \Omega_0)\mu_0 e^{-\tau_0/\mu_0} + RI(\tau_0, \Omega_0) + I_{\rm WL}(\Omega)}{I_{\rm WL}(\Omega)}$	LBC	$A\mu_0 e^{-\tau_0/\kappa_0} + \kappa_L I(\tau_0, \Omega)$
Flat ocean surface $\frac{\omega(\tau)}{4}P(\tau,\Omega,\Omega_0)e^{-\tau/\mu_0} + \frac{\omega(\tau)}{4}P(\tau,\Omega;-\Omega_0)R_{\rm F}(\mu_0)e^{-(2\tau_a-\tau)/\mu_0}$	$J_{ m s}$	$\tfrac{\omega(\tau)}{4}\tfrac{\mu_0}{\mu_0'}P(\tau,\varOmega;\mu_0',\phi_0)T_{\mathrm{F}}(\mu_0)e^{-\tau/\mu_0'}$
0	UBC	$R_{ m F}(\mu')I(0,\Omega')$
$RI(au_0, arOmega') + I_{ m WL}(arOmega)$	LBC	$A\mu_0'e^{- au_0/\mu_0'}+R_{ m L}I(au_0,\Omega')$

Table 1 UBC, LBC, and J_s of the uncoupled radiative transfer model

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

mation, $I_{WL}(\Omega)$, used in the case of the uncoupled atmospheric radiative transfer model.

The atmosphere above the ocean attenuates the direct solar radiation transmitted into ocean. There is also the diffuse radiation illuminating the ocean surface from above. Denoting the optical thickness of the atmosphere as τ_a , the direct solar radiation in the ocean is obtained as follows:

$$\mathbf{D}_{\rm o}(\tau,\Omega) = \pi \delta(\mu - \mu_0') \delta(\varphi - \varphi_0) \frac{\mu_0}{\mu_0'} T_{\rm F}(\mu_0) e^{-\tau_a/\mu_0} e^{-\tau/\mu},$$
7
(19)

337

where τ is the optical depth counted from the top of the ocean. The upper boundary condition in the ocean has to be rewritten also to account for the diffuse radiation transmitted from the atmosphere into ocean. This results in

344
$$I(0,\Omega) = \mathcal{R}_{w}I(0,\Omega'), + \mathcal{T}_{aw}I(\tau_{0}^{-},\Omega'), \quad \mu > 0,$$
(20)

where $I(\tau_0^-, \Omega')$ is the intensity of radiation field just above the air-water interface. The transmission operator \mathcal{T}_{aw} is given in the form analogical to Eq. (18), where $T_{wa}(\Omega, \Omega')$ should be replaced by $T_{aw}(\Omega, \Omega')$ which describes the angular transmission properties of the air-water interface for illumination from above.

The single scattering source functions and corresponding boundary conditions for coupled ocean-atmosphere model are summarized in Table 2: we note that

The direct solar radiation in the ocean is used ignoring the wind-roughness, i.e., for the flat air-water interface. Therefore, the single scattering source function in the right panel of Table 2 is the same for wind-roughened and flat ocean surface.

• Integral operators \mathcal{T}_{wa} and T_{aw} describing the transmission of the radiation across air-water interface are implemented according to Nakajima and Tanaka (1983).

363 3.3. Solution of the boundary value problem

To solve formulated above BVP we employ the Fourier analysis to separate the zenith and azimuthal dependence of the intensity (Siewert, 1981; Siewert, 1982; Siewert, 2000) and the discrete ordinates technique

(Chandrasekhar, 1950; Schulz et al., 1999; Schulz and	368
Stamnes, 2000; Siewert, 2000; Stamnes et al., 1988; Thomas	369
and Stamnes, 1999) for the reduction of integro-differential	370
equations to the system of ordinary differential equations.	371
In particular, the expansion of the intensity and phase	372
function into Fourier series leads to the formulation of	373
independent system of equations for each Fourier harmon-	374
ics of the intensity. To obtain the solution of RT equation	375
for the <i>m</i> -th Fourier harmonic the discrete ordinates	376
method is used. According to this technique, the radiation	377
field is divided into N up-welling and N down-welling	378
streams, producing the intensity pairs $I_{-}(\tau)$ and $I_{+}(\tau)$ in	379
the discrete directions $\pm \mu_i$, where μ_i are quadrature points	380
of the double-Gauss scheme (see e.g. Thomas and Stamnes	381
(1999) for details) adopted in SCIATRAN. Considering	382
the radiative transfer in the atmosphere, the Gaussian-	383
quadrature points and weights are the same in all atmo-	384
spheric layers, but it is not the case for the coupled	385
ocean-atmosphere medium because the refraction at the	386
interface of the atmosphere and ocean occurs. For the flat	387
sea surface, the incident radiance with the zenith angle	388
between Q° -90° in the atmosphere transmits in the ocean	389
in a cone (so called Fresnel cone) with the maximum zenith	390
angle less than the critical angle ($\sim 48.3^{\circ}$). Therefore, the	391
number of Gaussian-quadrature points in the ocean must	392
be larger than the number in the atmosphere to properly	393
account for the radiative transfer in the region of total	394
reflection (i.e. outside the Fresnel cone). To this end, the	395
so called coupled underwater quadrature points method	396
as used by Jin et al. (2006) has been implemented in SCIA-	397
TRAN. This method uses two sets of quadrature points,	398
one corresponds to the refracted directions in the atmo-	399
sphere and the other covers the region outside the Fresnel	400
cone. The detailed discussion of the coupled quadrature	401
points method is given e.g. by He et al. (2010).	402

Having defined the Gaussian-quadrature points and applying a quadrature formula to replace all integrals over the direction cosine by finite sums in the RT equation, one arrives at a system of coupled first order ordinary linear differential equations in the optical depth τ .

Comparing the boundary conditions of the uncoupled 408 and coupled RT model given in Tables 1 and 2, respectively, one can see that UBC for the ocean contains the 410

Table 2	
UBC, LBC, and J_s for coup	oled ocean-atmosphere model

Atmosphere		Ocean
Wind-roughened ocean surface		
$rac{\omega(au)}{4}P(au, \Omega, \Omega_0)e^{- au/\mu_0}$	$J_{ m s}$	$rac{\omega(au)}{4} rac{\mu_0}{\mu_0'} P(au, \Omega; \mu_0', \phi_0) T_{ m F}(\mu_0) e^{- au/\mu_0} e^{- au_a/\mu_0}$
0	UBC	$R_{ m w}I(0, \Omega') + T_{ m aw}Iig(au_0^-, \Omega'ig)$
$R(\Omega,\Omega_0)\mu_0e^{- au_0/\mu_0}+RI(au_0,\Omega')+$	LBC	$A\mu_0'e^{- au_0/\mu_0'}+R_{ m L}I(au_0,\Omega')$
${T}_{ m wa} Iig(au_0^+, arOmega'ig)$		
Flat ocean surface		
$\frac{\omega(\tau)}{4}P(\tau,\Omega,\Omega_0)e^{-\tau/\mu_0}+$	J_{s}	$\frac{\omega(\tau)}{4} \frac{\mu_0}{\mu'} P(\tau, \Omega; \mu'_0, \phi_0) T_{\rm F}(\mu_0) e^{-\tau/\mu'_0} e^{-\tau_a/\mu_0}$
$rac{\omega(au)}{4}P(au,\Omega;-\mu_0,\phi_0)R_{ m F}(\mu_0)e^{-(2 au_a- au)/\mu_0}$		μ_0 ()) () () () ()
0	UBC	$R_{\mathrm{F}}(\mu')I(0, \Omega') + T_{\mathrm{F}}(\mu')I(\tau_0^-, \Omega')$
$RI(au_0, arOmega') + T_{ m F}(\mu')Iig(au_0^+, arOmega'ig)$	LBC	$A\mu_0'e^{- au_0/\mu_0'}+R_{ m L}I(au_0, \Omega')$

Please cite this article in press as: Blum, M., et al. Coupled ocean-atmosphere radiative transfer model in the framework of software package SCIATRAN: Selected comparisons to model and satellite data. J. Adv. Space Res. (2012), doi:10.1016/j.asr.2012.02.012

5

403

404

405

406

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

411 contribution of the transmitted across the air-water interface intensity, $I(\tau_0, \Omega')$, which is defined just above the 412 ocean surface, i.e. in the atmosphere. The same is hold 413 for LBC in atmosphere. This contains the contribution of 414 415 the transmitted across the air-water interface intensity, $I(\tau_0^+, \Omega')$, which is defined just below the ocean surface, 416 417 i.e., in the ocean. Thus, the solution of BVP in the atmosphere depends on the solution in the ocean and vice versa. 418 Therefore, to solve BVP for the coupled ocean-atmosphere 419 RT model, an iterative technique has been employed. To 420 illustrate this, the solution of BVP in the atmosphere and 421 ocean is written in the following symbolic form: 422

6

$$I_{\mathrm{a}}^{\mathrm{n}}(\tau,\Omega) = L_{\mathrm{a}}^{-1}S_{\mathrm{a}}(\tau,\Omega) + L_{\mathrm{a}}^{-1} \big[\boldsymbol{\mathcal{T}}_{\mathrm{wa}} I_{\mathrm{w}}^{\mathrm{n}-1}(0,\Omega') \big], \tag{21}$$

$$I_{25} \qquad I_{w}^{n}(\tau,\Omega) = L_{w}^{-1}S_{w}(\tau,\Omega) + L_{w}^{-1} \big[\boldsymbol{\mathcal{T}}_{aw} I_{a}^{n}(\tau_{0},\Omega') \big],$$
(22)

where L is the forward RT operator which comprises all 426 operations with the intensity including boundary condi-427 tions, $S(\tau, \Omega)$ is the right-hand side of the forward RT equa-428 tion written in generalized form (see Rozanov and 429 Rozanov (2007) for details), L^{-1} is an inverse operator, n 430 is the iteration number, subscripts "a" and "w" denote 431 the corresponding parameters in the atmosphere and 432 ocean, respectively, $I_{w}(0, \Omega')$ and $I_{a}(\tau_{0,u}\Omega')$ denote the inten-433 sity just below and just above the air-water interface, 434 respectively. The iteration process is started from the solu-435 tion of RTE in the atmosphere ignoring the water-leaving 436 radiation, i.e. setting $I_{\rm w}^0(0,\Omega')=0$ in Eq. (21). The solution 437 in the atmosphere is obtained as $I_a^1(\tau, \Omega)$. The solution of 438 RTE in ocean is then found as follows: 439

$$I_{w}^{1}(\tau,\Omega) = L_{w}^{-1}S_{w}(\tau,\Omega) + L_{w}^{-1} \big[\boldsymbol{\mathcal{T}}_{aw}I_{a}^{1}(\tau_{0},\Omega') \big].$$
(23) 442

Table 3

|--|

Total spectral absorption coefficient of seawater $a(\lambda, C)$:

 $a(\lambda, C) = a_w(\lambda) + a_C(\lambda) + a_p(\lambda)$ Absorption coefficient of pure water in $[m^{-1}]$ (Pope $a_w(\lambda)$ and Fry, 1997). $a_C(\lambda)$ $0.06 \cdot A_c(\lambda) \cdot C^{0.65} [m^{-1}]$ $a_p(\lambda)$ absorption coefficient: for $\lambda_0 = 440$ nm, $mg \cdot m^{-3}$], 1981). Angular scattering coefficient of seawater $\beta(\lambda, \Theta)$ $\boldsymbol{\beta}(\boldsymbol{\lambda}, \boldsymbol{\Theta}) = \boldsymbol{\beta}_{w}(\boldsymbol{\lambda}, \boldsymbol{\Theta}) + \boldsymbol{\beta}_{p}(\boldsymbol{\lambda}, \boldsymbol{\Theta}) \left[\frac{1}{\mathbf{m} \cdot \mathbf{s}^{\mathsf{T}}}\right]$ $\beta_w(\lambda, \Theta)$ function of pure water: $\beta_{w}(\lambda, 90^{\circ})\left(1 + \frac{1-\delta}{1+\delta}\cos^{2}\Theta\right) \left[\frac{1}{\text{m}\cdot\text{sr}}\right].$ $\beta_w(\lambda, 90^\circ)$ scattering angle: $b_w(\lambda)$ $\frac{8\pi}{3}\beta_w(\lambda,90^\circ)\left(\frac{2+\delta}{1+\delta}\right) \ \left[\frac{1}{\mathrm{m}}\right].$ $\beta_n(\lambda, \Theta)$ Implemented models of $\beta_w(\lambda, 90^\circ)$ and $b_w(\lambda)$: $\begin{array}{l} \beta_w(\lambda,90^\circ) = 2.18 \cdot \left(\frac{450}{\lambda}\right)^{4.32} \cdot 10^{-4} \left[\frac{1}{\text{m}\cdot\text{sr}}\right] \\ b_w(\lambda) = 3.50 \cdot \left(\frac{450}{\lambda}\right)^{4.52} \cdot 10^{-3} \left[\frac{1}{\text{m}}\right] \\ \beta_w(\lambda,90^\circ) = 0.93 \cdot \left(\frac{546}{\lambda}\right)^{4.17} \cdot 10^{-4} \left[\frac{1}{\text{m}\cdot\text{sr}}\right] \\ b_w(\lambda) = 1.49 \cdot \left(\frac{546}{\lambda}\right)^{4.17} \cdot 10^{-3} \left[\frac{1}{\text{m}}\right] \end{array}$ Morel (1974) Shifrin (1988) Buiteveld et al. (1994) into $\beta_w(\lambda, 90^\circ)$. Implemented models of β_p (λ, Θ): Petzold (1972) Haltrin (2006) Kopelevich (1983) particles in $\left[\mathrm{cm}^{3}\cdot\mathrm{m}^{-3}\right]$

Chlorophyll related absorption coefficient: Pigment (dissolved organic matter or CDOM) $0.2 \cdot [a_w(\lambda_0) + 0.06 \cdot C^{0.65}] \cdot e^{-S(\lambda - \lambda_0)} [\mathrm{m}^{-1}]$ $(a_C \& a_p \text{ according to Morel and Maritorena (2001)})$ $S = 0.014 \,(\text{nm})^{-1}$, chlorophyll concentration C and $A_c(\lambda)$ with $A_c(\lambda_0) = 1$ (Prieur and Sathyendranath,

Angular scattering coefficient or volume scattering Volume scattering function of pure water at 90° $\frac{2\pi^2}{\lambda^4 B_T} k T_a n^2 \left(\frac{\partial n}{\partial P}\right)_T^2 \left(\frac{6+6\delta}{6-7\delta}\right) \left[\frac{1}{\text{m-sr}}\right].$ Total scattering coefficient of pure water: Angular scattering coefficient of particulate matter.

Inserting provided set of formulas and values (Table 4)

Values from experiments which were presented by $\beta_{p}(\lambda, \Theta) = v_{s} \beta_{s}(\Theta) \cdot \left(\frac{550}{\lambda}\right)^{1.7} + v_{l} \beta_{l}(\Theta) \cdot \left(\frac{550}{\lambda}\right)^{0.3} \left[\frac{1}{\text{m-sr}}\right]$ for volume concentrations v_s of small and v_l of large

JASR 10886

ARTICLE IN PRESS

The iteration process will be stopped if the difference between values of the water-leaving intensity in the two subsequent iterations is less than the required criteria.

446 *3.4. Optical properties of natural waters*

The inherent optical properties specify the optical properties of natural waters in a form suited to the needs of
radiative transfer theory. In the first line it is the spectral
absorption coefficient, spectral attenuation (or extinction)
coefficient and spectral volume scattering function (or
phase function). All IOPs implemented in SCIATRAN
are listed in Table 3. We note that

- The approximation of pure water angular scattering coefficient given by Morel (1974) and Shifrin (1988) refers to measurements at $T = 20^{\circ}$ C and depolarization ratio δ equal to 0.09 at atmospheric pressure.
- The salinity adjustment factor is set to [1 + 0.3S/37]according to Morel (1974) and Shifrin (1988), where S is salinity.
- Functions $\beta_{s}(\Theta)$ and $\beta_{l}(\Theta)$ in the Kopelevich model (Kopelevich, 1983) are used in the tabular form.
- The volume concentrations v_s and v_l of particles in the Kopelevich model can be converted into the conventional mass concentrations C_s and C_l by $C_s = \rho_s v_s$, $C_l = \rho_l v_l$, where $\rho_s = 2 \text{ g} \cdot \text{cm}^{-3}$ and $\rho_l = 1 \text{ g} \cdot \text{cm}^{-3}$ are the average density of small and large particles, respectively.

It follows from Table 3 that calculation of some IOPs 469 requires the specific parameters of the water constituents 470 such as pressure, temperature, salinity, chlorophyll and 471 particulate matter concentration profiles, and so on. Thus, 472 the SCIATRAN data base was filled up with profile data 473 of depth distributions, as well as with absorption coefficients 474 475 of pure water and specific absorption coefficients of 476 chlorophyll.

477 **4. Validation of the extended model**

468

The coupled ocean-atmosphere RT model implemented 478 in the software package SCIATRAN has been validated 479 480 using two approaches. First, we have compared results obtained with SCIATRAN to the different test problems 481 (Mobley et al., 1993), and then the calculated reflectances 482 483 at the top of atmosphere were compared to the MERIS measured reflectances. The measurements performed with 484 the MERIS instrument have been selected for this compar-485 ison because the spatial resolution of MERIS instrument 486 $(\sim 1 \times 1 \text{ km}^2)$ fully resolved the peculiarity of the BOUS-487 SOLE station. It is worth to notice that the BOUSSOLE 488 station is already a case-1 water site, although it is located 489 only 59 km off the coast. Therefore the high spatial resolu-490 491 tion of a satellite instrument is required to avoid possible 492 contribution of case-2 water features.

A brief description of results obtained is given in twofollowing subsections.

4.1. Comparison to other model data

The predictions of SCIATRAN were compared with those of a number of other models for selected well-defined test cases, covering specific aspects of the radiative transfer in the ocean-atmosphere system as presented by Mobley et al. (1993). Although seven test problems were defined in the cited above paper we have restricted ourselves to four following:

- 1. Optically semi-infinite and vertically homogeneous ocean.
 - Refractive index of water n = 1.34,
 - Flat ocean-atmosphere interface,
 - 60° solar zenith angle and $E_0 = 1 \text{ Wm}^{-2} \text{ nm}^{-1}$ incident solar irradiance,
 - Black sky,
 - Pure water scattering described by Rayleigh phase function,
 - Single scattering albedo values $\omega_0 = 0.2$ and $\omega_0 = 0.9$.
- 2. The same as 1 but more realistic Petzold phase function is used instead of Rayleigh one.
- 3. The same as 2 but for the vertically stratified ocean.

522

525

526

527

528

529

530

4. The same as 2 but including atmospheric effects.

The following radiative quantities were involved in the comparison study:

$$E_d(\tau) = \mu_0 E_0 T_{\rm F}(\mu_0) e^{-\tau/\mu'_0} + 2\pi \int_0^1 I^0(\tau,\mu)\mu d\mu, \qquad (24)$$

$$E_{0u}(\tau) = 2\pi \int_{-1}^{0} I^{0}(\tau, \mu) d\mu, L_{u}(\tau) = I^{0}(\tau, -1), \qquad (25)$$

where $E_d(\tau)$, $E_{0u}(\tau)$ and $L_u(\tau)$ are the total downward irradiance, upward scalar irradiance and upward nadir radiance, respectively, at the optical depth τ , $I^0(\tau, \mu)$ is the azimuthally averaged intensity, μ_0 and μ'_0 are cosines of the solar zenith angle in the atmosphere and ocean, respectively, $T_F(\mu_0)$ is the Fresnel transmission coefficient.

These radiative quantities were calculated for test prob-531 lems listed above employing seven RT models (see Mobley 532 et al. (1993) for details). The discussion of these models is 533 out scope of this paper because it will be used here the aver-534 age values and standard deviations only which characterize 535 the variability of results obtained with involved in the com-536 parison study RT codes. Recently the solution of the first 537 three test problems has been obtained also by other RT 538 models. In particular, these test problems were solved 539 employing matrix operator method (MOMO, Fell and 540 Fischer, 2001), finite-element method (FEM, Bulgarelli et 541 al., 1999), and invariant embedding method (demo version 542 of HydroLight 5.1, Mobley and Sundman, 2008a; Mobley 543 and Sundman, 2008b). This motivates our choice of three 544 first test problems for inter-comparisons. Let us consider 545 all results obtained. Calculated values of E_d , E_{0u} , and L_u 546

Please cite this article in press as: Blum, M., et al. Coupled ocean-atmosphere radiative transfer model in the framework of software package SCIATRAN: Selected comparisons to model and satellite data. J. Adv. Space Res. (2012), doi:10.1016/j.asr.2012.02.012

495

496

497

498

499

500

501

502

503

504

505

506

507

508

509

510

511

512

513

514

515

JASR 10886 No. of Pages 17, Model 5+ **ARTICLE IN PRESS** 9 March 2012 Disk Used 8

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

alone with average values and standard deviations given by 547 Mobley et al. (1993) are summarized in Tables 5 and 6 for 548 test problems 1 and 2. Results are given at three optical 549 depths ($\tau = 1, 5, 10$) and two single scattering albedo 550 $(\omega_0 = 0.2, 0.9)$. It follows that HydroLight, SCIATRAN. 551 and MOMO results are very close to each other and stand 552 within the standard deviations given by Mobley et al. 553 (1993). We recall that the standard deviations indicate 554 the variability of results obtained with codes involved in 555 the comparison study by Mobley et al. (1993). It can be 556 seen also that the relative deviations increase with the 557 decreasing of the single scattering albedo. It can be 558 explained due to the fact that the increasing of absorption 559 (decreasing of SSA) leads to the significant decreasing of 560

irradiance and especially of upward nadir radiance. Results 561 obtained for the test problem 3 are summarized in Fig. 2 562 and Table 7. In this test problem the single scattering 563 albedo is assumed to be strongly dependent on the depth 564 (see Moblev et al., 1993 for further details). It can be seen 565 from Fig. 2 that for this more complicated test problem the 566 SCIATRAN predictions are within the error bars for all 567 depth under consideration. In particular, it follows from 568 middle panel of Fig. 2 that for the upward scalar irradiance 569 (E_{0u}) at the geometrical depth 60 m only SCIATRAN 570 result is within the error bars (Mobley et al., 1993). Except 571 for this specific depth, the FEM model results (Bulgarelli 572 et al., 1999) are within the error bars also. 573

Table 4

General constants and parameters of the volume scattering function according to Buiteveld et al. (1994)

B _T	Isothermal compressibility of water [Pa ⁻¹]	δ	Depolarization ratio
T _c	Temperature [°C]	T_a	Absolute temperature [°K]
Р	Pressure [Pa]	$\frac{\partial n}{\partial P}$	Pressure derivative of $n [Pa^{-1}]$
k	$= 1.38054 \cdot 10^{-23} \left[\frac{\mathrm{J}}{\mathrm{J}^{\circ}\mathrm{K}} \right]$	S	Spectral slope parameter $\left[\frac{1}{nm}\right]$
	(Boltzmann constant)		
n	Refractive index of water, where refractive index of air is set	to 1	
Buiteveld:			
B_T	$= (5.062271 - 0.03179T_c + 0.000407T_c^2)$	δ	= 0.051
	$\cdot 10^{-11}$ [Pa ⁻¹] (Lepple and Millero, 1971)		(Farinato and Roswell, 1976)
$\frac{\partial n}{\partial P}$	$= \frac{\partial n}{\partial P} (\lambda, T_c) = \frac{\frac{\partial n}{\partial P} (\delta, 20) \frac{\partial n}{\partial P} (633, T_c)}{\frac{\partial n}{\partial P} (633, 20)}, \text{ where }$		
	$\frac{\partial n}{\partial P}(\lambda, 20) = (-0.000156\lambda + 1.5989) \cdot 10^{-10}$		
	(O'Conner and Schlupf, 1967) function of wavelength, and		
	$\frac{\partial n}{\partial P}(633, T_c) = (1.61857 - 0.005785T_c) \cdot 10^{-10}$		
	(Evtyushenko and Kiyachenko, 1982) function of temperatur	е.	
n	$= 1.3247 + 3.3 \cdot 10^3 \cdot \lambda^{-2} - 3.2 \cdot 10^7 \cdot \lambda^{-4} - 2.5 \cdot 10^{-6} \cdot T_c^2$		
	(McNeil 1977) without salinity term		

Table 5

Results for optically semi-infinite and vertically homogeneous ocean with the Rayleigh volume scattering function (test problem 1).

ω	τ	HydroLight	MOMO	SCIATRAN	Mobley et al. (1993)
Downward to	tal irradiance E_d				
0.2	1	$1.412 \cdot 10^{-1}$	$1.415 \cdot 10^{-1}$	$1.415 \cdot 10^{-1}$	$(1.41 \pm 0.01) \cdot 10^{-1}$
0.2	5	$1.057 \cdot 10^{-3}$	$1.066 \cdot 10^{-3}$	$1.066 \cdot 10^{-3}$	$(1.07 \pm 0.01) \cdot 10^{-3}$
0.2	10	$2.956 \cdot 10^{-6}$	$3.027\cdot 10^{-6}$	$3.029 \cdot 10^{-6}$	$(2.93 \pm 0.30) \cdot 10^{-6}$
0.9	1	$3.660 \cdot 10^{-1}$	$3.665 \cdot 10^{-1}$	$3.660 \cdot 10^{-1}$	$(3.66 \pm 0.01) \cdot 10^{-1}$
0.9	5	$4.309 \cdot 10^{-2}$	$4.334 \cdot 10^{-2}$	$4.329 \cdot 10^{-2}$	$(4.33 \pm 0.02) \cdot 10^{-2}$
0.9	10	$3.109 \cdot 10^{-3}$	$3.150\cdot 10^{-3}$	$3.147\cdot 10^{-3}$	$(3.16 \pm 0.05) \cdot 10^{-3}$
Upward scalar	r irradiance E _{0u}				
0.2	1	$1.337 \cdot 10^{-2}$	$1.336 \cdot 10^{-2}$	$1.339 \cdot 10^{-2}$	$(1.34 \pm 0.01) \cdot 10^{-2}$
0.2	5	$9.866 \cdot 10^{-5}$	$9.905 \cdot 10^{-5}$	$9.924 \cdot 10^{-5}$	$(1.00 \pm 0.04) \cdot 10^{-4}$
0.2	10	$2.643 \cdot 10^{-7}$	$2.690 \cdot 10^{-7}$	$2.696 \cdot 10^{-7}$	$(3.00 \pm 0.92) \cdot 10^{-7}$
0.9	1	$3.727\cdot 10^{-1}$	$3.726\cdot 10^{-1}$	$3.727 \cdot 10^{-1}$	$(3.72 \pm 0.02) \cdot 10^{-1}$
0.9	5	$4.338 \cdot 10^{-2}$	$4.351 \cdot 10^{-2}$	$4.354 \cdot 10^{-2}$	$(4.35 \pm 0.04) \cdot 10^{-2}$
0.9	10	$3.123\cdot 10^{-3}$	$3.155\cdot 10^{-3}$	$3.158 \cdot 10^{-3}$	$(3.20 \pm 0.12) \cdot 10^{-3}$
Upward nadir	radiance L_{μ}				
0.2	1	$1.675 \cdot 10^{-3}$	$1.706\cdot 10^{-3}$	$1.706 \cdot 10^{-3}$	$(1.72 \pm 0.08) \cdot 10^{-3}$
0.2	5	$1.262 \cdot 10^{-5}$	$1.296 \cdot 10^{-5}$	$1.296 \cdot 10^{-5}$	$(1.37 \pm 0.39) \cdot 10^{-5}$
0.2	10	$3.573 \cdot 10^{-8}$	$3.753 \cdot 10^{-8}$	$3.755 \cdot 10^{-8}$	$(3.39 \pm 0.67) \cdot 10^{-8}$
0.9	1	$4.874 \cdot 10^{-2}$	$4.881 \cdot 10^{-2}$	$4.879 \cdot 10^{-2}$	$(4.85 \pm 0.08) \cdot 10^{-2}$
0.9	5	$5.744 \cdot 10^{-3}$	$5.784 \cdot 10^{-3}$	$5.783 \cdot 10^{-3}$	$(5.59 \pm 0.29) \cdot 10^{-3}$
0.9	10	$4.144\cdot 10^{-4}$	$4.204\cdot 10^{-4}$	$4.205\cdot10^{-4}$	$(4.37 \pm 0.40) \cdot 10^{-4}$

JASR 10886

Table 6

ARTICLE IN PRESS

9 March 2012 Disk Used

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

Results for o	ptically semi-infinite and	d vertically homogeneous ocea	n with the Petzold volume s	scattering function (test prob	lem 2).
ω	τ	HydroLight	MOMO	SCIATRAN	Mobley et al. (1993)
Downward t	otal irradiance E_d				
0.2	1	$1.617\cdot 10^{-1}$	$1.622 \cdot 10^{-1}$	$1.621 \cdot 10^{-1}$	$(1.62 \pm 0.01) \cdot 10^{-1}$
0.2	5	$2.267 \cdot 10^{-3}$	$2.283 \cdot 10^{-3}$	$2.277 \cdot 10^{-3}$	$(2.27 \pm 0.01) \cdot 10^{-3}$
0.2	10	$1.314 \cdot 10^{-5}$	$1.309 \cdot 10^{-5}$	$1.312 \cdot 10^{-5}$	$(1.30 \pm 0.07) \cdot 10^{-5}$
0.9	1	$4.129\cdot 10^{-1}$	$4.137 \cdot 10^{-1}$	$4.135 \cdot 10^{-1}$	$(4.13 \pm 0.01) \cdot 10^{-1}$
0.9	5	$1.856 \cdot 10^{-1}$	$1.884 \cdot 10^{-1}$	$1.868 \cdot 10^{-1}$	$(1.87 \pm 0.01) \cdot 10^{-1}$
0.9	10	$6.752 \cdot 10^{-2}$	$6.942\cdot 10^{-2}$	$6.832\cdot 10^{-2}$	$(6.85 \pm 0.07) \cdot 10^{-2}$
Upward scale	ar irradiance E _{0u}				
0.2	1	$9.651 \cdot 10^{-4}$	$9.541 \cdot 10^{-4}$	$9.894 \cdot 10^{-4}$	$(9.66 \pm 0.22) \cdot 10^{-4}$
0.2	5	$1.333 \cdot 10^{-5}$	$1.325 \cdot 10^{-5}$	$1.370 \cdot 10^{-5}$	$(1.37 \pm 0.09) \cdot 10^{-5}$
0.2	10	$6.963 \cdot 10^{-8}$	$6.905 \cdot 10^{-8}$	$7.143 \cdot 10^{-8}$	$(7.28 \pm 1.36) \cdot 10^{-8}$
0.9	1	$9.470 \cdot 10^{-2}$	$9.192 \cdot 10^{-2}$	$9.470 \cdot 10^{-2}$	$(9.31 \pm 0.20) \cdot 10^{-2}$
0.9	5	$4.673 \cdot 10^{-2}$	$4.574 \cdot 10^{-2}$	$4.679 \cdot 10^{-2}$	$(4.63 \pm 0.08) \cdot 10^{-2}$
0.9	10	$1.641 \cdot 10^{-2}$	$1.635\cdot 10^{-2}$	$1.656 \cdot 10^{-2}$	$(1.65\pm 0.03)\cdot 10^{-2}$
Upward nad	ir radiance L_u				
0.2	1	$5.575\cdot 10^{-5}$	$5.546 \cdot 10^{-5}$	$5.832 \cdot 10^{-5}$	$(5.47 \pm 0.33) \cdot 10^{-5}$
0.2	5	$7.885 \cdot 10^{-7}$	$7.873 \cdot 10^{-7}$	$8.142 \cdot 10^{-7}$	$(6.24 \pm 2.22) \cdot 10^{-7}$
0.2	10	$4.574 \cdot 10^{-9}$	$4.525 \cdot 10^{-9}$	$4.667 \cdot 10^{-9}$	$(4.02 \pm 1.00) \cdot 10^{-9}$
0.9	1	$6.981 \cdot 10^{-3}$	$6.783 \cdot 10^{-3}$	$7.001 \cdot 10^{-3}$	$(6.99 \pm 0.44) \cdot 10^{-3}$
0.9	5	$3.161 \cdot 10^{-3}$	$3.117 \cdot 10^{-3}$	$3.186 \cdot 10^{-3}$	$(3.26 \pm 0.18) \cdot 10^{-3}$
0.9	10	$1.138 \cdot 10^{-3}$	$1.138 \cdot 10^{-3}$	$1.154 \cdot 10^{-3}$	$(1.21 \pm 0.13) \cdot 10^{-3}$



Fig. 2. Results for the test problem 3 obtained with SCIATRAN, (×), HydroLight 5.1 (DemoVersion, ×), MOMO (×, Fell and Fischer (2001)), and FEM (×, Bulgarelli et al. (1999)) models in relation to the average value (\circ) and standard deviation ($\top \bot$) as given in Mobley et al. (1993).

The test problem 4 was selected to validate SCIATRAN 574 575 in the case of coupling of radiative transfer processes in the ocean-atmosphere system. In contrast to test problems 1-3576 it includes atmospheric effects. The sky is no longer black 577 as in previous test problems but has the radiance distribu-578 tion that describes the atmospheric scattering and absorp-579 tion effects. The atmosphere was characterized by the 580 Rayleigh and aerosol optical thicknesses equal to 0.145 581 and 0.264, respectively. Because no more detailed specifica-582 583 tion of atmospheric aerosol was given, we have reproduced this scenario with SCIATRAN using different values of 584

asymmetry factor and single scattering albedo of aerosol 585 particles. The simulations show, however, that the influ-586 ence of these parameters on the radiation field in water is 587 rather small especially for upward scalar irradiance and 588 nadir radiance. Fig. 3 shows results obtained employing 589 SCIATRAN to the test problem 4 setting asymmetry aero-590 sol factor to 0.7 and the single scattering albedo to 1 and 591 0.9. It follows that in both cases the obtained results are 592 within error bars. 593

Concluding we can state that SCIATRAN can successfully reproduce all considered test scenarios. In particular,

594

595

ARTICLE IN PRESS

10

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

Results for th	e vertically inhomogeneous	s ocean (test problem 3).	
z [m]	HydroLight	МОМО	SC

Disk Used

z [m]	HydroLight	MOMO	SCIATRAN	Bulgarelli	Mobley et al. (1993)
Downward	total irradiance E_d				
5	$2.295 \cdot 10^{-1}$	$2.315 \cdot 10^{-1}$	$2.304 \cdot 10^{-1}$	$2.31 \cdot 10^{-1}$	$(2.30 \pm 0.02) \cdot 10^{-1}$
25	$1.568 \cdot 10^{-3}$	$1.684 \cdot 10^{-3}$	$1.621 \cdot 10^{-3}$	$1.61 \cdot 10^{-3}$	$(1.62 \pm 0.05) \cdot 10^{-3}$
60	$4.851\cdot 10^{-5}$	$5.451\cdot 10^{-5}$	$5.035 \cdot 10^{-5}$	$5.21 \cdot 10^{-5}$	$(5.23 \pm 0.37) \cdot 10^{-5}$
Upward scal	lar irradiance E _{0u}				
5	$4.416 \cdot 10^{-2}$	$4.297 \cdot 10^{-2}$	$4.419 \cdot 10^{-2}$	$4.36 \cdot 10^{-2}$	$(4.34 \pm 0.11) \cdot 10^{-2}$
25	$2.838\cdot 10^{-4}$	$2.927\cdot 10^{-4}$	$2.911 \cdot 10^{-4}$	$2.88\cdot 10^{-4}$	$(2.86 \pm 0.11) \cdot 10^{-4}$
60	$4.901 \cdot 10^{-6}$	$5.334\cdot 10^{-6}$	$5.073 \cdot 10^{-6}$	$5.40\cdot 10^{-6}$	$(5.13 \pm 0.18) \cdot 10^{-6}$
Upward nad	lir radiance L_u				
5	$3.031 \cdot 10^{-3}$	$2.985 \cdot 10^{-3}$	$3.058 \cdot 10^{-3}$	$3.15 \cdot 10^{-3}$	$(3.13 \pm 0.17) \cdot 10^{-3}$
25	$1.953 \cdot 10^{-5}$	$2.048 \cdot 10^{-5}$	$2.028 \cdot 10^{-5}$	$2.09\cdot 10^{-5}$	$(2.12 \pm 0.13) \cdot 10^{-5}$
60	$4.014 \cdot 10^{-7}$	$4.508 \cdot 10^{-7}$	$4.229 \cdot 10^{-7}$	$4.43 \cdot 10^{-7}$	$(3.57 \pm 1.55) \cdot 10^{-7}$



Fig. 3. Results for the test problem 4 obtained with the SCIATRAN model setting asymmetry factor and single scattering albedo of aerosol to 0.7 and 1.0 (×), and to 0.7 and 0.9 (+) in relation to the average value (\circ) and error bar ($\top \bot$) as given in Mobley et al. (1993).

test problems <u>1</u>-3 demonstrate that the implementation of
uncoupled oceanic RT model in the software package
SCIATRAN is correct. The solution of the test problem
shows that in the considered case the impact of the
coupling on the light field within water is not too much.

601 4.2. Comparison to MERIS measurements

606

608

602 Comparisons of spectra calculated with SCIATRAN 603 and measured by MERIS were performed for the reflec-604 tance at the top of atmosphere (reftoa). The reflectance is 605 defined as follows:

$$R(\vartheta, \varphi, \lambda) = \frac{\pi I(\vartheta, \varphi, \lambda)}{E_0(\lambda) \cos \vartheta_0},$$
(26)

609 where $I(\vartheta, \varphi, \lambda)$ is the radiance at given zenith ϑ and azi-610 muthal φ angles, $E_0(\lambda)$ is the extraterrestrial solar spectral 611 irradiance, λ is the wavelength, and ϑ_0 denotes the sun zenith angle. We have used the reflectance for comparisons612of model and experimental data because it does not contain613any additional systematical errors caused by employing614atmospheric correction techniques that are usually used615to obtain water-leaving radiance.616

To calculate $R(\vartheta, \varphi, \lambda)$ one needs to define all relevant atmospheric and oceanic parameters. In particular, the following parameters are required:

617

618

619

- MERIS data have been used to define the observation geometry, the solar zenith angle, the atmospheric pressure, the concentrations of H_2O and O_3 , the aerosol optical thickness at 550 and 865 nm, and the geographical position of measurement points; 624
- AERONET data (http://aeronet.gsfc.nasa.gov/) have
 been used to obtain the aerosol phase function, the aerosol extinction coefficient, and the aerosol single scattering albedo at 440, 675, 870, and 1020 nm;

- ocean parameters such as temperature, salinity, chlorophyll concentration, and concentrations of small and large particles at different depths were obtained from the BOUSSOLE data (BOUSSOLE boy at 43.6°E, 7.8°N; see Antoine et al., 2008);
- the reflection and transmission properties of the ocean surface were defined by the Gaussian surface slope PDF (including shadowing effects) and the mean square slope as given by Cox and Munk (1954), Cox and Munk (1954), the pure seawater and hydrosol volume scattering functions were used according to Buiteveld et al. (1994) and Kopelevich (1983) models, respectively.

In order to perform comparisons, MERIS data suitable 642 for the comparison with model calculations were matched 643 to co-located AERONET and BOUSSOLE measurement 644 sites. Moreover, the time difference between measurements 645 performed by the MERIS instrument, AERONET, and 646 BOUSSOLE data was kept as small as possible, since time 647 differences between the different measurements up to 10 648 hours occured. There are some additional criteria by 649 650 choosing the MERIS data for the comparison, e.g. cloudy 651 scenes and the observation geometry near to the solar glint have to be avoided. Taking into account all of the above 652

mentioned criteria, 20 MERIS matches at different seasons in 2003–2006 have been obtained. Ten spectra still need further investigation, since the results show errors which might be caused by various factors, such as high surface roughness, the wind speed being too high, dim air, or sun glint. 658

The reflectance at the top of atmosphere was calculated 659 employing the uncoupled atmospheric and coupled ocean-660 atmosphere RT models implemented in the SCIATRAN 661 software (see Sections 3.1 and 3.2, respectively). For simpli-662 fication reasons they will be referred to in following as COA 663 and unCOA models. In the later case the information about 664 atmospheric and ocean surface parameters was used in the 665 same way as for the coupled model, but the water-leaving 666 reflectance was calculated according to the modified 667 Gordon approximation (Gordon, 1973). The uncoupled 668 model does not consider the radiative transfer processes in 669 the ocean and it does not utilize any information about 670 vertical profiles of the oceanic parameters such as the 671 concentrations of chlorophyll, large and small particles. 672 Therefore, maximal differences between results obtained 673 employing the coupled and uncoupled models are expected 674 for cases of strong vertical inhomogeneities of these oceanic 675 parameters. 676

matter	surface conc. (0 m)	max. conc. at () m	vertical gradient per m	
TChla	0.250 mg/m ³	0.250 mg/m³ at 05 m	0.0000 mg/m ³	
small particles	0.057 mg/m³	0.058 mg/m³ at 10 m	0.0001 mg/m³	
large particles	0.221 mg/m ³	0.221 mg/m³ at 05 m	0.0000 mg/m ³	



Fig. 4. Comparison of reftoa measured by MERIS and calculated with coupled (COA) and uncoupled (unCOA) SCIATRAN models at the BOUSSOLE station (left) and its deviation (right) for February 23, 2005. The mean deviation between measured and modeled with COA and unCOA reflectances are 11.8% and 12.1%, respectively. In the upper panel the settings of the oceanic parameters for these calculations are given.

ARTICLE IN PRESS

713

714

715

9 March 2012 Disk Used

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

677 The preliminary analysis of all results obtained shows that indeed in the case of almost vertically homogeneous 678 ocean both models produce very similar results. In partic-679 ular, Fig. 4 shows, as an example, the comparison of mod-680 elled reflectance with the MERIS measurement performed 681 in February 23, 2005, in the case of low varying with depth 682 chlorophyll and particulate matter concentrations. Both 683 models describe in this case the measured reflectance spec-684 tra with a similar accuracy. 685

Figs. 5 and 6 show the comparison of modelled reflec-686 tances with the MERIS measurements performed in April 687 5, 2003, and in July 31, 2004, respectively. According to 688 the BOUSSOLE data, the vertical profiles of chlorophyll 689 concentration show very similar vertical gradients 690 $(\sim 0.027 \text{ mg} \cdot \text{m}^{-3}/\text{m})$ for both days, but the chlorophyll 691 concentration at the ocean surface was more than three 692 times larger in April than in July. Comparing results pre-693 sented in Figs. 5 and 6, we can conclude that for both days 694 the coupled model shows better coincidence with the 695 MERIS spectra in the spectral range relevant to the chloro-696 phyll absorption (400–560 nm), where pure seawater 697 absorption is low. Furthermore, Fig. 7 (October 5, 2006) 698 demonstrates the impact of the vertical gradient of the 699 chlorophyll concentration on the performance of the cou-700 pled and uncoupled models. Using the BOUSSOLE data, 701 the vertical gradient of the chlorophyll concentration was 702

estimated as $\sim 0.019 \text{ mg} \cdot \text{m}^{-3}/\text{m}$, which is ~ 1.4 times smaller than the gradient in April and July (see Figs. 5 and 6, respectively). It follows from Fig. 7 that decreasing of the vertical gradient leads to the improvement of the performance of the uncoupled model. 707

Considering further results presented in Figs. 4–7, we 708 can state that 709

- the performance of the coupled and uncoupled RT models is approximately the same for wavelengths greater than ~ 600 nm; 712
- the difference between modeled and measured spectra increases in the spectral range 600–900 nm.

Simular performance of the coupled and uncoupled RT 716 models for wavelengths greater than $\sim 600 \text{ nm}$ can be 717 explained due to the fact that absorption of pure seawater 718 increases with the increasing of wavelength. Indeed, the 719 absorption coefficient of pure water is $\sim 0.06 \text{ m}^{-1}$ at 720 550 nm, enhances to $\sim 0.34 \text{ m}^{-1}$ at 650 nm and reaches 721 \sim 2.6 m⁻¹ at 750 nm (see e.g. Haltrin, 2006). The increasing 722 of the absorption coefficient leads to the decreasing of the 723 photon penetration depth and, therefore, mitigates effects 724 caused by the vertical inhomogeneity. 725

The enhancement of differences between measured and 726 modelled spectra in the spectral range 600–900 nm can be 727

matter	matter surface conc. (0 m)		vertical gradient per m	
TChla	0.718 mg/m ³	1.262 mg/m³ at 20 m	0.0272 mg/m ³	
small particles	0.080 mg/m ³	0.164 mg/m³ at 20 m	0.0042 mg/m³	
large particles 0.732 mg/m ³		1.284 mg/m³ at 50 m	0.0110 mg/m³	



Fig. 5. The same as in Fig. 4, but for April 5, 2003. The mean deviation of COA and unCOA is 3.2% and 5.3%, respectively.

Disk Used

13

<i>M</i>	Blum et	al. I	'Advances	in	Space	Research	xxx	(2012)) xxx-xxx
----------	---------	-------	-----------	----	-------	----------	-----	--------	-----------

matter	surface conc. (0 m)	max. conc. at () m	vertical gradient per m	
TChla	0.201 mg/m ³	1.587 mg/m³ at 50 m	0.0277 mg/m ³	
small particles	0.045 mg/m³	0.257 mg/m³ at 40 m	0.0053 mg/m ³	
large particles	0.165 mg/m³	1.704 mg/m³ at 50 m	0.0308 mg/m ³	



Fig. 6. The same as in Fig. 4, but for July 31, 2004. The mean deviation of COA and unCOA is 6.2% and 7.5%, respectively.

explained by the ignoring of inelastic scattering processes
such as vibrational Raman scattering and fluorescence in
the current version of our RT model. However, a more
realistic reason of this difference can be the lack of exact
information on atmospheric aerosol parameters.

733 5. Conclusion

We have discussed the theoretical background of radiative transfer processes and inherent optical parameters of
the natural water implemented in the extended version of
the software package SCIATRAN. The extended SCIATRAN versions 3.1 and greater allow users to account
for not only the radiative processes within the atmosphere
but also within the ocean including they interaction.

Taking into account that the atmospheric radiative 741 transfer of the SCIATRAN software has been successfully 742 743 validated (Kokhanovsky et al., 2010), we have presented here the validation of the oceanic radiative transfer. Com-744 parisons of SCIATRAN results to the predictions of other 745 RT models used to solve selected well-defined test prob-746 lems, covering specific aspects of the radiative transfer in 747 748 the ocean-atmosphere system (Mobley et al., 1993), have 749 demonstrated good performance of the extended SCIA-TRAN version to calculate the radiative transfer within 750 water. In order to establish that all physical processes are 751

properly incorporated to describe radiative processes in 752 the coupled ocean-atmosphere system we have presented 753 comparisons of the model predictions with measurements 754 performed by MERIS instrument. Comparisons show 755 good agreement between measured and modeled reflec-756 tances in the spectral range 400-550 nm where the coupling 757 effects are significant. This demonstrates that the extended 758 SCIATRAN version can be employed to model satellite 759 measurements of the reflected radiation performed over 760 oceanic sites properly accounting for the vertical distribu-761 tion of oceanic parameters. The contribution of the 762 water-leaving radiance into the final detected signal is at 763 maximum approximately 10% to the backscattered radi-764 ance measured by the satellite sensor. Therefore, the accu-765 racy of the RT modeling at the top of the atmosphere 766 radiation should not exceed more than one to two percent. 767 Also for atmospheric retrievals of trace gases accuracy 768 within a few percent is needed. This also requires that 769 RT modeling is done at high spectral resolution as it is pro-770 vided by SCIATRAN. 771

We have demonstrated also that employing the uncoupled atmospheric RT model to simulate satellite measurements of the reflected radiation over the oceanic sites can lead to systematic errors in the spectral range 400– 550 nm. These error are caused by the vertical inhomogeneity of the inherent optical parameters and can be significant

772

773

774

775

776

777

Disk Used

ARTICLE IN PRESS

14

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

matter surface conc. (0 m)		max. conc. at () m	vertical gradient per m	
TChla	0.165 mg/m³	0.741 mg/m³ at 30 m	0.0192 mg/m ³	
small particles	0.072 mg/m ³	0.373 mg/m³ at 30 m	0.0100 mg/m ³	
large particles	0.099 mg/m ³	0.478 mg/m³ at 39 m	0.0097 mg/m ³	



Fig. 7. The same as in Fig. 4, but for October 10, 2006. The mean deviation of COA and unCOA is 4.5% and 4.8%, respectively.

if e.g. the vertical profile of the chlorophyll concentrationshows strong dependence on the depth.

The SCIATRAN software package is under further 780 development. We are working now under implementation 781 of inelastic scattering processes such as vibrational Raman 782 scattering and fluorescence of dissolved organic matter and 783 784 chlorophyll-a. We plan that the following SCIATRAN versions will be also freely available to users. It is also planned 785 further comparisons of the extended SCIATRAN model 786 predictions to in-situ measurements of radiative quantities. 787 In particular, data from the transatlantic cruise Ant XXIV/ 788 4 with RV Polarstern in April/May 2008 will be used. These 789 data provide an information on the inherent optical proper-790 ties as well as on the light field within and above the water. 791

The SCIATRAN 3.1 code has been written in FOR-792 TRAN 95. The main target computer platform is Intel/ 793 AMD PC under LINUX operating system. At this plat-794 795 form, the program is configured to work with ifort, g95, and gfortran compilers, where other computer platforms/ 796 compilers can also be used. The developed software pack-797 age alone with detailed User's Guide are freely distributed 798 at http://www.iup.physik.uni-bremen.de/sciatran. We hope 799 800 that the presented software package can be of great importance predominantly for non-expert in radiative transfer 801 users that need to apply radiative transfer calculations to 802 own scientific work. 803

6. Uncited references

Adams and Kattawar (1993), Baker and Frouin (1987), 805 Barichello et al. (2000), Blattern et al. (1974), Brine and 806 Iqbal (1983), Deirmendjian (1963), Elterman (1968), Ge 807 et al. (1993), Gordon and Brown (1973), Gordon et al. 808 (1975), Gordon and Castano (1987), Gordon (1987), Gor-809 don (1989), Gordon (1989), Gordon (1992), Harrison and 810 Coombes (1988), Jin and Stamnes (1994), Jonasz and 811 Fournier (2007), Kattawar and Adams (1989), Kattawar 812 and Adams (1990), Kattawar and Xu (1992), Kattawar 813 and Adams (1992), Kirk (1981), Mie (1908), Mobley and 814 Preisendorfer (1988), Mobley (1988), Mobley (1989), Mob-815 ley (1994), Morel and Gentili (1991), Morel and Gentili 816 (1993), Plass and Kattawar (1969), Plass and Kattawar 817 (1972), Plass et al. (1975), Preisendorfer and Mobley 818 (1986), Preisendorfer (1988), Stamnes and Swanson 819 (1981), Stamnes and Dale (1981), Stavn and Weidemann 820 (1988), Stavn and Weidemann (1992), Tanré et al. (1979) 821 and Twardowski et al. (2007). Q2 822

Acknowledgements

The authors want to thank ESA for providing MERIS824level 1 and level 2 data, and AERONET team for aerosol825information. We are grateful to the scientists around the826

804

824

823

891

892

893

894

895

896 897

898

899

900

901

902

903

904

905

906

907

908

909

910

911

912

913

914

915

916

917

918

919

920

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

832 **References**

- Adams, C., Kattawar, G. Effect of volume scattering function on the errors induced when polarization is neglected in radiance calculations in an atmosphere-ocean system. Appl. Opt. 20, 4610–4617, 1993.
- Anikonov, A.S., Ermolaev, S.Y. On diffuse light reflection from a semiinfinite atmosphere with a highly extended phase function. Vestnik
 LGU 7, 132–137, 1977.
- Antoine, D., d'Ortenzio, F., Hooker, S.B., Bécu, G., Gentili, B., Taillez,
 D., Scott, A.J. Assessment of uncertainty in the ocean reflectance determined by three satellite ocean color sensors (MERIS, SeaWiFS and MODIS-A) at an offshore site in the Mediterranean Sea (BOUSSOLE project). J. Geophys. Res. 113, C07013, doi:10.1029/ 2007JC004472, 2008.
- Baker, K., Frouin, R. Relation between photosynthetically available
 radiation and total insolation at the ocean surface under clear skies.
 Limnol. Oceanogr. 32, 1370–1377, 1987.
- Barichello, L.B., Garcia, R.D.M., Siewert, C.E. Particular solutions for
 the discrete-ordinates method. J. Quant. Spectr. Radiat. Transfer 64,
 219–226, 2000.
- Bezy, J.L., Delwart, S., Rast, M. MERIS A new generation of oceancolour sensor onboard envisat. ESA Bull. 103, 48–56, 2000.
- Blattern, W., Horak, H., Collins, D., Wells, M. Monte Carlo studies of the
 sky radiation at twilight. Appl. Opt. 13, 534, 1974.
- Born, M., Wolf, E. Principles of Optics, 2nd ed Pergamon press, Oxford,
 London, Edinburgh, New York, Paris, Frankfurt, 1964.
- Bovensmann, H., Burrows, J.P., Buchwitz, M., Frerick, J., Noël, S.,
 Rozanov, V.V., Chance, K.V., Goede, A.P.H. SCIAMACHY: Mission objectives and measurement modes. J. Atmos. Sci. 56, 127–149, 1999.
- Bracher, A., Vountas, M., Dinter, T., Burrows, J.P., Roettgers, R.,
 Peeken, I. Quantitative observation of cyanobacteria and diatoms
 from space using PhytoDOAS on SCIAMACHY data. Biogeosciences
 6, 751–764, 2009.
- Brine, D., Iqbal, M. Diffuse and global solar spectral irradiance under cloudless skies. Sol. Energy 30, 447–453, 1983.
- Buiteveld, H., Hakvoort, J.H.M., Donze, M. The optical properties of
 pure water. SPIE Ocean Optics XII 2258, 174–183, 1994.
- Bulgarelli, B., Kisselev, V., Roberti, L. Radiative transfer in the atmosphere-ocean system: the finite-element method. Appl. Opt. 38, 1530–1542, 1999.
- Chandrasekhar, S. Radiative Transfer. Oxford University Press, London,
 1950.
- Cox, C., Munk, W. Measurement of the roughness of the sea surface from
 photographs of the suns glitter. J. Opt. Soc. Am. 44 (11), 838–850, 1954.
- Cox, C., Munk, W. Statistics of the sea surface derived from sun glitter. J.
 Marine Res. 13 (2), 198–227, 1954.
- Beirmendjian, D. Scattering and polarization properties of polydisperse
 suspensions with partial absorption, in: Kerker, M. (Ed.), Electromagnetic Scattering. Pergamon, New York, pp. 171–189, 1963.
- Elterman, L., UV, visible, and IR attenuation for altitudes to 50 km,
 Report. AFCRL-68-0153, U.S. Air Force Cambridge Research Laboratory, Bedford, Mass, 1968.
- Evtyushenko, A.M., Kiyachenko, Y.F. Determination of the dependence
 of liquid refractive index on pressure and temperature. Opt. Spectrosc.
 52, 56–58, 1982.
- Farinato, R.S., Roswell, R.L. New values of the light scattering depolarization and anisotropy of water. J. Chem. Phys. 65, 593–595, 1976.
- Fell, F., Fischer, J. Numerical simulation of the light field in the atmosphere-ocean system using the matrix-operator method. J. Quant.
 Spectr. Radiat. Transfer 69, 351–388, 2001.

- Ge, Y., Gordon, H.R., Voss, K. Simulation of inelastic- scattering contributions to the irradiance field in the oceanic variation in Fraunhofer line depths. Appl. Opt. 32, 4028–4036, 1993.
- Gordon, H.R., Brown, O. Irradiance reflectivity of a flat ocean as a function of its optical properties. Appl. Opt. 12, 1549–1551, 1973.
- Gordon, H.R. Simple calculation of the diffuse reflectance of the ocean. Appl. Opt. 12 (12), 2803–2804, 1973.
- Gordon, H.R., Brown, O., Jacobs, M. Computed relationships between the inherent and apparent optical properties of a flat homogeneous ocean. Appl. Opt. 14, 417–427, 1975.
- Gordon, H.R., Castano, D. Coastal zone color scanner atmospheric correction algorithm: multiple scattering effects. Appl. Opt. 26, 2111, 1987.
- Gordon, H.R. A bio-optical model describing the distribution of irradiance at the sea surface resulting from a point source embedded in the ocean. Appl. Opt. 26, 4133–4148, 1987.
- Gordon, H.R. Can the Lambert-Beer law be applied to the diffuse attenuation coefficient of ocean water? Limnol. Oceanogr. 34, 1389–1409, 1989.
- Gordon, H.R. Dependence of the diffuse reflectance of natural waters on the sun angle. Limnol. Oceanogr. 34, 1484–1489, 1989.
- Gordon, H.R. Diffuse reflectance of the ocean: influence of nonuniform phytoplankton pigment profile. Appl. Opt. 31, 2116–2129, 1992.
- Gottwald, M. SCIAMACHY, Monitoring the Changing Earth's Atmosphere, DLR. Institute fuer Methodik der Fernerkundung, 2006.
- Haltrin, V.I. Absorption and scattering of light in natural waters, in: Kokhanovsky, A.A. (Ed.), Light Scattering Reviews. Springer, Praxis Publishing, Chichester, UK, pp. 445–486, 2006.
- Harrison, A., Coombes, C. Angular distribution of clear sky short wavelength radiance. Sol. Energy 40, 57–69, 1988.
- He, Xianqiang, Bai, Yan, Zhu, Qiankun, Gong, Fang A vector radiative transfer model of coupled oceanatmosphere system using matrixoperator method for rough sea-surface. J. Quant. Spectr. Radiat. Transfer 111, 1426–1448, doi:10.1016/j.jqsrt.2010.02.014, 2010.
- Jin, Z., Stamnes, K. Radiative transfer in nonuniformly refracting layered media: atmosphere-ocean system. Appl. Opt. 33 (3), 431–442, 1994.
- Jin, Z., Charlock, T.P., Rutledge, K., Stamnes, K., Wang, Y. Analytical solution of radiative transfer in the coupled atmosphere ocean system with a rough surface. Appl. Opt. 45 (28), 7443–7455, 2006.
- Jonasz, M., Fournier, G.R. Light Scattering by Particles in Water. Theoretical and Experimental Foundations. Academic Press, Elsevier Inc, 2007.
- Kattawar, G., Adams, C. Stokes vector calculations of the submarine light field in an atmosphere-ocean with scattering according to a Rayleigh phase matrix: effect of interface refractive index on radiance and polarization. Limnol. Oceanogr. 34, 1453–1472, 1989.
- Kattawar, G., Adams, C., Errors in radiance calculations induced by using scalar rather than Stokes vector theory in a realistic atmosphere-ocean system, In: Ocean Optics vol. X, (eds.) by R.W. Spinrad, Proc. Soc. Photo-Opt. Instrum. Eng. 1302, pp. 2–12, 1990.
- Kattawar, G., Xu, X. Filling-in of Fraunhofer lines in the ocean by Raman scattering. Appl. Opt. 31, 1055–1065, 1992.
- Kattawar, G., Adams, C. Errors induced when polarization is neglected in radiance calculations for an atmosphere-ocean system. In: Estep, L. (Ed.), Optics for the Air-Sea Interface: Theory and Measurement. Proc. Soc. Photo-Opt. Instrum. Eng. 1749, pp. 2–22, 1992.
- Kirk, J. Monte Carlo procedure for simulating the penetration of light into natural waters, Div. Plant Industry Technical Paper 36, Commonwealth Scientific and Industrial Research Organization, Canberra, Australia, 1981.
- Kokhanovsky, A.A., Sokoletsky, L.G. Reflection of light from semiinfinite absorbing turbid media. Part 2: Plane albedo and reflection function. Color Res. Appl. 31, 498–509, 2006.
- Kokhanovsky, A.A., Budak, V.P., Cornet, C., Duan, M., Emde, C., Katsev, I.L., Klyukov D.A., Korkin, S.V., Labonnote, L.C., Min, Q., Nakajima, T., Ota, Y., Prikhach, A.P., Rozanov, V.V., Yokota, T., Zege, E.P. Benchmark results in vector atmospheric radiative transfer. J. Quant. Spectr. Radiat. Transfer 111, 1931–1946, 2010.

957

958

JASR 10886

ARTICLE IN PRESS

1026

1027

1028

1029

1030

1031

1032

1033

1034

1035

1036

1037

1038

1039

1040

1041

1042

1043

1044

1045

1046

1047

1048

1049

1050

1051

1052

1053

1054

1055

1056

1057

1058

1059

1060

1061

1062

1063

1064

1065

1066

1067

1068

9 March 2012

16

972

973

974

975

976

984

985

986

987

988

989

990

991

992

993

994

995

996

997

998

999

1000

1001

1002

1003

1004

1005

1006

M. Blum et al. | Advances in Space Research xxx (2012) xxx-xxx

Kopelevich, O.V. Small-parameter model of optical properties of seawater, in: Monin, A.S. (Ed.), Ocean Optics, Physical ocean optics, vol. 1.
Nauka, Moscow (in Russian), pp. 208–234, 1983.

Disk Used

- Lepple, F.K., Millero, F.J. The isothermal compressibility of seawater
 near one atmosphere. Deep-Sea Res. 18, 1233–1254, 1971.
- McNeil, G.T. Metrical fundamentals of underwater lens systems. Opt.
 Eng. 16, 128–139, 1977.
- Mie, G. Beitre zur Optik trber Medien, speziell Kolloidalen Metall Lsungen. Ann. Phys. 25, 377–445, 1908.
- Mobley, C.D., Preisendorfer, R. A numerical model for the computation of radiance distributions in natural waters with wind-roughened surfaces, NOAA Tech. Memo. ERL PMEL-75(NTIS PB88-192703), Pacific Marine Environmental Laboratory, Seattle, Wash., 1988.
 - Mobley, C.D. A numerical model for the computation of radiance distributions in natural waters with wind-roughened surfaces, part II: user's guide and code listing, NOAA Tech. Memo. ERL PMEL-81(NTIS PB88-246871), Pacific Marine Environmental Laboratory, Seattle, Wash., 1988.
- Mobley, C.D. A numerical model for the computation of radiance distributions in natural waters with wind-roughened surfaces. Limnol.
 Oceanogr. 34, 1473–1483, 1989.
- Mobley, C.D., Gentili, B., Gordon, H.R., Jin, Z., Kattawar, G.W., Morel,
 A., Reinersman, P., Stamnes, K., Stavn, R.H. Comparison of
 numerical models for computing underwater light fields. Appl. Opt.
 32 (36), 7484–7504, 1993.
 - Mobley, C.D. Light and water. Radiative transfer in natural waters. Academic Press, Inc, 1994.
 - Mobley, C.D., Sundman, L.K. Hydrolight Ecolight 5.0 users' guide, Tech. Rep., Sequoia Scientific, Inc., Bellevue, WA. First Printing December 2008.
 - Mobley, C.D., Sundman, L.K. Hydrolight Ecolight 5.0, Technical Documentation. Tech. Rep., Sequoia Scientific, Inc., Bellevue, WA. First Printing December 2008.
 - Morel, A. Optical properties of pure water and pure seawater, in: Jerlov, N.G., Nielson, E.S. (Eds.), Optical Aspects of Oceanography. Academic, New York, pp. 1–24, 1974.
 - Morel, A., Gentili, B. Diffuse reflectance of oceanic waters: Its dependence on Sun angle as influenced by the molecular scattering contribution. Appl. Opt. 30 (30), 4427–4438, 1991.
 - Morel, A., Gentili, B. Diffuse reflectance of oceanic waters. II. Bidirectional aspects. Appl. Opt. 32 (33), 6864–6879, 1993.
 - Morel, A., Maritorena, S. Bio-optical properties of oceanic waters: a reappraisal. J. Geophy. Res. 106 (C4), 7163–7180, 2001.
 - Nakajima, T., Tanaka, M. Effect of wind generated waves on the transfer of solar radiation in the atmosphere-ocean system. J. Quant. Spectr. Radiat. Transfer 29, 521–537, 1983.
 - O'Conner, C.L., Schlupf, J.P. Brillouin scattering in water: the Landau– Paszek ratio. J. Chem. Phys. 47, 3138, 1967.
- 1007
 Ota, Y., Higurashi, A., Najajima, T., Yokota, T. Matrix formulations of radiative transfer including the polarization effect in a coupled atmosphere ocean system. J. Quant. Spectr. Radiat. Transfer 111, 878–894, 2010.
- Petzold, T.J. Volume scattering functions for selected ocean waters, SIO
 Ref. 72-78, Scripps Institute of Oceanography, Visibility Laboratory,
 San Diego, CA, 1972.
- Plass, G., Kattawar, G. Radiative transfer in an atmosphere-ocean system.
 Appl. Opt. 8, 455–466, 1969.
- Plass, G., Kattawar, G. Monte-Carlo calculations of radiative transfer in the earth's atmosphere ocean system: I. Flux in the atmosphere and ocean. J. Phys. Oceanogr. 2, 139–145, 1972.
- Plass, G., Kattawar, G., Guinn, J.Jr. Radiative transfer in the earth's atmosphere and ocean: influence of ocean waves. Appl. Opt. 14, 1924–1936, 1975.
- Pope, R.M., Fry, E.S. Absorption spectrum (380–700 nm) of pure water:
 II. Integrating cavity measurements. Appl. Opt. 36, 8710–8723, 1997.
 Preisendorfer, R., Moblev, C.D. Albedos and glitter patterns of a wind-
- 1024Preisendorfer, R., Mobley, C.D. Albedos and glitter patterns of a wind-
roughened sea surface. J. Phys. Oceanogr. 16, 1293–1316, 1986.

- Preisendorfer, R. Eigenmatrix representations of radiance distributions in layered natural waters with wind-roughened surfaces, NOAA Tech. Memo. ERL PMEL-76(NTIS PB88-188701), Pacific Marine Environmental Laboratory, Seattle, Wash., 1988.
- Prieur, L., Sathyendranath, S. An optical classification of coastal and oceanic waters based on the specific absorption curves of phytoplankton pigments, dissolved organic matter, and other particulate materials. Limnol. Oceanogr. 26, 671–689, 1981.
- Rozanov, A. SCIATRAN 2.X: Radiative transfer model and retrieval software package, http://www.iup.uni-bremen.de/sciatran/, 2008.
- Rozanov, V.V., Rozanov, A.V. Generalized form of the direct and adjoint radiative transfer equations. J. Quant. Spectr. Radiat. Transfer 104, 155–170, 2007.
- Rozanov, A., Rozanov, V.V., Buchwitz, M., Kokhanovsky, A., Burrows, J.P. SCIATRAN 2.0 – A new radiative transfer model for geophysical applications in the 175–2400 nm spectral region. Adv. Space Res. 36, 1015–1019, 2005.
- Rozanov, V.V., Buchwitz, M., Eichmann, K.-U., de Beek, R., Burrows, J.P. Sciatran – a new radiative transfer model for geophysical applications in the 240–2400 nm spectral region: the pseudo-spherical version. Adv. Space Res. 29, 1831–1835, 2002.
- Schulz, F.M., Stamnes, K., Weng, F. VDISORT: an improved and generalized discrete ordinate method for polarized (vector) radiative transfer. J. Quant. Spectr. Radiat. Transfer 61 (1), 105–122, 1999.
- Schulz, F.M., Stamnes, K. Angular distribution of the Stokes vector in a plane-parallel, vertically inhomogeneous medium in the vector discrete ordinate radiative transfer (VDISORT) model. J. Quant. Spectr. Radiat. Transfer 65 (4), 609–620, 2000.
- Shifrin, K.S. Physical optics of ocean water AIP Translation Series. Amer. Inst. Phys., New York, pp. 285. 1988.
- Siewert, C.E. On the equation of transfer relevant to the scattering of polarized light. Astrophys. J. 245, 1080–1086, 1981.
- Siewert, C.E. On the phase matrix basic to the scattering of polarized light. Astron. Astrophys. 109, 195–200, 1982.
- Siewert, C.E. A discrete-ordinate solution for radiative-transfer models that include polarization effects. J. Quant. Spectr. Radiat. Transfer 64, 227–254, 2000.
- Stamnes, K., Swanson, R.A. A new look at the discrete ordinate method for radiative transfer calculations in anisotropically scattering atmospheres. J. Atmos. Sci. 38, 387–399, 1981.
- Stamnes, K., Dale, H. A new look at the discrete ordinate method for radiative transfer calculations in anisotropically scattering atmospheres. II: Intensity computations. J. Atmos. Sci. 38, 2696–2706, 1981.
- Stamnes, K., Tsay, S-Chee, Wiscombe, W., Jayaweera, K. Numerically stable algorithm for discrete-ordinate-method radiative transfer in multiple scattering and emitting layered media. Appl. Opt. 27, 2505– 2508, 1988.
- Stavn, R., Weidemann, A. Optical modeling of clear oceanlight fields: Raman scattering effects. Appl. Opt. 27, 4002–4011, 1988.
- Stavn, R., Weidemann, A. Raman scattering in ocean optics: quantitative assessment of internal radiant emission. Appl. Opt. 31, 1294–1303, 1992.
- Tanré, D., Herman, M., Deschamps, R., deLeffe, A. Atmospheric modeling for space measurements of ground reflectances including bi-directional properties. Appl. Opt. 18, 3587–3594, 1979.
- Thomas, G.E., Stamnes, K. Radiative Transfer in the Atmosphere and Ocean. Cambridge University Press, 1999.
- Twardowski, M.S., Claustre, H., Freeman, S.A., Stramski, D., Huot, Y. Optical backscattering properties of the "clearest" natural waters. Biogeosciences 4, 1041–1058, 2007.
- Vountas, M., Dinter, T., Bracher, A., Burrows, J.P., Sierk, B. Spectral studies of ocean water with space-borne sensor SCIAMACHY using differential optical absorption spectroscopy (DOAS). Ocean Sci. 3, 429–440, 2007.
- Zhai, P.W., Hu, Y., Chowdhary, J., Trepte, C.R., Lucker, P.L., Josset, D.B. A vector radiative transfer model for coupled atmosphere and ocean systems with a rough interface. J. Quant. Spectrosc. Radiat. Transfer 111, 1025–1040, 2010.

1091