

Evaluation of simulated Arctic cloud cover and PBL heights with satellite observations

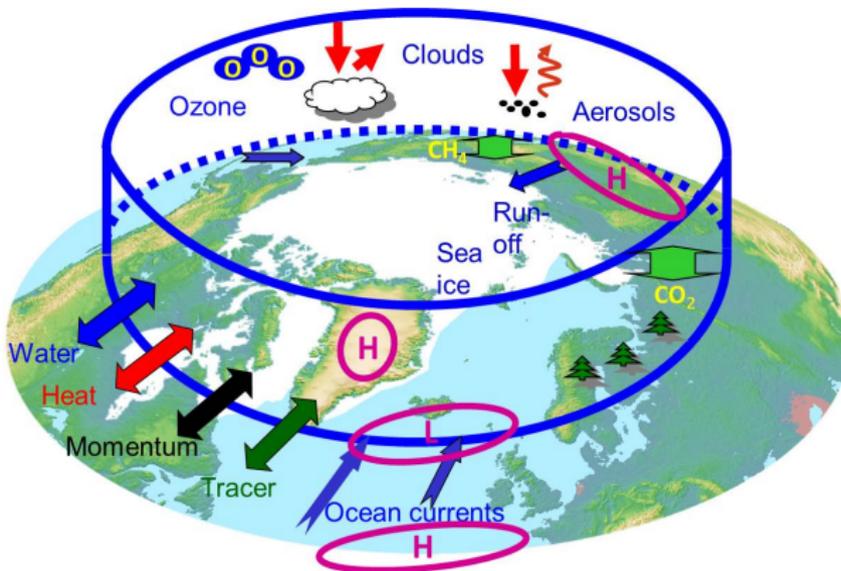
D. Klaus, K. Dethloff, W. Dorn, A. Rinke, and M. Mielke

(Daniel.Klaus@awi.de)

Alfred Wegener Institute for Polar and Marine Research, Potsdam, Germany

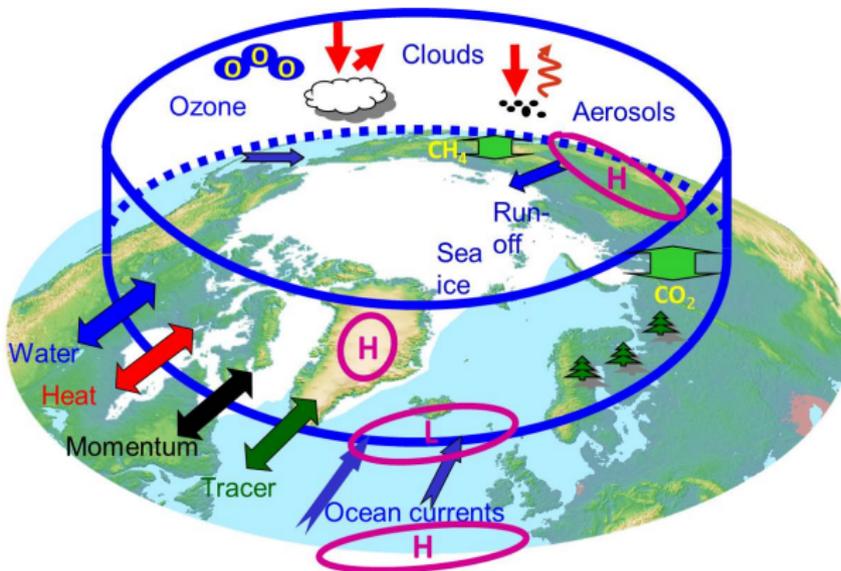
January 16, 2013

Arctic: Energy sink of the Earth



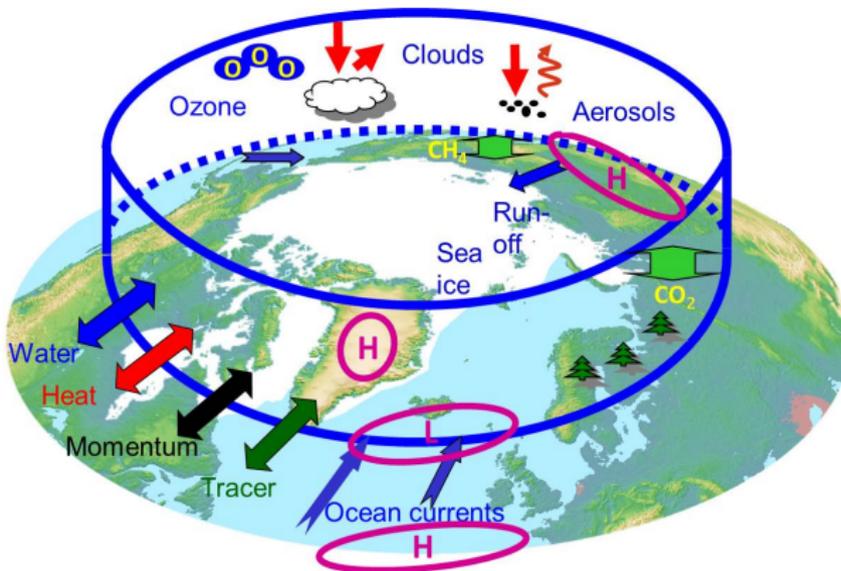
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- Insufficient availability of measurements in polar regions
- Global Earth System Models (GESMs) show largest biases in polar regions
- Arctic regional climate model (RCM) as magnifier (higher resolution)
- Added value: Development of adapted/improved model physics

Arctic: Energy sink of the Earth



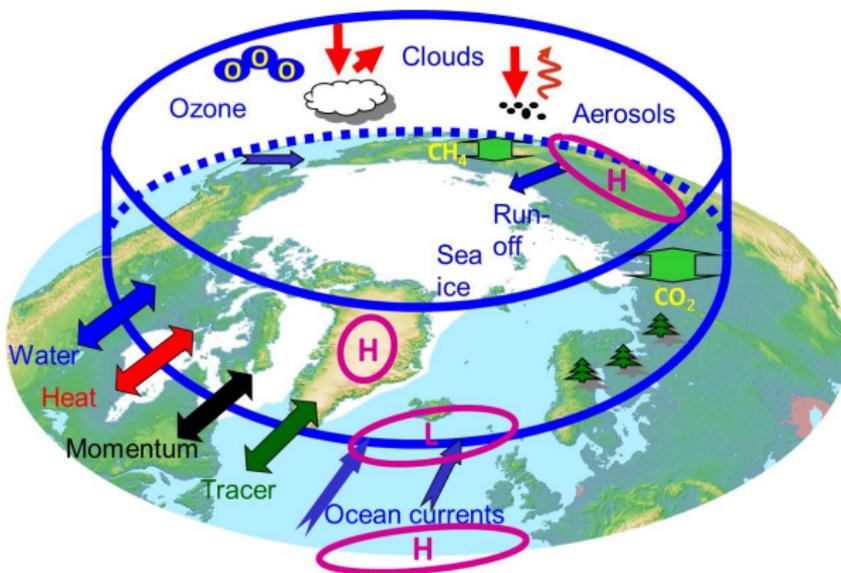
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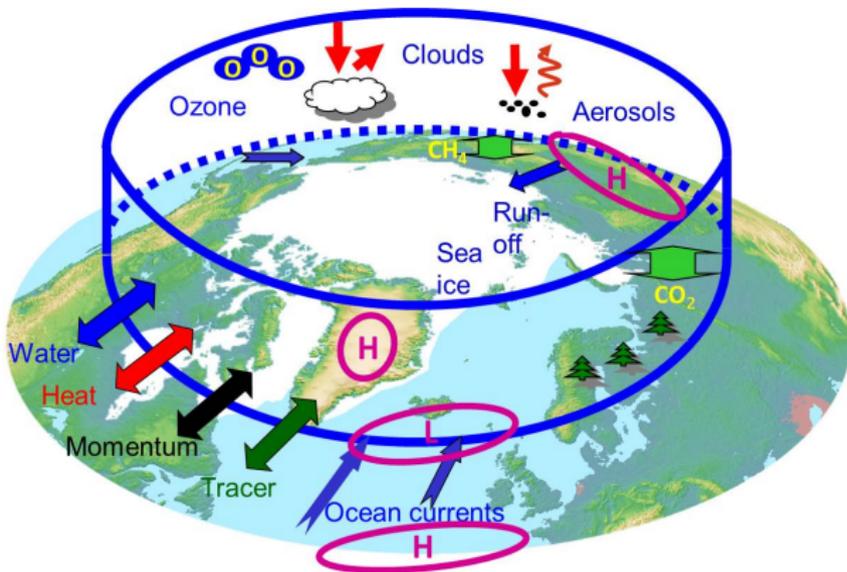
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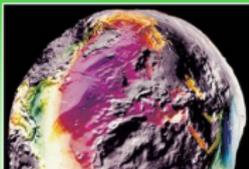


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AWI as part of the Helmholtz Association

Energy	Earth and Environment	Health	Aeronautics, Space and Transport	Key Technologies	Structure of Matter
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Programs in the research field “Earth and Environment“



Geosystem: The
Changing Earth



Atmosphere and
Climate



Marine, Coastal +
Polar Systems



Terrestrial
Environment

Helmholtz Association

- 8 German research centers in the research field “Earth and Environment“

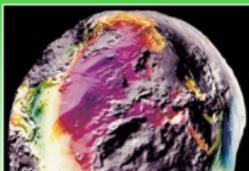
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FZJ	Research Center Jülich
GEOMAR	Helmholtz Center for Ocean Research Kiel
KIT	Karlsruhe Institute of Technology
GFZ	Helmholtz Center Potsdam, German Research Center for Geo-sciences
HZG	Helmholtz Center Geesthacht, Center for Materials and Coastal Research
HMGU	Helmholtz Center Munich, German Research Center for Environmental Health
UFZ	Helmholtz Center for Environmental Research (Leipzig)

- Networking to resolve highly complex environmental and climate problems

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Geosystem: The Changing Earth



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Terrestrial Environment

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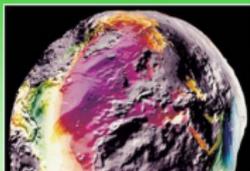
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AWI research units



AWI research unit Potsdam (Telegrafenberg)



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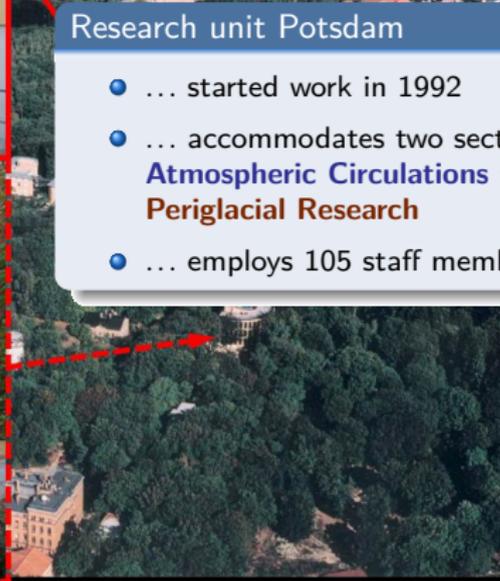


AWI research unit Potsdam (Telegrafenberg)

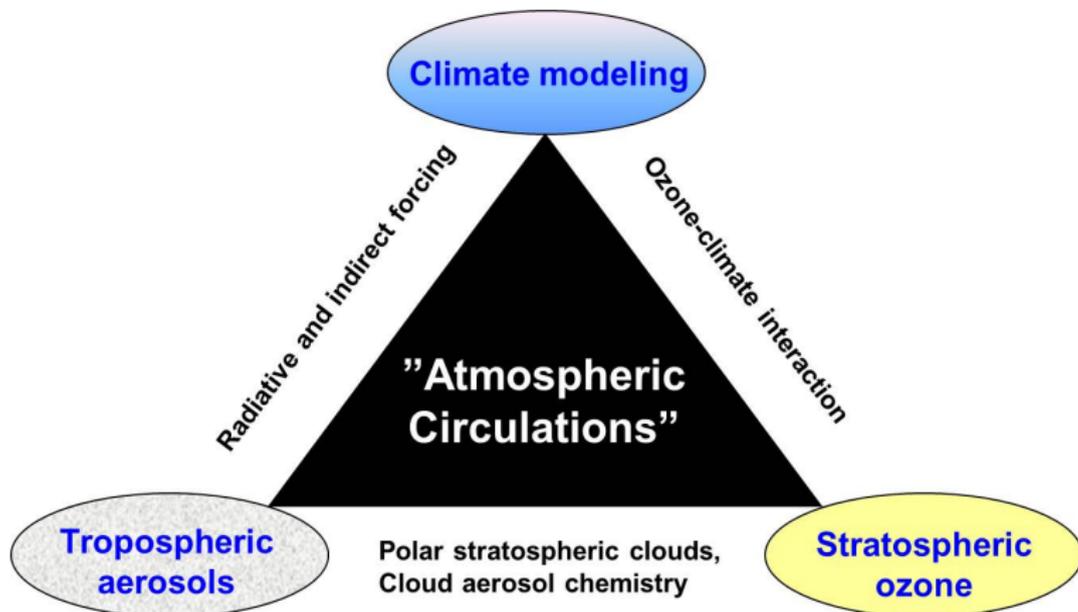


Research unit Potsdam

- ... started work in 1992
- ... accommodates two sections:
Atmospheric Circulations and
Periglacial Research
- ... employs 105 staff members

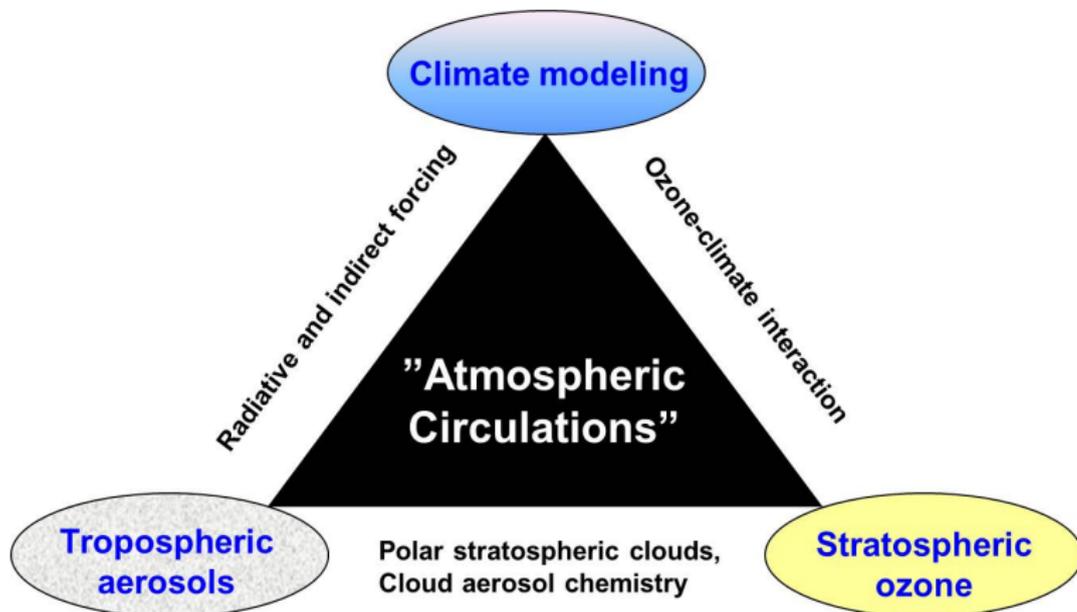


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- **Goal:** Integration of atmospheric observations/measurements and model simulations of climate processes into the coupled atmosphere-ocean-cryosphere (permafrost-soil, sea-ice) system

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AWI research platforms



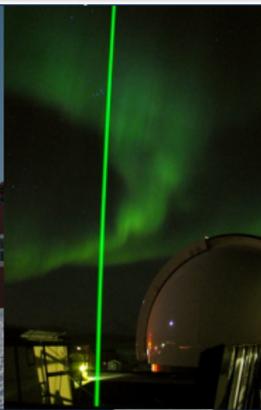
AWI research platforms

- Tethered balloon, Lidar, ozone-/radiosonde, etc. measurements at land stations (e.g. AWIPEV, Svalbard) or drifting sea-ice stations (e.g. NP-35) to reduce polar data gap

Samoylov St



French German Arctic Research Base (AWIPEV)



Dallmann Laboratory



Kohnen Station



Polar aircraft



Neumayer Station III

AWI research platforms

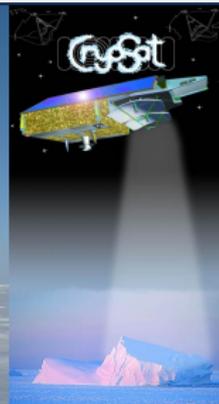


AWI research platforms

- Validation of CryoSat sea-ice thickness with EM-Bird on board of the Polar 5 aircraft



Samoylov Station



Polar 5 aircraft



Neumayer Station III



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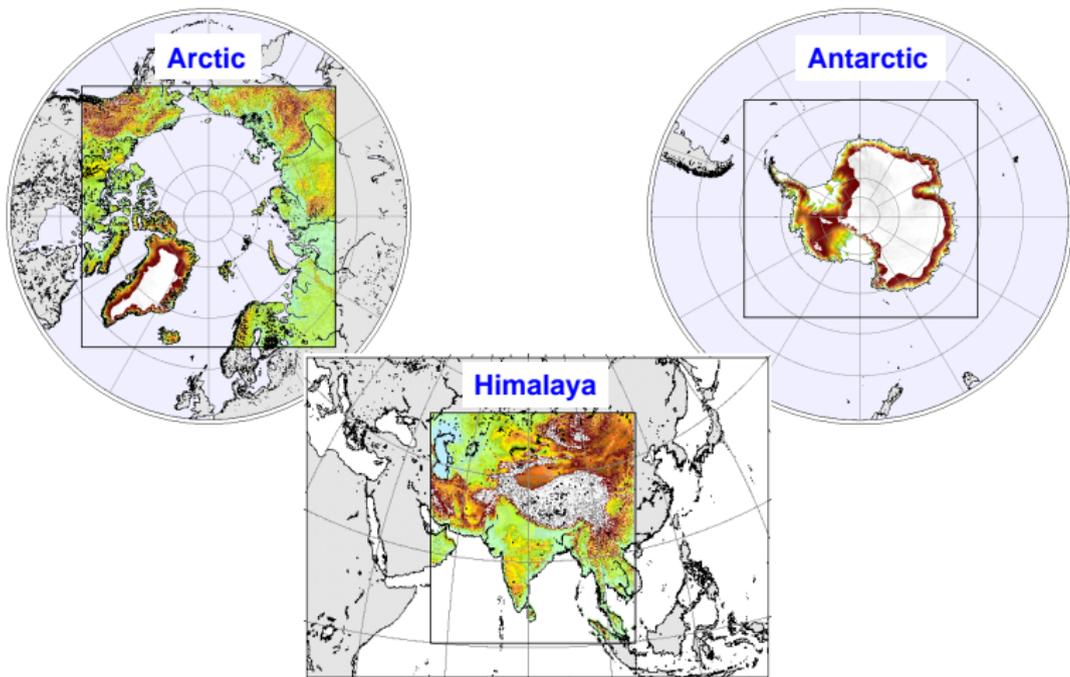


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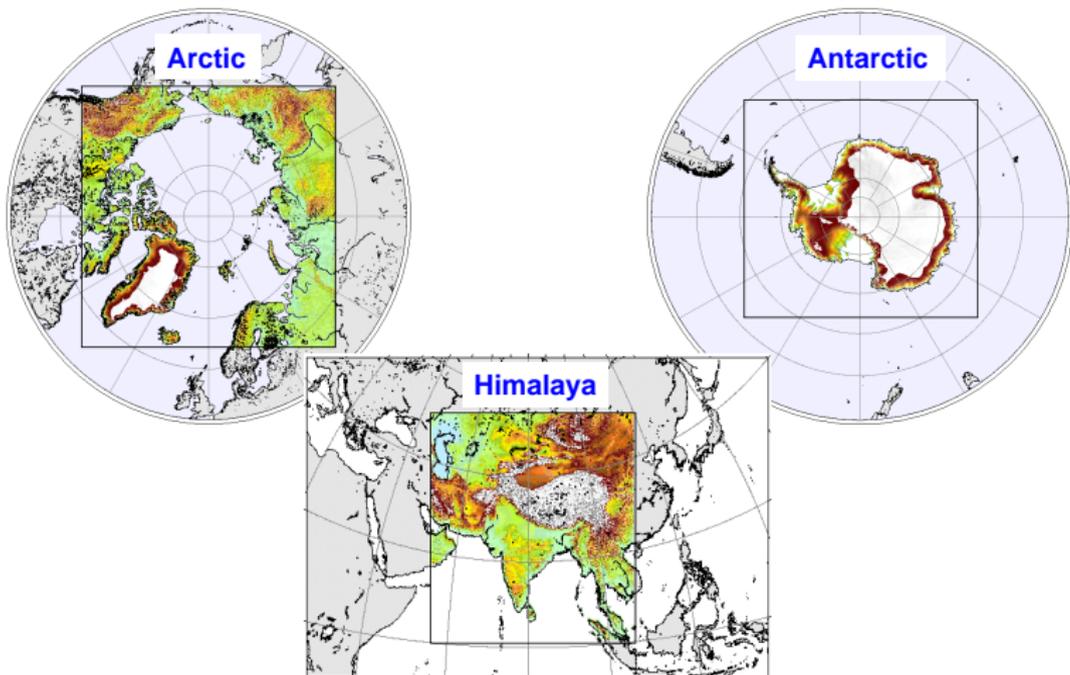
Kohnen Station

Polar components of the Earth system at AWI



- The "three poles" of the Earth in our atmospheric RCM simulations
- In this talk: Focus on the pan-Arctic integration domain

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HIRHAM5

Regional Climate Model
of the Arctic atmosphere

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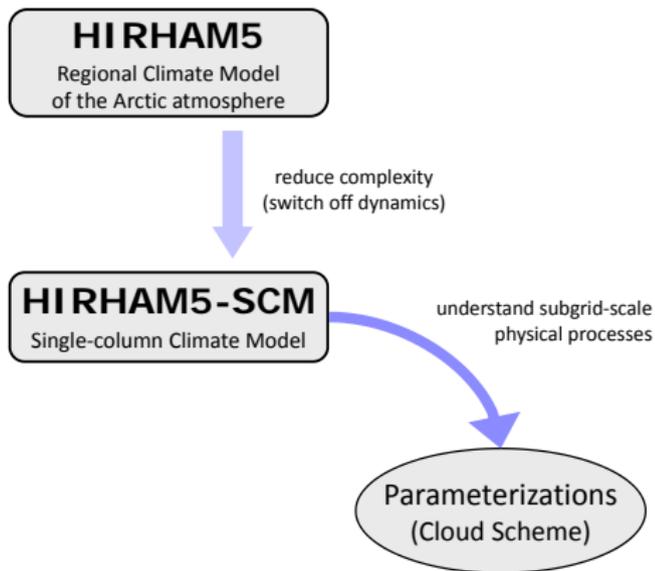


reduce complexity
(switch off dynamics)

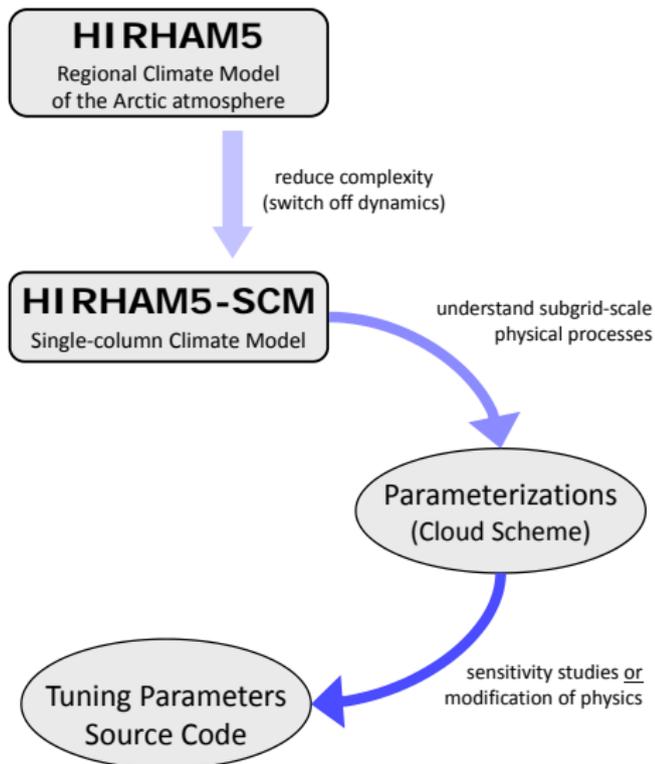
HIRHAM5-SCM

Single-column Climate Model

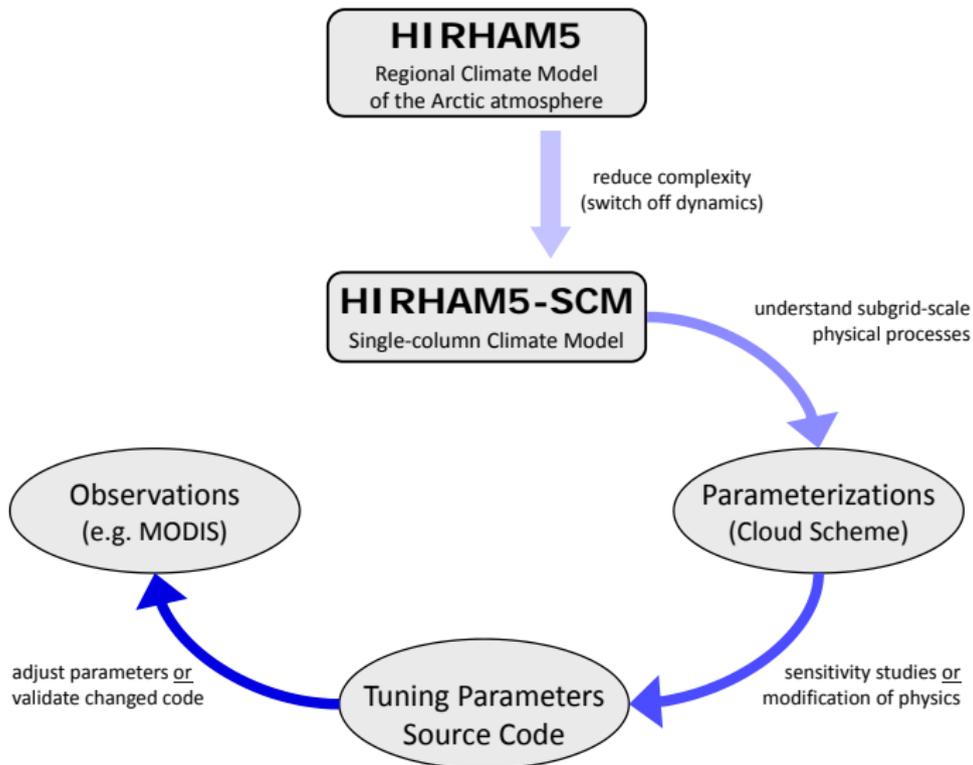
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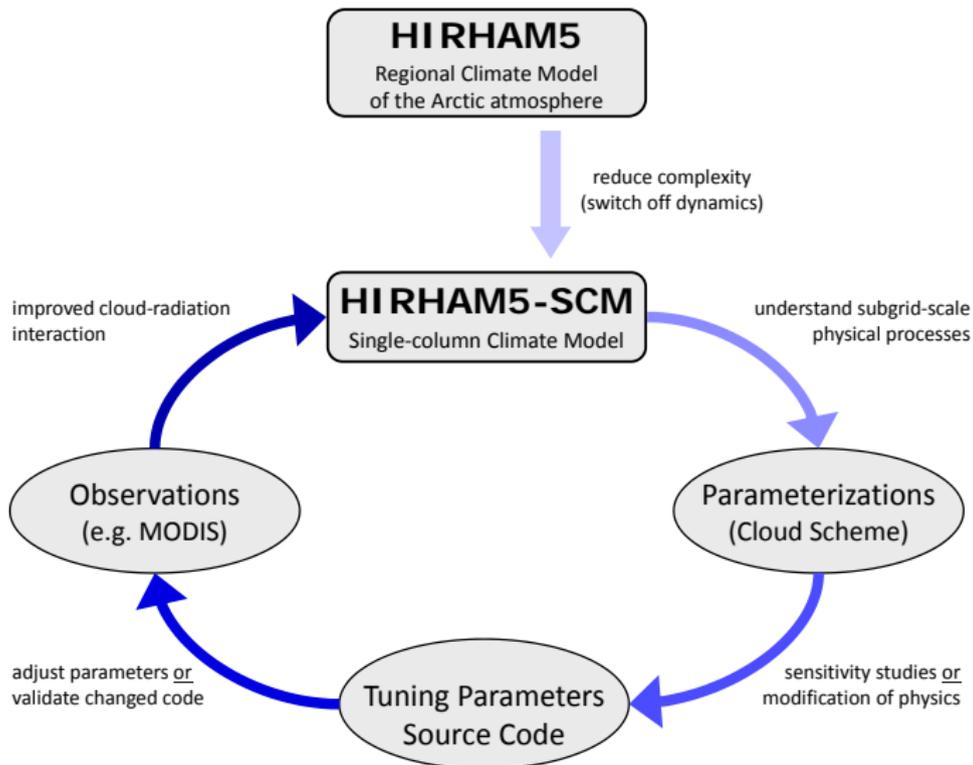
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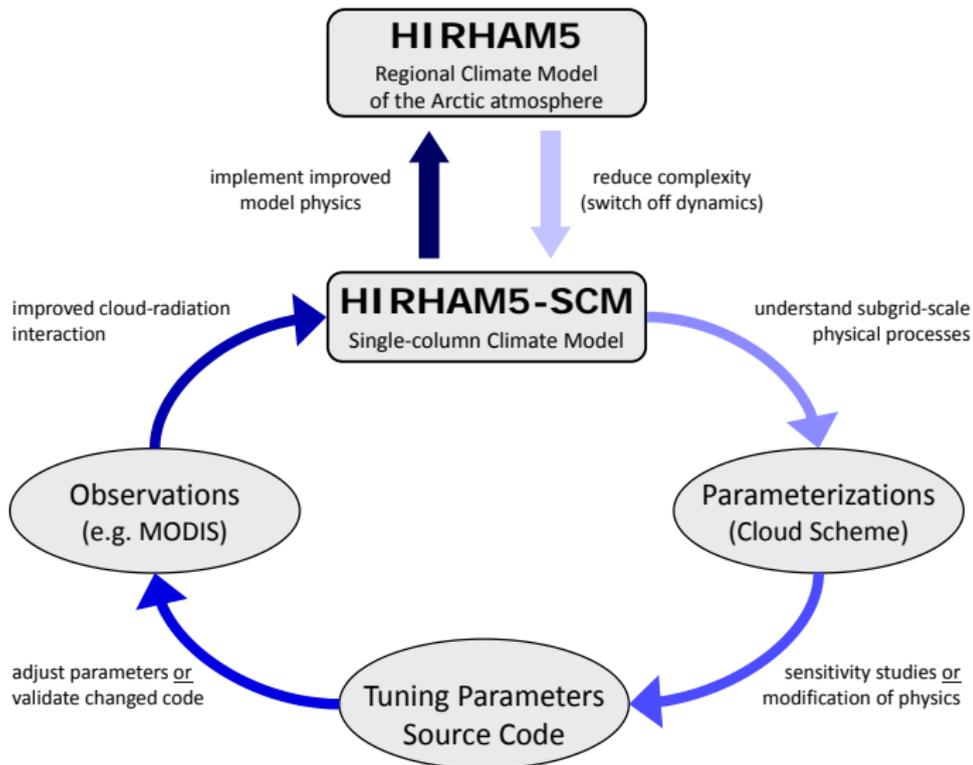
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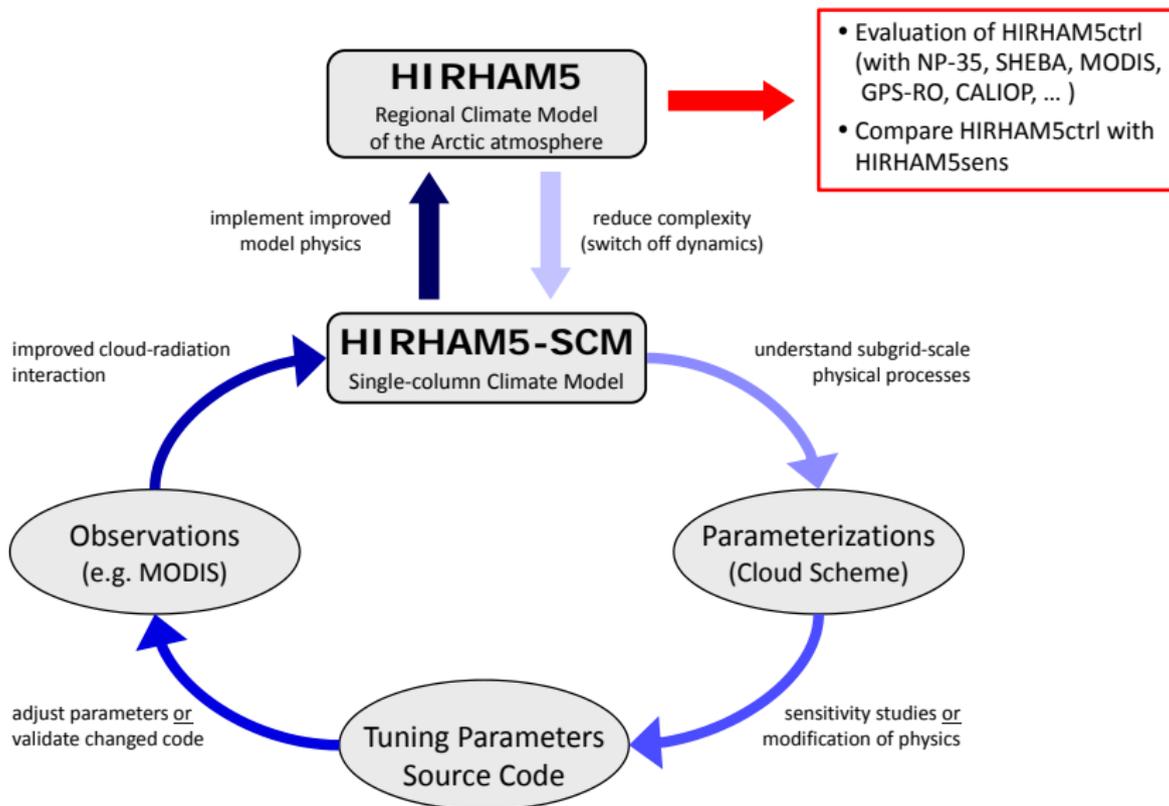
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Outline

- 1 Model description
 - Regional climate model HIRHAM5
 - Single-column climate model HIRHAM5-SCM
- 2 Results from HIRHAM5-SCM
 - Modeled vs. observed total cloud cover
 - Parameter sensitivity studies
 - Modification of the PS-Scheme
- 3 Results from HIRHAM5
 - Used observational PBL height datasets
 - Calculation of PBL height in HIRHAM5
 - Definition of PBL height in observational datasets
 - General performance of HIRHAM5
 - Shortcomings in satellite PBL heights over land
 - Evaluation of simulated PBL heights I + II
- 4 Summary/Outlook

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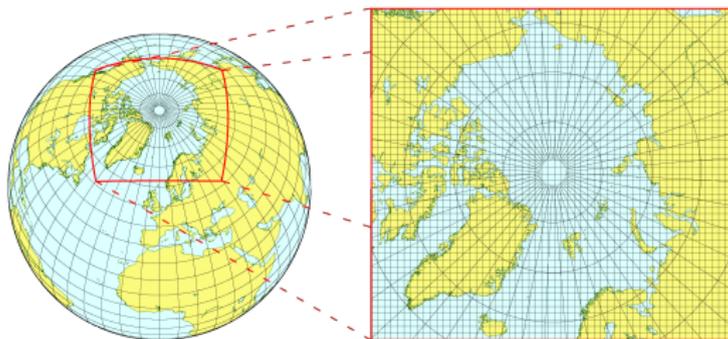
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Regional climate model HIRHAM5

- Atmospheric RCM with pan-Arctic integration domain ($> 53.5^\circ\text{N}$)
- Comprises dynamical core of the HIRLAM NWP model and physical parameterizations of the ECHAM5 GCM coupled by an interface



HIRLAM (Undén et al., 2002)

- Hydrostatic model solves 7 prognostic equations

Surface pressure (p_s)	Temperature (T)
Horizontal wind (u, v)	Specific humidity (q)
Cloud water content (q_l)	Cloud ice content (q_i)
- 0.25° horizontal resolution ($\sim 25\text{ km}$)
- 40 hybrid levels ($\leq 10\text{ hPa}$; 10 in PBL)
- Semi-implicit Euler time scheme ($\Delta t = 2\text{ min}$)
- ERA-Interim initialization/lateral boundary forcing

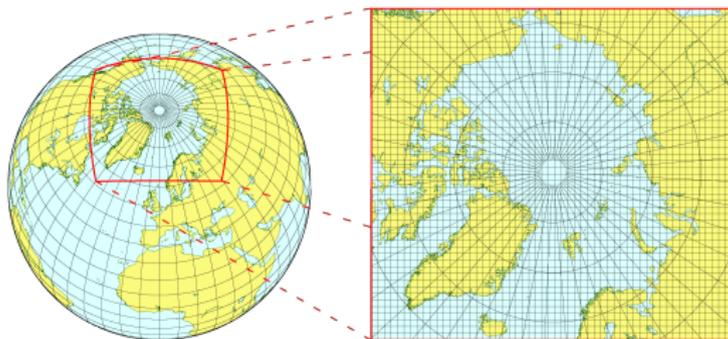
ECHAM5 (Roeckner et al., 2003)

Subgrid-scale parameterizations:

- SW and LW radiation transfer
- Stratiform cloud scheme
- Cumulus convection
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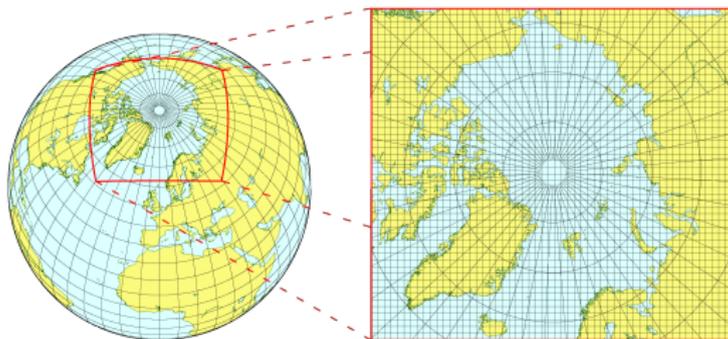
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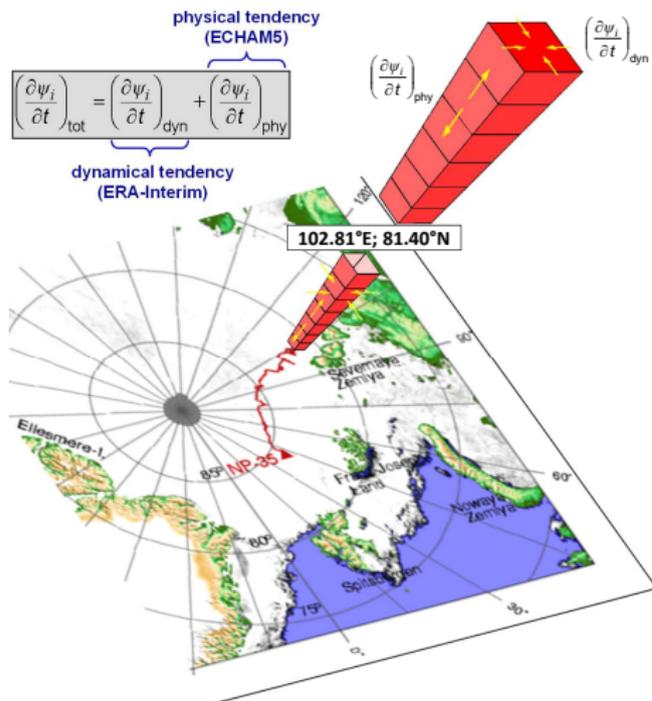
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Single-column climate model HIRHAM5-SCM



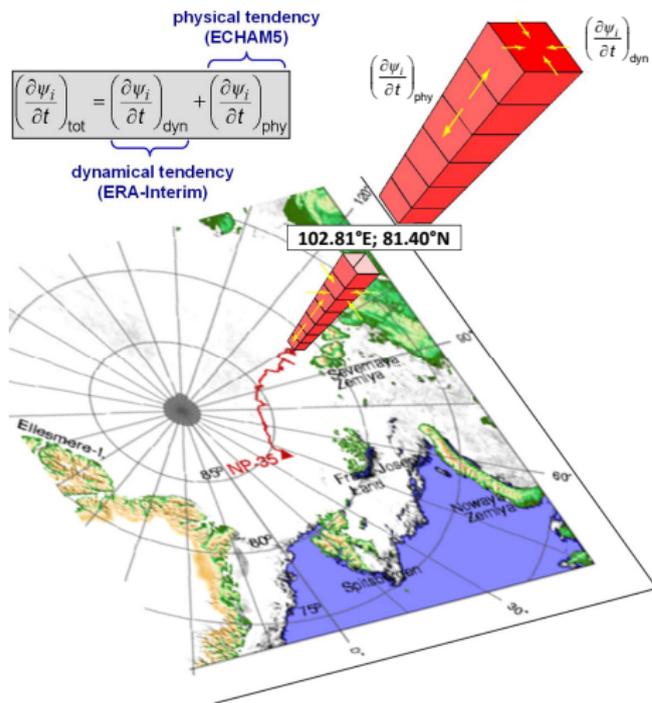
Model setup

- Predefined geographic location
- 60 hybrid levels (≤ 0.1 hPa; 10 in PBL)
- Euler forward time scheme ($\Delta t = 10$ min)
- Initialization with ERA-Interim data set
- Physical tendencies explicitly computed by ECHAM5 parameterizations
- ρ_s and dynamical tendencies of T , q , u , and v are prescribed 3-hourly from ERA-Interim

Cloud cover parameterization

- Prognostic equations for vapor, liquid, and ice phase
- Bulk cloud microphysics according to Lohmann and Roeckner (1996)
- Relative humidity cloud scheme (RH-Scheme; Sundquist et al., 1989)
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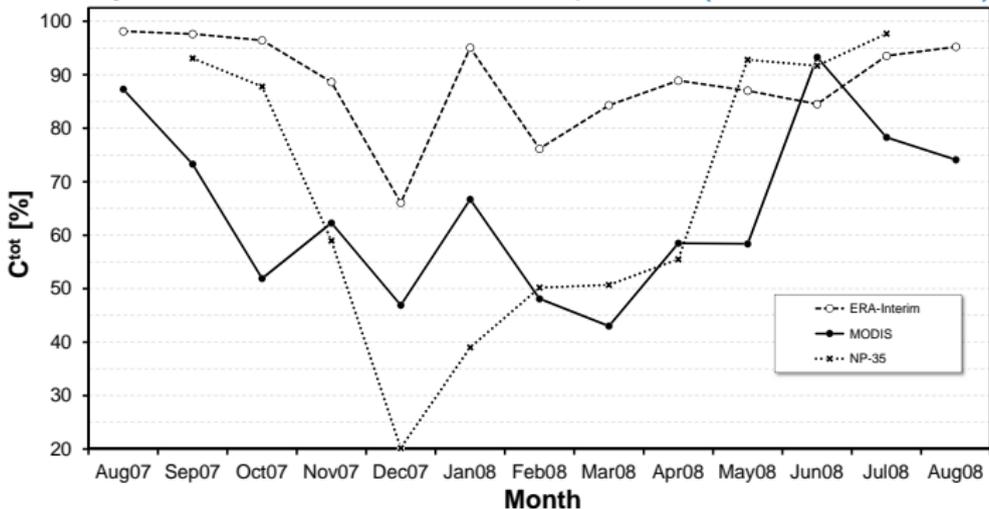
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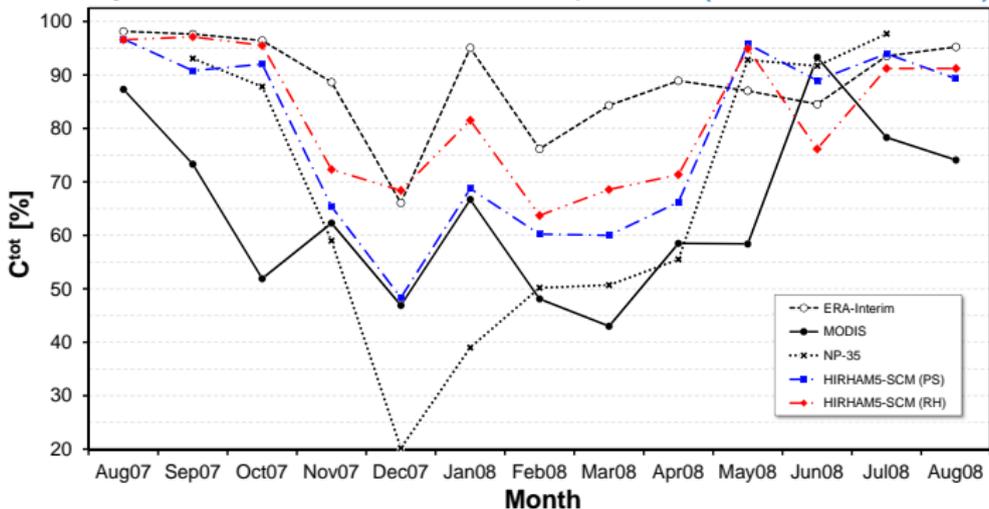
Monthly means of C^{tot} at NP-35 start position (102.81°E ; 81.40°N)



- MODIS features moderate (high) cloudiness during winter period (summer period)
 - In general, HIRHAM5-SCM agrees qualitatively but systematically overestimates C^{tot}
 - PS-Scheme shows reduced biases and good agreement from November 2007 to January 2008
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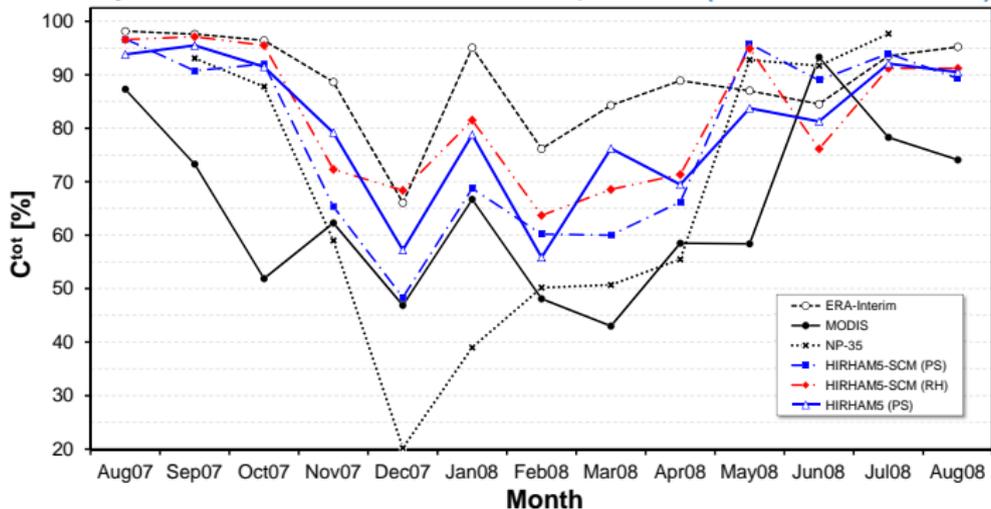
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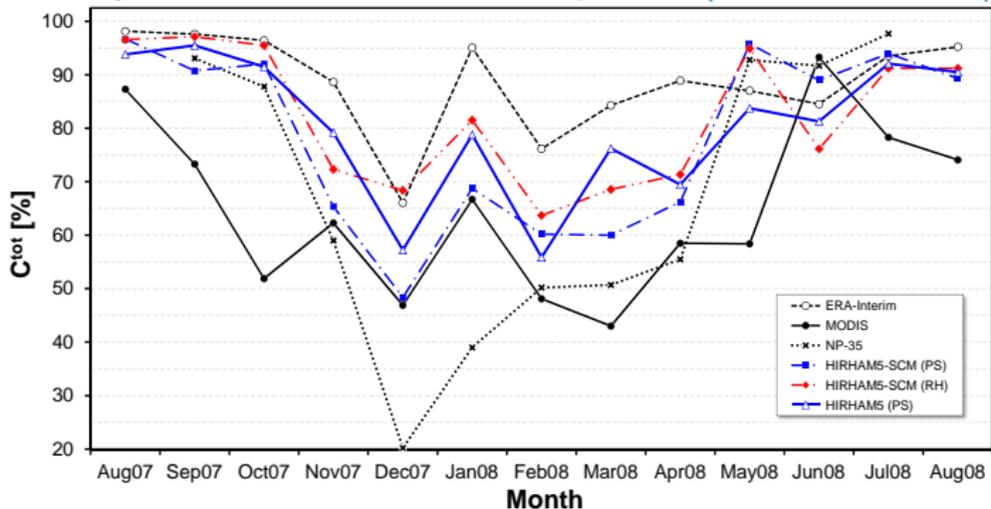
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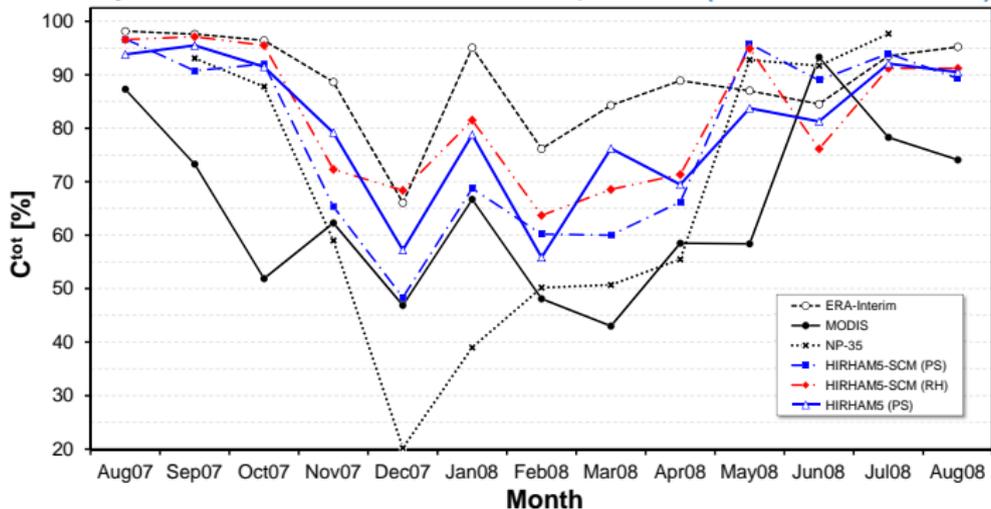
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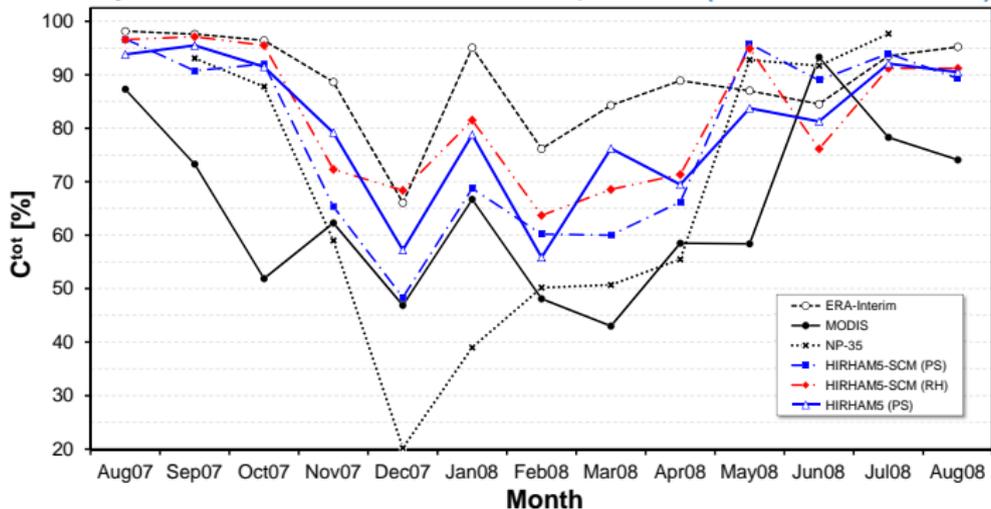
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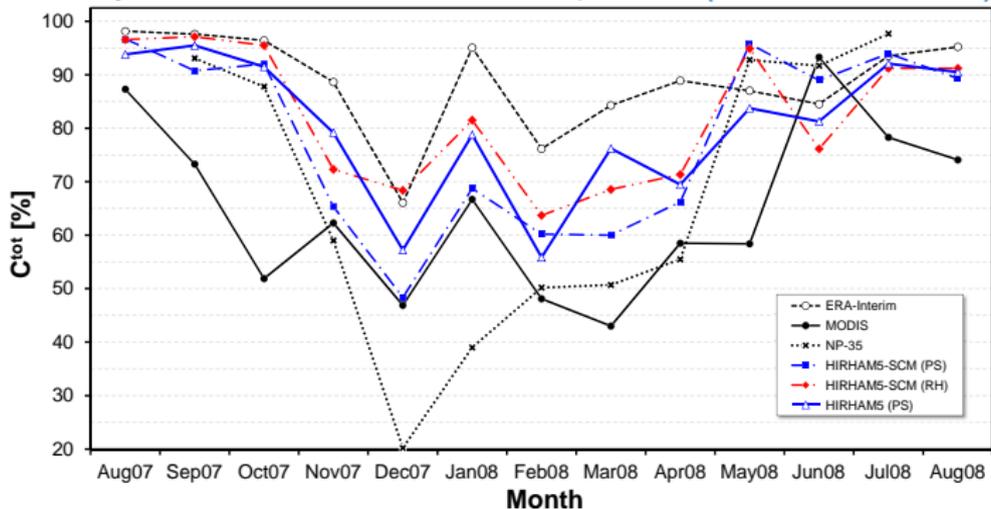
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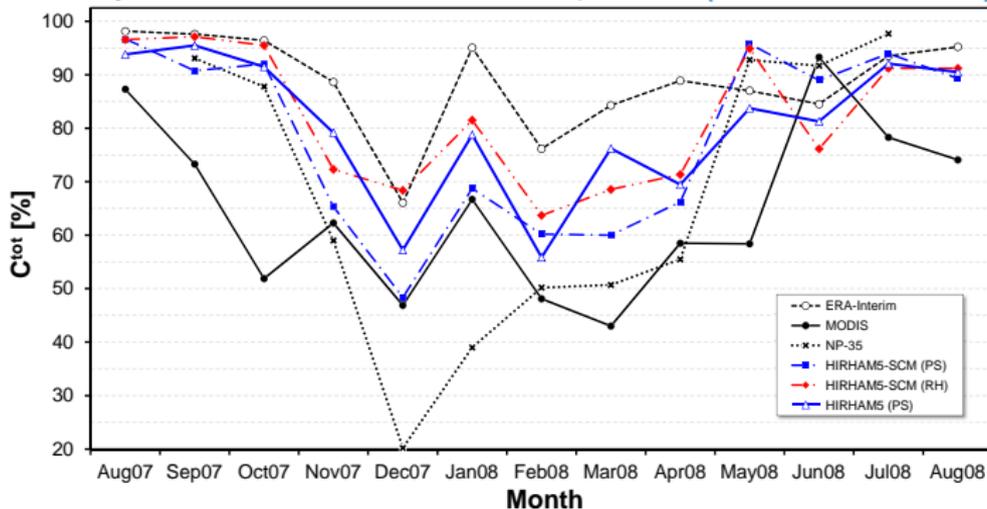
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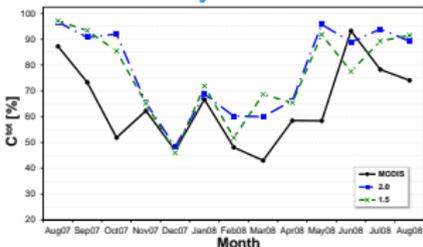
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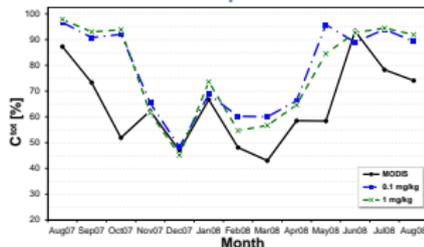
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Parameter sensitivity studies

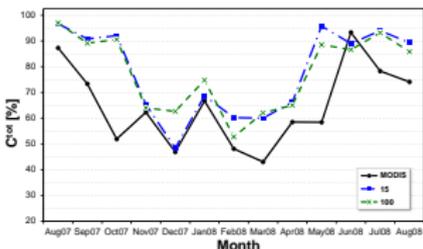
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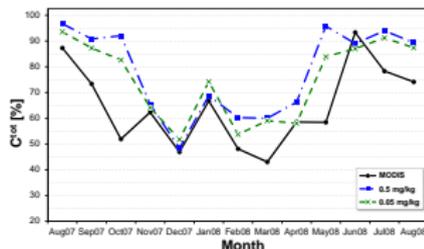
(a) Lower \tilde{q}_0 ($\tilde{q}_0^{\text{def}} = 2$)



(b) Higher CW_{min} ($CW_{\text{min}}^{\text{def}} = 0.1 \text{ mg kg}^{-1}$)



(c) Higher γ_1 ($\gamma_1^{\text{def}} = 15$)



(d) Lower γ_{thr} ($\gamma_{\text{thr}}^{\text{def}} = 0.5 \text{ mg kg}^{-1}$)

Suitable tuning parameters

\tilde{q}_0 – Shape parameter threshold

Controls the shape of the symmetric beta distribution acting as probability density function (PDF)

CW_{min} – Cloud water threshold

Avoids negative cloud water/ice contents and controls the occurrence of clear-sky conditions in the PS-Scheme

γ_1 – Autoconversion rate

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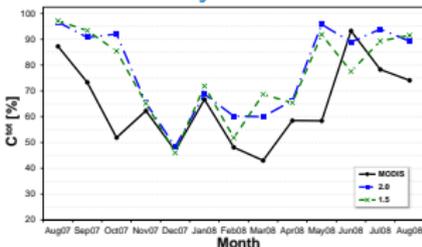
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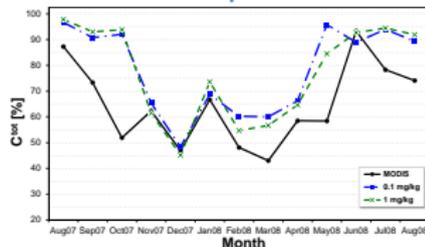
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Parameter sensitivity studies

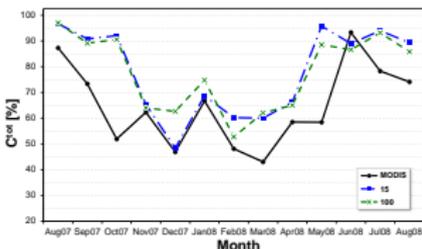
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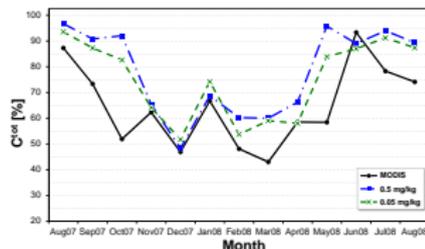
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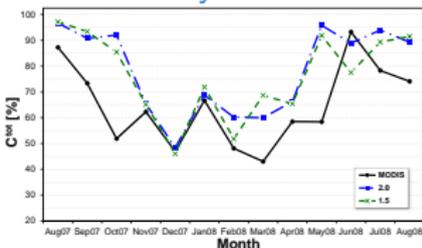
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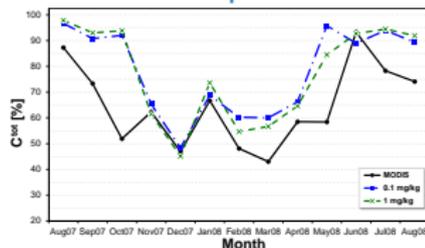
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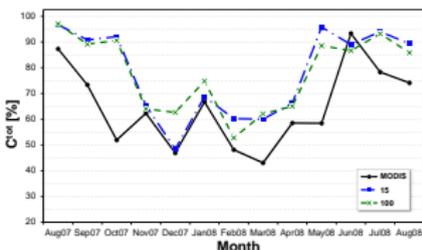
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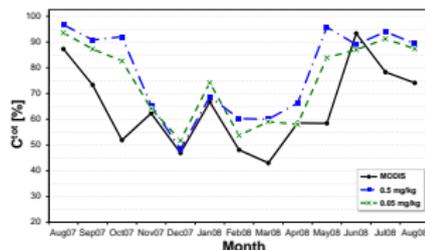
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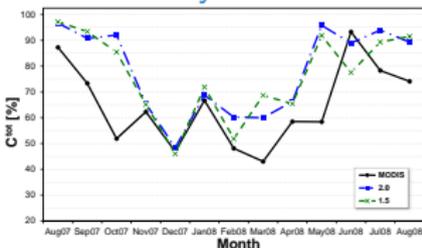
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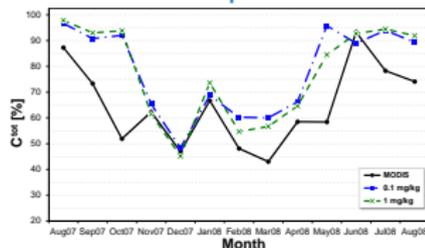
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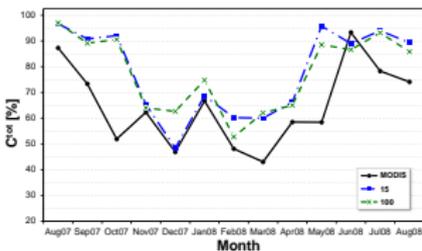
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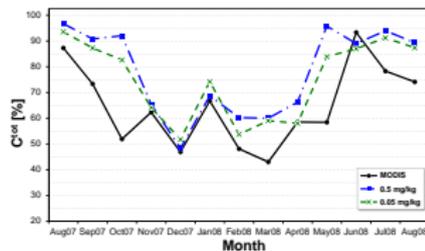
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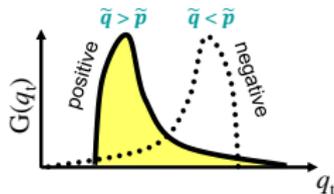
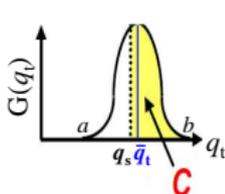
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Modification of the PS-Scheme

Default formulation

- Tompkins (2002)
- $\tilde{p} = \tilde{q}_0 = 2$ ($\tilde{q} \geq \tilde{p}$)
- positively skewed or symmetrical $G(q_t)$



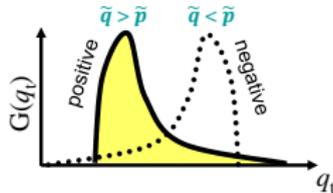
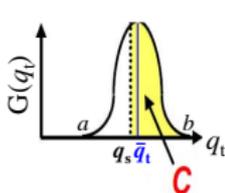
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- Tompkins' idea
- $\tilde{p} = F(\tilde{q}) = \frac{\tilde{q}+1}{\tilde{q}-1}$
- now negatively skewed $G(q_t)$ permitted, too

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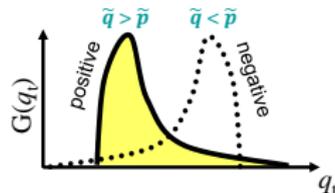
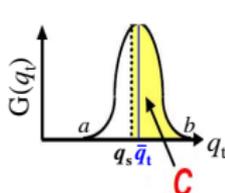
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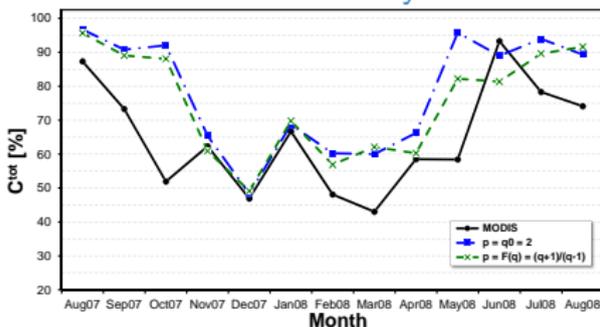
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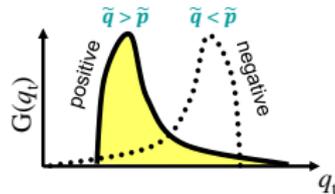
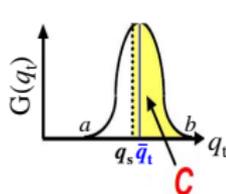
(e) Permit negative skewness, i. e. $\tilde{p} = F(\tilde{q})$

- Reduction of clouds through the introduction of negatively skewed beta distributions is of the same order of magnitude as for lower γ_{thr}

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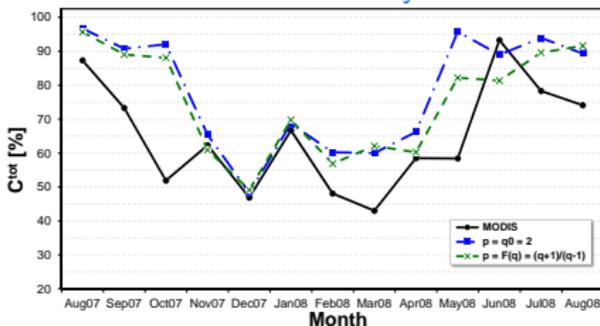
- Tompkins (2002)
- $\bar{p} = \bar{q}_0 = 2$ ($\bar{q} \geq \bar{p}$)
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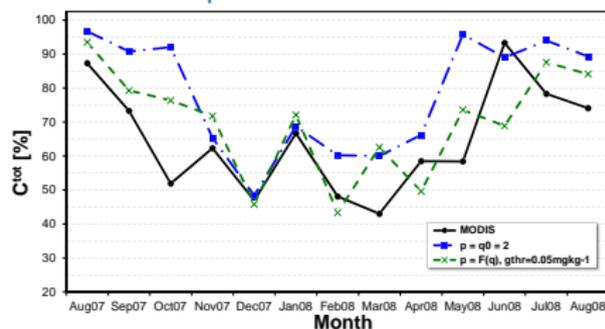
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Monthly means of C^{tot} at NP-35 start position



(e) Permit negative skewness, i. e. $\bar{p} = F(\bar{q})$



(f) Lower γ_{thr} and negative skewness

- Reduction of clouds through the introduction of negatively skewed beta distributions is of the same order of magnitude as for lower γ_{thr}

- Combined effect of lower γ_{thr} and permitted negatively skewed $G(q_t)$ can be used to adapt the PS-Scheme to Arctic climate conditions

Used observational PBL height datasets

HIRHAM5

- Atmospheric RCM (control run → ctrl)
- pan-Arctic integration domain ($> 53.5^{\circ}\text{N}$)
- $0.25^{\circ} \times 0.25^{\circ}$ horizontal resolution
- 01/01/1979 – 12/31/2011 (33 yrs)

GPS-RO

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Calculation of PBL height in HIRHAM5

a) Dynamical height (Ekman layer height)

$$h_{\text{dyn}} = C \cdot \frac{u_*}{f}$$

$C = 0.3$	→	Dimensionless parameter
$\frac{u_*}{f} = \sqrt{\tau_0/\rho}$	→	Friction velocity as defined by Charnock (1955), where τ_0 = surface drag and ρ = density of air
	→	Coriolis parameter

First model level above h_{dyn} defines level number of dynamical PBL height $h_{\text{PBL,d}}$

b) Dry convective level (Using dry static energy)

$$s = c_{\text{pd}}(1 + (\delta - 1)q) \cdot T + g \cdot z = c_p \cdot T + \Phi$$

$\delta = c_{\text{pv}}/c_{\text{pd}}$	→	Ratio of specific heat capacities for water vapor and dry air
q, T	→	Specific humidity and air temperature
$\Phi = g \cdot z$	→	Geopotential

First model level where s exceeds value of the lowermost model level defines level number of convective PBL height $h_{\text{PBL,c}}$

PBL height is then calculated in 3 steps

$$h_{\text{PBL}} = \text{MIN}(h_{\text{PBL,d}}, h_{\text{PBL,c}})$$

$$\Phi_{\text{PBL}} = \text{MIN}(50,000 \text{ m}^2 \text{ s}^{-2}, \Phi(h_{\text{PBL}}))$$

$$H_{\text{PBL}} = \Phi_{\text{PBL}}/g_n$$

with standard gravity $g_n = 9.80665 \text{ m s}^{-2}$

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$$\Phi_{\text{PBL}} = \text{MIN}(50,000 \text{ m}^2 \text{ s}^{-2}, \Phi(h_{\text{PBL}}))$$

$$H_{\text{PBL}} = \Phi_{\text{PBL}}/g_n$$

with standard gravity $g_n = 9.80665 \text{ m s}^{-2}$

Calculation of PBL height in HIRHAM5

a) Dynamical height (Ekman layer height)

$$h_{\text{dyn}} = C \cdot \frac{u_*}{f}$$

$C = 0.3$ → Dimensionless parameter

$u_* = \sqrt{\tau_0/\rho}$ → Friction velocity as defined by Charnock (1955), where τ_0 = surface drag and ρ = density of air

f → Coriolis parameter

First model level above h_{dyn} defines level number of dynamical PBL height $h_{\text{PBL,d}}$

b) Dry convective level (Using dry static energy)

$$s = c_{\text{pd}}(1 + (\delta - 1)q) \cdot T + g \cdot z = c_p \cdot T + \Phi$$

$\delta = c_{\text{pv}}/c_{\text{pd}}$ → Ratio of specific heat capacities for water vapor and dry air

q, T → Specific humidity and air temperature

$\Phi = g \cdot z$ → Geopotential

First model level where s exceeds value of the lowermost model level defines level number of convective PBL height $h_{\text{PBL,c}}$

PBL height is then calculated in 3 steps

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Definition of PBL height in observational datasets

ERA-Interim

- Bulk Richardson number-based approach

$$Ri_B = \frac{\text{buoyancy production/consumption}}{\text{shear production}} = \frac{g}{\bar{\theta}_v} \frac{\Delta \bar{\theta}_v \Delta z}{[(\overline{\Delta u})^2 + (\overline{\Delta v})^2]}$$

- turbulent flow if $Ri_B < 0$, laminar flow if $Ri_B > 0.25$
- PBL height is defined as level where Ri_B exceeds critical value of 0.25

Definition of PBL height in observational datasets

ERA-Interim

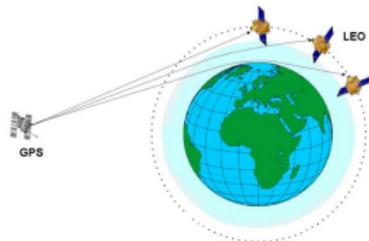
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GPS-RO

- Maximum refractivity gradient method
→ described e.g. by Anthes et al. (2008)
- GPS receiver on a low Earth orbiting (LEO) satellite detects signal of GPS transmitter
- Vertical refractivity profile depends on temperature, pressure, water vapor pressure, and electron density: $N = N(T, p, e, n_e)$
- Level with maximum refractivity gradient defines PBL height



<http://www.newscientist.com>

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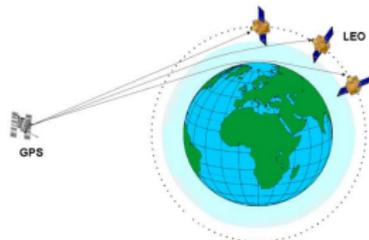
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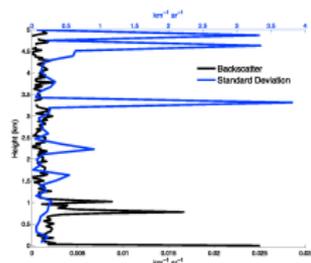
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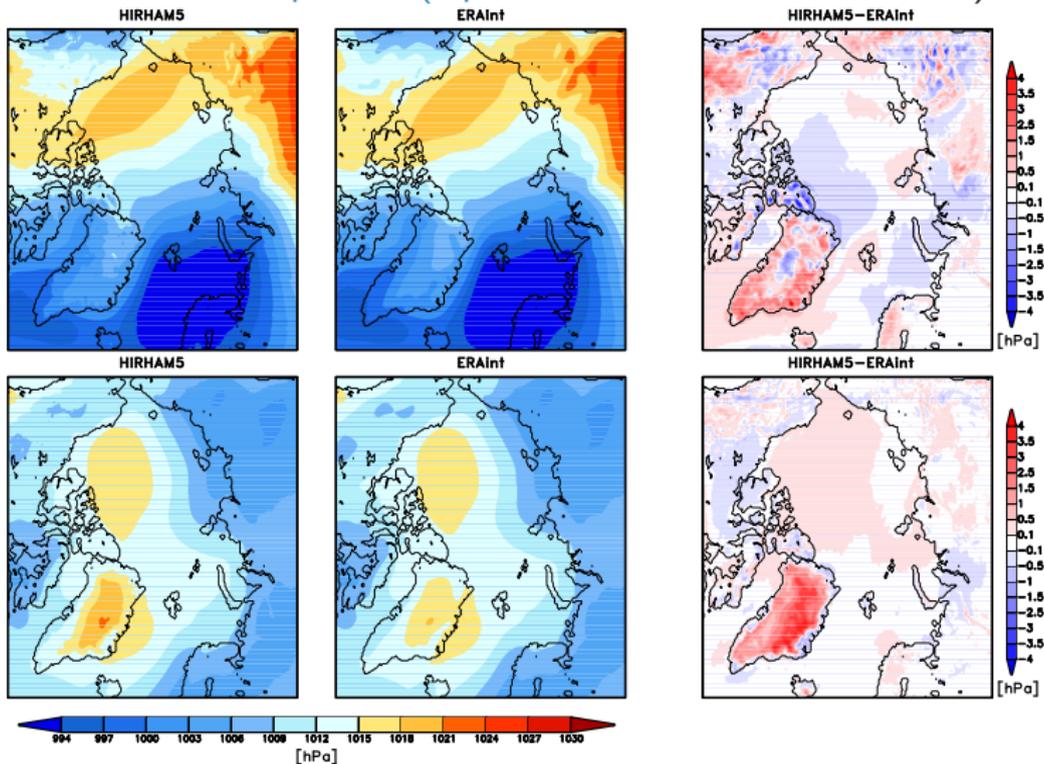
McGrath-Spangler and Denning (2012)

CALIOP

- Maximum variance technique
→ described e.g. by Jordan et al. (2010)
- Assumption that at the top of the PBL there exists a maximum in the vertical standard deviation of Lidar backscatter (Melfi et al., 1985)
- First level (lowest altitude) of maximum in standard deviation and backscatter defines PBL height

General performance of HIRHAM5

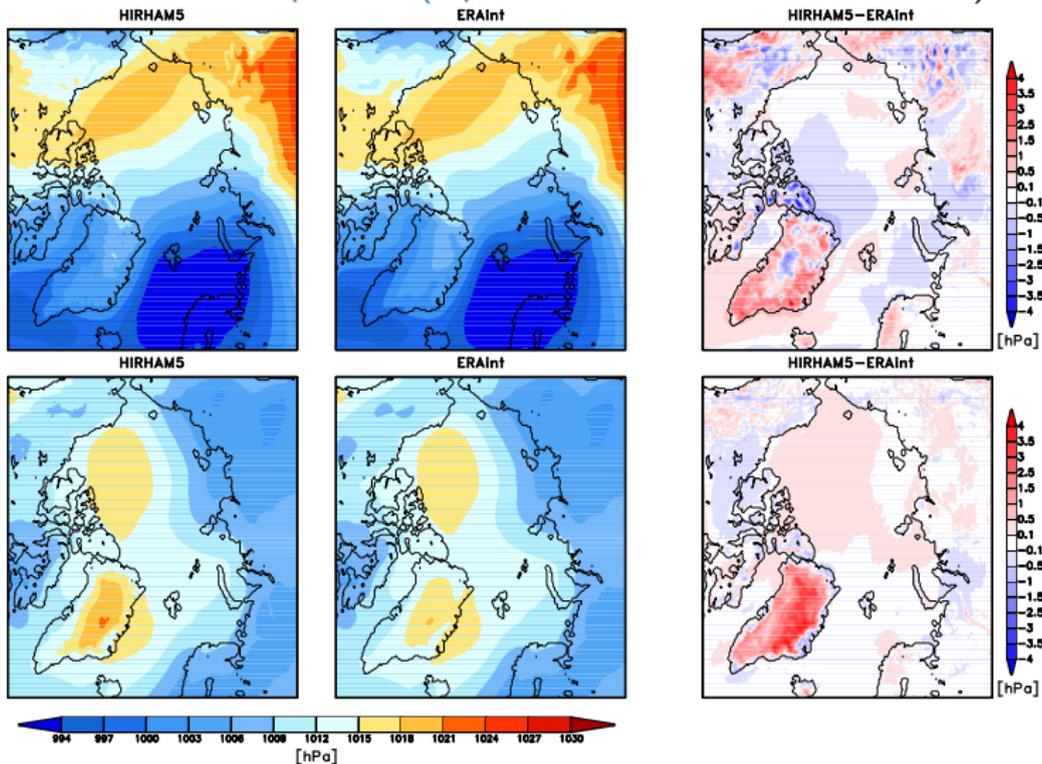
Mean sea level pressure (top = Jan2007 and bottom = Jul2007)



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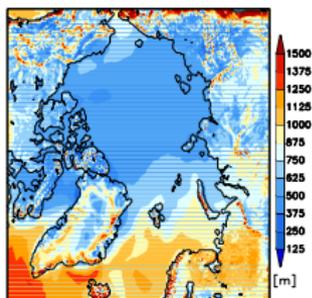


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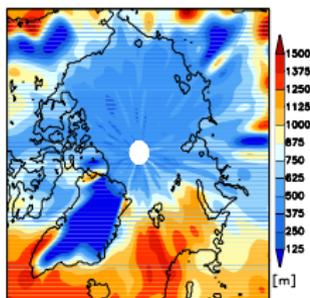
Shortcomings in satellite PBL heights over land

Arctic PBL heights during winter

DJF H_{PBL} HIRHAM5



DJF H_{PBL} GPS



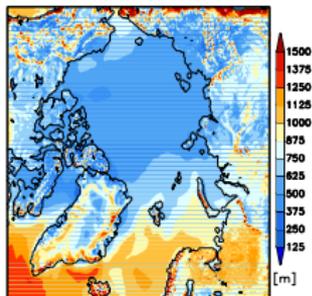
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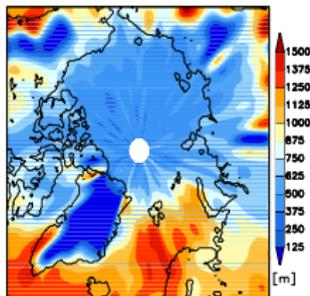
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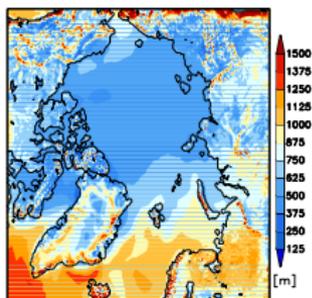
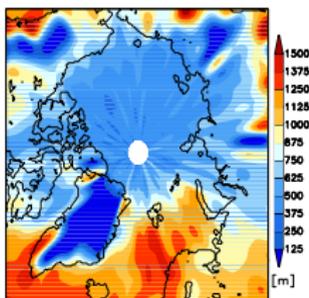
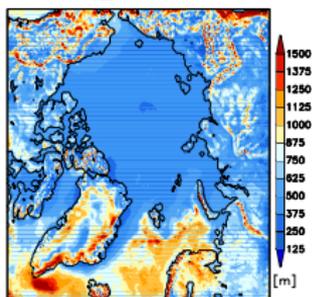
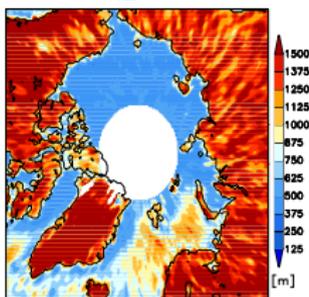


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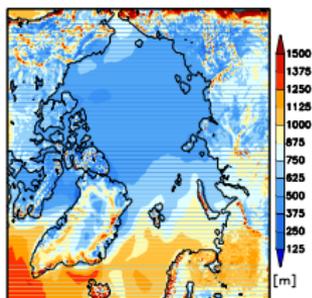
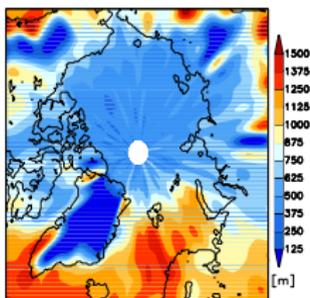
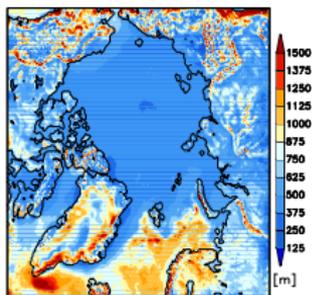
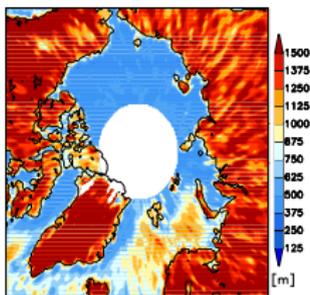
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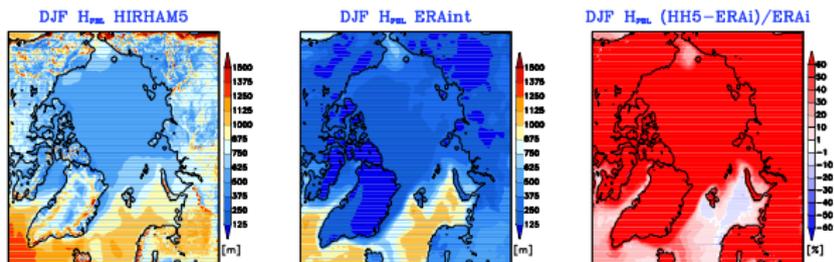
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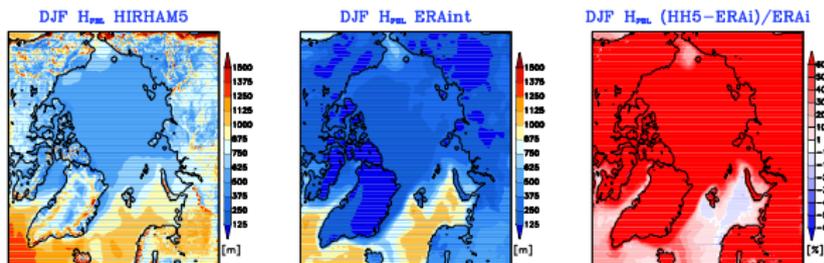
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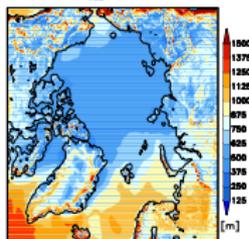
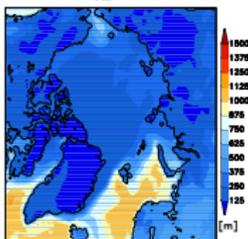
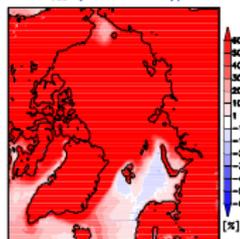
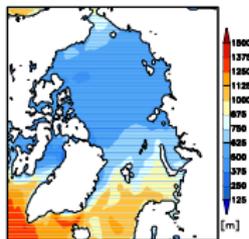
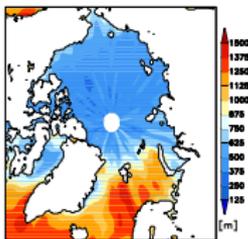
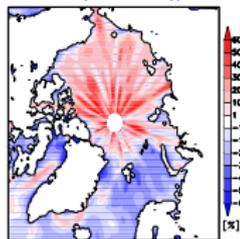
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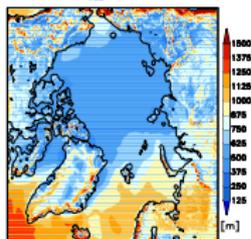
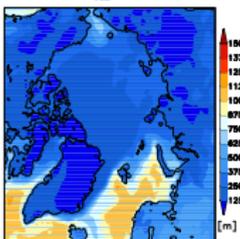
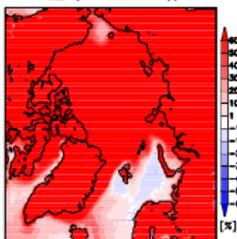
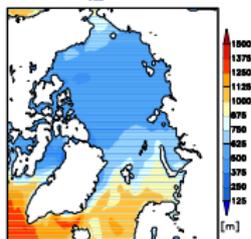
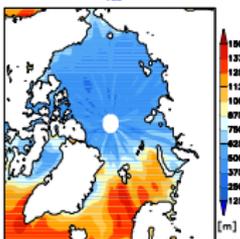
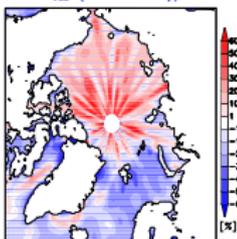
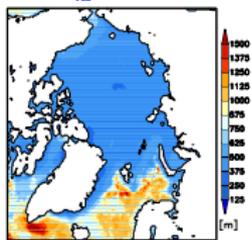
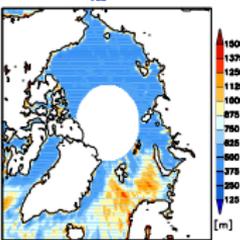
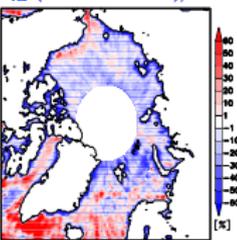
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Evaluation of simulated PBL heights I

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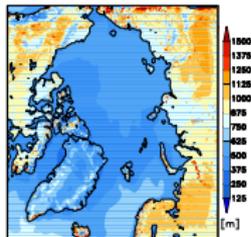
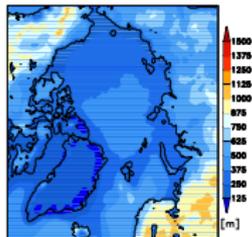
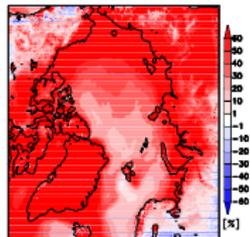
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- Spatial patterns agree except for North Atlantic and along seashores
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Evaluation of simulated PBL heights II

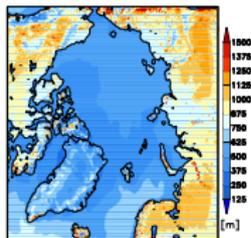
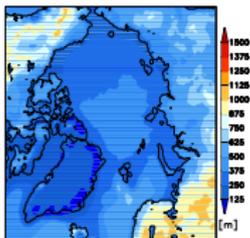
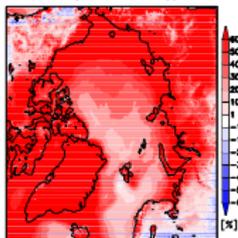
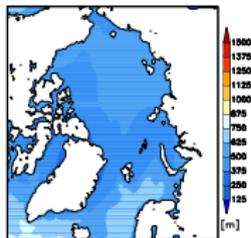
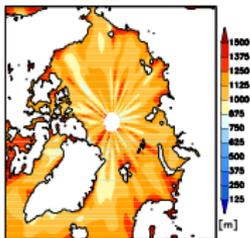
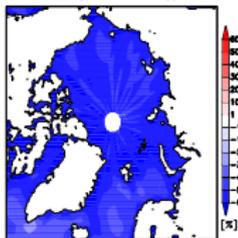
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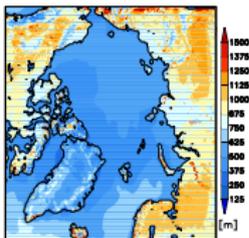
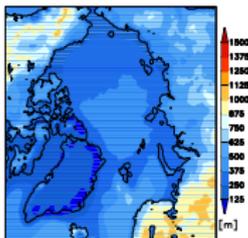
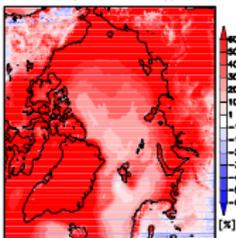
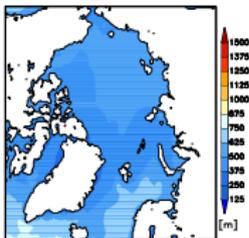
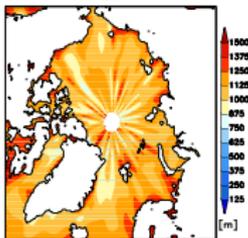
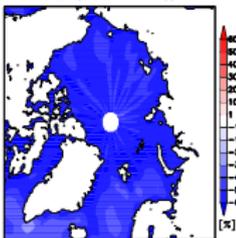
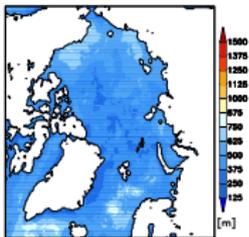
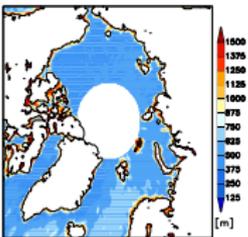
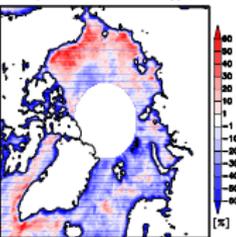
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Summary/Outlook

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- PS-Scheme performs better than RH-Scheme but systematic overestimation of C^{tot}
- Combined effect of lower γ_{thr} and permitted negative skewness of $G(q_t)$ significantly reduces biases relative to MODIS
- HIRHAM5, ERA-Interim, and CALIOP show same annual cycle of H_{PBL} but GPS-RO seems to be biased in JJA and SON
- Found low bias of ERA-Interim H_{PBL} consistent with e.g. von Engel and Teixeira (2011) and Xie et al. (2012)
- In part contrary patterns of relative differences between HIRHAM5 and GPS-RO (CALIOP)

Outlook

- **Comparison of HIRHAM5 model variables with (satellite) observations**
 - i) More detailed investigation of simulated Arctic PBL heights (Monthly means, Scatter plots)
 - ii) Validation of cloud variables (C , C^{tot} , LWP, IWP, CRF)
 - Prepared gridded datasets are welcome
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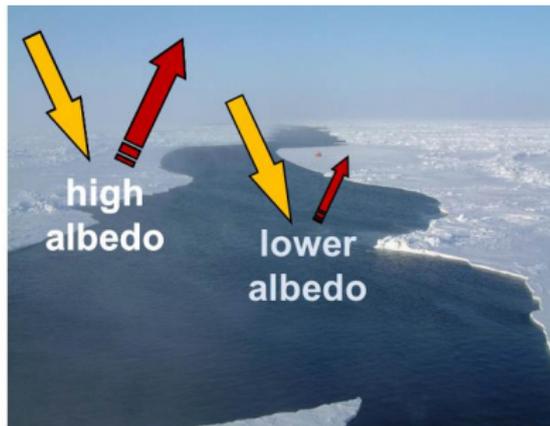
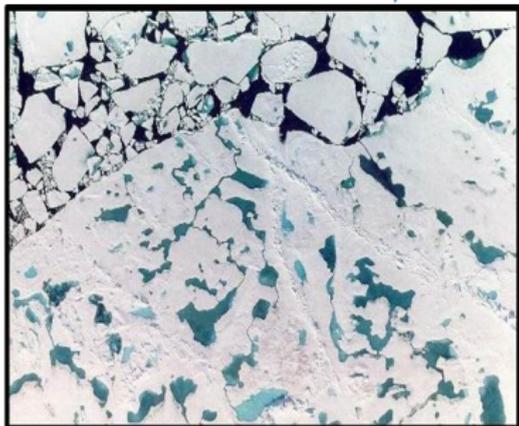
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A1: Polar Amplification

Snow/Ice Albedo Feedback



temperature increase



melting
ice and snow

**water vapor – cloud
feedback changes**

open water forms

Feedback

+

reduced
solar reflection

A2: Use of dynamical tendencies in HIRHAM5-SCM

Dynamical tendencies from ERA-Interim

- dynamical tendencies of $\psi_i = T, q, u, v$ as dynamical forcing
- ERA-Interim provides:
 - ❶ 3-hourly total tendency of ψ_i
 - ❷ 3-hourly physical tendency from forecast run
- Problem: accumulated data and 12-hourly reinitialization.

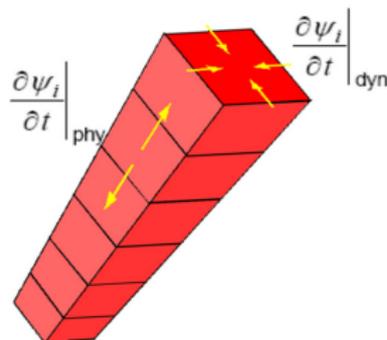
$$\left. \frac{\partial \psi_i}{\partial t} \right|_{\text{phy, ERA3h}} = \left. \frac{\partial \psi_i}{\partial t} \right|_{\text{step+3h}} - \left. \frac{\partial \psi_i}{\partial t} \right|_{\text{step}}$$
$$\left. \frac{\partial \psi_i}{\partial t} \right|_{\text{dyn}} = \left. \frac{\partial \psi_i}{\partial t} \right|_{\text{tot, ERA3h}} - \left. \frac{\partial \psi_i}{\partial t} \right|_{\text{phy, ERA3h}}$$

$$\boxed{\left. \frac{\partial \psi_i}{\partial t} \right|_{\text{tot}} = \left. \frac{\partial \psi_i}{\partial t} \right|_{\text{dyn}} + \left. \frac{\partial \psi_i}{\partial t} \right|_{\text{phy}}}$$



Linear interpolation of 3-hourly dynamical tendencies

↳ available at every time step



A3: Parameterization of stratiform clouds

Fractional cloud cover C

- parameterization consists of three components:
 - prognostic equations for the vapor (q), liquid (q_l), and ice (q_i) phase
 - cloud microphysics according to *Lohmann and Roeckner (1996)*, which considers water phase changes and precipitation processes
 - selectable cloud cover scheme ...

Relative Humidity Scheme

(RH-Scheme; *Sundquist et al., 1989*)

- diagnostic relation to the grid box mean of relative humidity (RH)

$$C = 1 - \sqrt{\frac{1 - RH}{1 - RH_{\text{crit}}}}$$

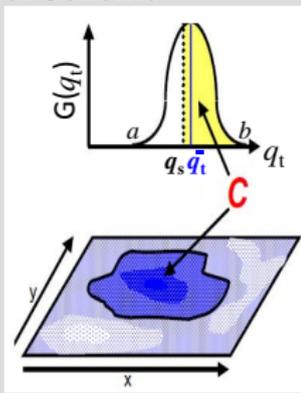
- RH_{crit} is the critical threshold according to *Lohmann et al. (1999)*, controlling the onset of cloud formation

Prognostic Statistical Scheme

(PS-Scheme; *Tompkins, 2002*)

- subgrid-scale variability of total water content $q_t = q + q_l + q_i$ is explicitly specified by the beta distribution $G(q_t)$ acting as PDF
- Integral over the supersaturation range ($q_t > q_s$) below $G(q_t)$ yields

$$C = \int_{q_s}^b G(q_t) dq_t$$



Total cloud cover C^{tot}

- computed by use of the Maximum-Random Overlap Assumption

A4: Modified tuning parameters I

Parameter	Default	Co-domain	Description (Meaning)
\tilde{q}_0	2	$1.00001 \leq \tilde{q}_0 \leq 20$	determines the shape of the symmetric beta distribution, which is used as PDF in the PS-Scheme
CW_{\min}	0.1 mg kg^{-1}	$(0 \leq CW_{\min} \leq 750) \text{ mg kg}^{-1}$	avoids negative cloud water and ice contents and additionally controls the occurrence of clear-sky conditions in the PS-Scheme
γ_1	15	$0 \leq \gamma_1 \leq 500$	determines the efficiency of rain drop formation by collision and coalescence of cloud drops (autoconversion rate)
γ_{thr}	0.5 mg kg^{-1}	$(0 \leq \gamma_{\text{thr}} \leq 5) \text{ mg kg}^{-1}$	cloud ice threshold, which determines the efficiency of the Bergeron-Findeisen process

\tilde{q}_0

where C_s is a tunable constant. Since the mixing will also reduce the skewness of the distribution, tending toward a symmetric one, the same relaxation is applied to the skewness parameter q

$$\left(\frac{\partial q}{\partial t}\right)_{\text{diss}} = (q_0 - q) (\tau_v^{-1} + \tau_h^{-1}) \quad (10.22)$$

where q_0 defines the shape of the final distribution.

A5: Modified tuning parameters II

CW_{\min}

This parameter is not mentioned by Roeckner et al. (2003).

γ_1

$$Q_{aut} = C\gamma_1 \left[a_2 n^{-b_2} (10^{-6} N_l)^{-b_3} (10^{-3} \rho r_l)^{b_4} \right] / \rho \quad (10.45)$$

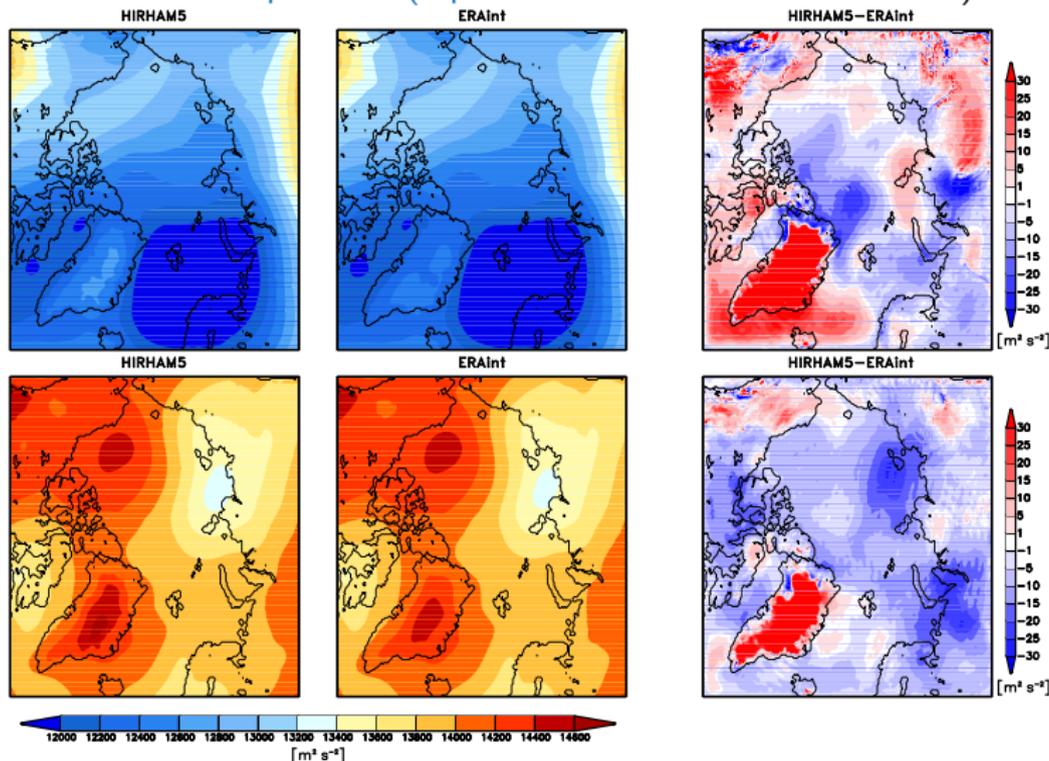
where $a_2 = 6 \cdot 10^{28}$, $n = 10$ is the width parameter of the initial droplet spectrum described by a gamma distribution, $b_2 = 1.7$, $b_3 = 3.3$, $b_4 = 4.7$, and γ_1 is a tunable parameter which determines the efficiency of the autoconversion process and, hence, cloud lifetime.

γ_{thr}

models and cannot be applied to large-scale models without adjustment. The parameter γ_{thr} is a cloud ice threshold which decides on either condensational growth of supercooled cloud droplets or depositional growth of ice crystals (see (10.34) and (10.35)). The following values are used in ECHAM5: $\gamma_1 = 15$; $0 \leq \gamma_2 \leq 0.5$ depending on model resolution; $\gamma_3 = 95$; $\gamma_4 = 0.1$; $\gamma_{thr} = 5 \cdot 10^{-7} \text{ kgkg}^{-1}$.

A6: General performance of HIRHAM5 II

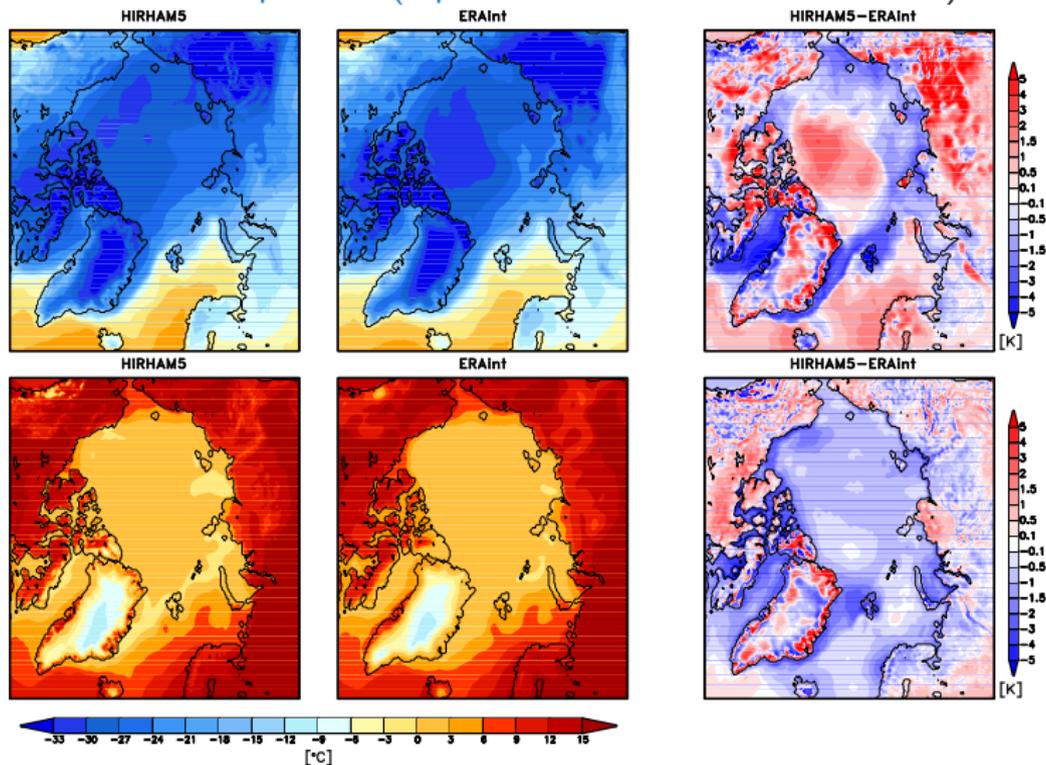
850 hPa Geopotential (top = Jan2007 and bottom = Jul2007)



• Spatial patterns of the geopotential agree well between HIRHAM5 and ERA-Interim

A7: General performance of HIRHAM5 III

2m air temperature (top = Jan2007 and bottom = Jul2007)



- HIRHAM5 and ERA-Interim 2m temperatures differ in part significantly