- Evaluation of Labrador Sea Water formation in a
- global Finite-Element Sea-Ice Ocean Model setup,
  based on a comparison with observational data

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X - 2 SCHOLZ ET AL.: EVALUATION OF LSW FORMATION IN GLOBAL FESOM SETUP The deep water formation in the Labrador Sea is simulated Abstract. 4 with the Finite-Element Sea-Ice Ocean Model (FESOM) in a regionally fo-5 used, but globally covered model setup. The model has a regional resolu-6 tion of up to 7 km and the simulations cover the time period 1958-2009. We 7 evaluate the capability of the model setup to reproduce a realistic deep wa-8 er formation in the Labrador Sea. Two classes of modeled Labrador Sea Wa-9 ter (LSW), the lighter upper LSW (uLSW) and the denser deep LSW (dLSW), 10 are analysed. Their layer thicknesses are compared to uLSW and dLSW layer 11 thicknesses derived from observations in the formation region for the time 12 interval 1988-2009. The results indicate a suitable agreement between the 13 modeled and from observations derived uLSW and dLSW layer thicknesses 14 except for the period 2003-2007 where deviations in the modeled and obser-15 vational derived layer thickness could be linked to discrepancies in the at-16 mospheric forcing of the model. It is shown that the model is able to repro-17 duce four phases in the temporal evolution of the potential density, temper-18 ature and salinity, since the late 1980s, which are known in observational data. 19 These four phases are characterized by a significantly different LSW forma-20 tion. The first phase from 1988 to 1990 is characterized in the model by a 21 fast increase in the convection depth of up to 2000 m, accompanied by an 22 increased Spring production of deep Labrador Sea Water (dLSW). In the sec-23 ond phase (1991-1994), the dLSW layer thickness remains on a high level for 24 several years, while the third phase (1995-1998) features a gradual decrease 25 in the deep ventilation and the renewal of the deep ocean layers. The fourth 26

phase from 1999 to 2009 is characterized by a slowly continuing decrease of 27 the dLSW layer thickness on a deeper depth level. By applying a Composite Map Analysis between an index of dLSW and sea level pressure over the 29 entire simulation period from 1958 to 2009, it is shown that a pattern which 30 resembles the structure of the North Atlantic Oscillation (NAO) is one of 31 the main triggers for the variability of LSW formation. Our model results 32 indicate that the process of dLSW formation can act as a low-pass filter to 33 the atmospheric forcing, so that only persistent NAO events have an effect, 34 whether uLSW or dLSW is formed. Based on composite maps of the ther-35 mal and haline contributions to the surface density flux we can demonstrate 36 that the central Labrador Sea in the model is dominated by the thermal con-37 tributions of the surface density flux, while the haline contributions are stronger 38 over the branch of the Labrador Sea boundary current system (LSBCS), where 39 they are dominated by the haline contributions of sea ice melting and for-40 mation. Our model results feature a shielding of the central Labrador Sea 41 from the haline contributions by the LSBCS, which only allows a minor ha-42 line interaction with the central Labrador Sea by lateral mixing. Based on 43 the comparison of the simulated and measured LSW layer thicknesses as well as vertical profiles of potential density, temperature and salinity it is shown 45 that the FESOM model is a suitable tool to study the regional dynamics of 46 LSW formation and its impact on a global, not regional restricted, scale. 47

## 1. Introduction

In the Labrador Sea a major component of the cold limb of the Atlantic meridional 48 overturning circulation (AMOC) is formed by deep convection: the Labrador Sea Water 49 (LSW) [e.g., Rhein et al., 2011]. LSW can be separated into two different density modes, 50 the deep LSW (dLSW), in some publications referred as "classical LSW", and the less 51 dense upper LSW (uLSW) [e.g., Rhein et al., 2002; Stramma et al., 2004; Kieke et al., 52 2006]. Both LSW modes are formed by different depths of convection, caused by strong 53 surface cooling during winter and spring in areas which are roughly limited by the 3000 m 54 isobath [*Pickart et al.*, 2002]. The buoyancy loss during winter and spring leads to an 55 increase in the near surface densities and to an unstable stratification and a homogenization of the water column. This homogenization of the water column can reach down to 57 2400 m depth [Lazier et al., 2002] and can result in events of extreme dLSW formation. 58 The formation of LSW is crucial for the heat and freshwater exchange between the at-59 mosphere and deep ocean layers as well as for the oceanic input of oxygen, carbondioxide 60 and anthropogenic tracers like chlorofluorocarbons (CFC) due to vertical ventilation in 61 the ocean [Kieke et al., 2006; Steinfeldt et al., 2009]. The formation of either uLSW 62 or dLSW, meaning the extent of the deep ventilation, depends on various factors. One 63 major factor is the intensity of deep ventilation in the preceding winter and the amount 64 of horizontal advection of heat and salt which mainly influence the density stratification 65 in the Labrador Sea [Lazier et al., 2002; Yashayaev, 2007]. This determines how much 66 buoyancy flux is needed to transform water of a certain density. Another major factor 67 is the strength of the atmospheric forcing in winter which provides the necessary buoy-68

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ancy forcing to form either uLSW or dLSW. Many authors [Dickson et al., 1996; Pickart 69 et al., 2003; Yashayaev et al., 2007] suggest that the buoyancy flux is mostly controlled 70 by the strength of the North Atlantic Oscillation (NAO). The NAO index is defined as 71 the normalized atmospheric pressure gradient between the Azores High and the Icelandic 72 Low [e.g. Barnston and Livezey, 1978; Hurrell, 1995]. Other factors that can affect the 73 formation of dLSW or uLSW are the density stratification that remains from preceding 74 winters or large fresh water pools that propagate within the subpolar gyre like the Great 75 Salinity Anomaly (GSA) of the 1970s described by Dickson et al. [1988], or the later 76 salinity anomalies described by *Belkin et al.* [1998] and *Belkin* [2004]. 77

Due to the harsh weather conditions, the temporal and spatial availability of ship data 78 for the Labrador Sea, especially regarding the properties of the LSW, is limited especially 79 to the summer season. Nowadays, profiling data from Argo drifters allow also a partial 80 experimental insight into the winter deep convection of the Labrador Sea [Vage et al., 81 2009, although these data are still limited in their spatial and temporal availability. At 82 this point, numerical ocean model approaches with high resolutions provide the possibility 83 to analyze the spatial and temporal variability patterns. Such model simulations allow to 84 investigate the processes and mechanisms responsible for setting the strength of the deep 85 water formation, especially in regions that are usually difficult to access. 86

Over the last decades different regional model studies regarding the ventilation and transformation of LSW have been carried out [e.g., *Böning et al.*, 1996; *Marshall and Schott*, 1999; *Brandt et al.*, 2007; *Chanut et al.*, 2008]. However, regional modeling requires the boundary conditions to be defined at the open domain borders. The complexity of these boundary conditions is of course limited, which in turn restricts the degrees of freedom

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(DOF) and the variability of the model. In contrast, global model studies do not have 92 this restriction and allow the analysis of the full variability of a model in a global context 93 without artificial lateral boundary conditions. Due to the high numerical costs, global 94 setups are usually limited in their resolution and have deficiencies in reproducing regional 95 effects. The Finite-Element Sea-Ice Ocean Model (FESOM) [Danilov et al., 2004, 2005; 96 Wang et al., 2008] developed at the Alfred Wegener Institute, Helmholtz Centre for Polar 97 and Marine Research, Bremerhaven, Germany, provides a compromise between a regional 98 focus and a global coverage by using an unstructured triangular surface mesh. These kind 99 of meshes offer the opportunity to locally increase the resolution to a high degree in an 100 otherwise coarser global setup. 101

Scholz et al. [2013] evaluated such a model setup in reproducing a reliable sea ice distribu-102 tion by comparing it to observational satellite data. They further compared modeled and 103 observed vertical profiles at the position of ocean weather station Bravo and Charlie and 104 pointed out that the model performs well in areas with high resolutions, while in coarser 105 resolved areas the model shows some deviations from the observed profiles. In addition, 106 Scholz et al. [2013] determined the time-evolution of the Denmark Strait overflow water 107 (DSOW) and Iceland Scotland overflow water (ISOW) into the North Atlantic and pointed 108 out that the model tends to underestimate these water masses. Recent improvements in 109 the FESOM model code, with respect to the vertical mixing, have partially overcome 110 this problem. Scholz et al. [2013] also evaluated the model setup regarding its ability in 111 reproducing the GSA events in the Labrador Sea around 1970, 1981 and 1988, based on 112 a comparison of modeled and observed temperature and salinity in the Labrador Sea at 113 a pressure level of 1500 dbar. 114

The present paper focuses on the regional ability of the global FESOM setup introduced 115 and evaluated by Scholz et al. [2013] to reproduce a realistic deep water formation in the 116 Labrador Sea for the period 1988-2009, which is characterized by an extreme change in 117 the formation of LSW. For this purpose, the modeled hydrography in the central Labrador 118 Sea as well as the variability in the layer thickness of different LSW modes is analyzed. 119 The latter model results are compared to LSW layer thickness time-series derived from 120 hydrographic observations from the central Labrador Sea [Kieke et al., 2006; Rhein et al., 121 2011]. To further assess the performance of the model in reproducing a reliable deep 122 water formation, we compare modeled and measured vertical profiles of potential density, 123 temperature and salinity for various years in the interval 1988-2009. 124

Section 2 and 3 describe the FESOM model setup and the observational data considered 125 for the comparison, respectively. Section 4 deals with the location of the deep convection 126 area in the model, which is required for defining an index for the model LSW. The evolu-127 tion of the potential density, temperature and salinity is analyzed over depth and time in 128 the central Labrador Sea (section 5.1). In the following sections we present the time evo-129 lution of the model uLSW and dLSW layer thickness indices, the modeled vertical profiles 130 of potential density, temperature and salinity and the vertical cross-sections of the AR7W 131 cruise section and compare them to the corresponding data derived from hydrographic 132 observations. To further highlight the atmospheric processes in the FESOM model which 133 are responsible for the fluctuation in the formation of dLSW, the atmospheric surface tem-134 perature, net heat flux to the ocean and sea level pressure (SLP) are analyzed in section 135 5.5 by applying a composite map analysis (CMA) over the entire simulation period from 136 1958 to 2009 [von Storch and Zwiers, 2003]. In addition, the thermal and haline surface 137

density flux to the ocean are analyzed by using a CMA and their contributions to the deep water formation in the central Labrador Sea are determined. The main discussion and conclusions are presented in sections 6 and 7 respectively.

## 2. FESOM Model Setup

In this study we use the Finite-Element Sea-Ice Ocean Model (FESOM) developed at 141 the Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Bremer-142 haven [Danilov et al., 2004, 2005, 2008; Wang et al., 2008]. This model approach uses 143 an unstructured triangular surface mesh, which gives the opportunity to model complex 144 coastlines and locally higher resolutions without complicated grid nesting. FESOM con-145 sists of the Finite Element Ocean Model (FEOM) [Danilov et al., 2004], which is coupled 146 to a finite-element dynamic-thermodynamic sea ice model [Timmermann et al., 2009]. 147 FEOM is an ocean general circulation model based on solving the primitive equations 148 under Boussinesq approximation. The model setup was designed to have a local increased 149 resolution in important deep water formation areas in the Labrador Sea, Irminger Sea, 150 Greenland-Iceland-Norwegian Sea, Weddell Sea and Ross Sea [Scholz et al., 2013]. We 151 also increased the resolution in the upwelling regions like coastal and equatorial areas. 152 The maximum resolution of the model is a trade off between global coverage, extent of 153 the region of maximum resolution and amount of available computer memory. The ap-154 proximated mesh resolution of the global setup in the Northwest Atlantic is shown in Fig. 155 1. There, a minimum resolution of  $\sim$  7 km is reached around the coast of Greenland. 156 In the Labrador Sea the resolution varies between  $\sim 30$  km in the southern part and 157  $\sim 10$  km in the northern part. The through-flow from the Canadian Archipelago (CAA) 158 into the Labrador Sea is enabled by an open Lancaster Sound and Nares Strait with res-159

olutions of 20-25 km and 15-20 km, respectively. The rather insufficient resolution in the 160 Lancaster Sound and Nares Strait, which is below the Rossby radius in this area, allows 161 in the model a netto volume transport of  $\sim 1/5$  and  $\sim 1/10$  of the observational values 162 described by Münchow and Melling [2008] and Peterson et al. [2012], respectively. The 163 resolution in the Davis Strait is in the order of around 15 km with an southward directed 164 volume transport that is  $\sim 1/3$  of the observational values provided by Cuny et al. [2005]. 165 This has the consequence that the fresh-water supply of the Labrador Sea through the 166 CAA is underestimated in our model setup. 167

The bottom topography of the model is derived from the ETOPO5 gridded elevation data 168 [Edwards, 1989] that have a resolution of  $1/12^{\circ}$ . The model setup has 41 vertical levels in 169 a full cell z-level approach, with a vertical resolution of 10 m at the surface and stepwise 170 increasing to 300 m at a depth of 2700 m and deeper. The increased model resolution in 171 the Denmark Strait and over the Iceland-Scotland Ridge, allows us to avoid prescribing 172 the overflows or artificially tuning the bottom topography, which is an adopted practice 173 in many other OGCMs [e.g., Campin and Goose, 1999]. The model resolution in these 174 regions is close to the resolution of the ETOPO5 data set. Nevertheless, the strength of 175 DSOW and ISOW is still underrepresented in this model setup, as discussed by Scholz 176 et al. [2013]. This issue has been partly resolved in the latest FESOM version by improve-177 ments in the vertical mixing scheme of the model. 178

<sup>179</sup> In order to reach an equilibrium state we have applied 188 years of spinup consisting of <sup>180</sup> 4 spinup cycles, each with a simulation period from 1958 to 2004. All the spinup rounds <sup>181</sup> are forced by the Common Ocean-Ice Reference Experiment version 2 (COREv2) [*Large* <sup>182</sup> and Yeager, 2009]. Sea surface temperature (SST), specific humidity and surface wind

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speed are forced at time steps of 6 hours, the radiation flux is calculated at daily time 183 steps, whereas precipitation is calculated at monthly time steps. For the forcing of sea 184 surface salinity (SSS) the salinity data of the transient Simple Ocean Data Assimilation 185 (SODA) version 2.0.3 from 1958 to 2004 [Carton and Giese, 2008] is used in the spinup 186 cycle. The model is first initialised with the temperature and salinity data from the World 187 Ocean Atlas (WOA) 2001 [Stephens et al., 2002]. For this study we initialized the model 188 with the last output year of the last spinup cycle and applied the same forcing, except 189 for the SSS. Model tests with different SSS forcings (SODA v. 2.0.3, SODA v. 2.1.6 and 190 COREv2 climatology) (not shown) revealed that, if the model is forced with the transient 191 SODA SSS data, the model tends to reproduce unrealistic deep ventilation events after 192 2000. The model results forced with the SSS climatology provided by COREv2 are more 193 realistic compared with observational data, especially towards the end of the simulation 194 period. For this reason we used here the COREv2 salinity climatology as SSS forcing 195 which also allows us to take advantage of the full temporal coverage of the COREv2 data 196 set and to extend the simulation period to 2009. 197

Although the temporal coverage of the model simulation used in this study is from 1958 to 2009 we will focus on the time interval 1988-2009, which is characterized by an extraordinary change in the intensity of the LSW formation [*Kieke et al.*, 2006; *Yashayaev et al.*, 2007]. Only for the CMA the entire simulation period 1958-2009 is considered to ensure a more meaningful result regarding the high and low composite maps. The model data used in this study have a monthly resolution.

## 3. LSW index derived from hydrographic observations

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For the comparison between model and experimental data we analyze the layer thick-204 nesses of uLSW and dLSW as calculated by Kieke et al. [2006] and Rhein et al. [2011] for 205 the central Labrador Sea. They reconstructed time series of layer thicknesses for uLSW 206 and dLSW from different hydrographic databases (Bedford Institute of Oceanography, 207 Hydrobase, National Oceanographic Data Center, WHPO, SFB 460 and BMBF Nord-208 atlantik) for the period from 1948 to 2009 by choosing profiles from the central Labrador 209 Sea close to the position of the former Ocean Weather Station Bravo (OWS-B, 56°30'N, 210  $51^{\circ}$  W). The applied methods for the data acquisition and selection are described by 211 *Kieke et al.* [2006]. The different time-series of the dLSW and uLSW layer thicknesses are 212 directly connected to the formation of the corresponding water mass and can therefore 213 be considered as an index for the produced volume of the respective LSW mode. The 214 period from 1988 to 1996 is of potential importance because the atmospheric forcing had 215 the strongest impact on the convective activity in the Labrador Sea [Yashayaev et al., 216 2007; Rhein et al., 2011]. To quantify the strength of the westerly winds, we use the NAO 217 index derived from the COREv2 SLP via the normalized pressure gradient between the 218 Azores High and the Icelandic Low [Barnston and Livezey, 1978; Hurrell, 1995] averaged 219 over January, February and March (JFM). 220

Different definitions for LSW limits can be found in the literature [e.g., *Pickart et al.*, 2002; *Stramma et al.*, 2004; *Yashayaev*, 2007; *Yashayaev and Loder*, 2009]. To ensure a better comparability of modeled and measured LSW properties, we followed the definitions of *Stramma et al.* [2004] and *Kieke et al.* [2006, 2007] and defined the density range  $\sigma_{\theta} = 27.68 - 27.74 \text{ kg m}^{-3}$  as uLSW, and  $\sigma_{\theta} = 27.74 - 27.80 \text{ kg m}^{-3}$  as the dLSW layer.

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#### 4. Modeled Mixed Layer Depth in the Northwest Atlantic Ocean

Fig. 2a shows the maximum mixed layer depth of the FESOM model in March, averaged 226 over the years 1988-2009. The mixed layer depth in the model is calculated as the depth 227 at which the buoyancy force does not deviate more than 0.03% from its surface value. 228 The North Atlantic Ocean of the FESOM setup reveals three major oceanic convection 229 areas which are located in the Labrador Sea, Irminger Sea and at the continental slope 230 southwest of Iceland. The most important convective area in the northwestern Atlantic 231 Ocean is located in the Labrador Sea with a mean March mixed layer depth of 1844 m. 232 The modeled center of the maximum convective cell in the Labrador Sea is not exactly 233 located in the central Labrador Sea, but is shifted northwestward to 59.5°N, 55.5°W at a 234 bottom depth of  $\sim 2750$  m. In the Irminger Sea and southwest of Iceland, the mixed layer 235 depth is shallower and reaches only a maximum value of 840 m and 600 m, respectively. 236 During 1988 to 2009 the mixed layer depth in the northwestern Atlantic shows a strong 237 change (Fig. 2b, 2c). The period 1988-1955 (Fig. 2b) is characterized in the model by 238 an intensified convection in the northwestern Labrador Sea, Irminger Sea and south of 239 Greenland. The mean March mixed layer depth in the Labrador Sea and Irminger Sea, 240 reaches a maximum depth of 2435 m and 1531 m, respectively. The following period from 241 1996 to 2009 (Fig. 2c) is characterized by a drastic decrease in the deep convection in 242 the northwestern part of the Atlantic Ocean. The mixed layer depth in the Labrador Sea 243 declines by a factor of  $\sim 1.6$ , from 2435 m to 1482 m. The decline in the Irminger Sea is 244 even stronger, the mixed layer depth drops there from 1531 m to 466 m. 245

To select the areas for the calculation of dLSW and uLSW layer thickness indices we apply the same methodology as *Kieke et al.* [2006]. They have used only those hydrographic

profiles located in the vicinity of the AR7W cruise line, a hydrographic section crossing the 248 central Labrador Sea in the vicinity of the Ocean Weather Station Bravo where the bottom 249 topography exceeded 3300 m. Due to the fact that the modeled location of the convective 250 area in the Labrador Sea is shifted to the northwest, a larger area for the calculation of 251 the indices was considered. As a result, a box from the northwestern boundary until the 252 position of the AR7W cruise line was selected and all surface nodes located within this 253 box were identified. To further eliminate the influences of the boundary currents, like in 254 *Kieke et al.* [2006], we excluded from the remaining surface nodes all surface nodes with 255 a bottom depth shallower than 2500 m. The area of the resulting surface nodes includes 256 now the central Labrador Sea and the area with the highest mixed layer depths (Fig. 2a, 257 dashed contour line). Tests with different index definition areas revealed that our results 258 are robust against changes in the size of this area as long as the area with highest mixed 259 layer depths was included. 260

#### 5. Results

### 5.1. Modeled Labrador Sea Hydrography

Fig. 3 presents the potential density  $\sigma_{\theta}(z, t)$ , temperature T(z, t) and salinity S(z, t) as represented in the FESOM setup for the index definition area (Fig. 2a, dashed contour) over time and depth for the period from 1988 to 2009. The isopycnals  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$ , 27.74 kg m<sup>-3</sup> and 27.80 kg m<sup>-3</sup>, which are used for the definition of the dLSW and uLSW, are indicated as thick white lines.

The temporal evolution of the potential density over depth (Fig. 3a) changes considerably during this time range, as it is described by various authors based on observational data [e.g., *Kieke et al.*, 2006; *Yashayaev*, 2007; *Yashayaev and Loder*, 2009]. The simulation

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<sup>269</sup> period is divided here into four phases, which are characterized by major changes in <sup>270</sup> the properties of the Labrador Sea hydrography. The first phase, from 1988-1990, is <sup>271</sup> characterized by a gradual increase in the potential density of around  $\Delta \sigma_{\theta} = 0.03$  kg m<sup>-3</sup> <sup>272</sup> at intermediate depths. Due to increasing vertical ventilation from the surface during <sup>273</sup> winter times the dLSW class (between the  $\sigma_{\theta} = 27.74 - 27.8$  kg m<sup>-3</sup> isopycnals) gets <sup>274</sup> gradually connected to the cold and fresh surface layers.

The subsequent period from 1991 until 1994 is described by a strong deep ventilation, 275 which leads to high densities  $(> 27.74 \text{ kg m}^{-3})$  in the entire water column below a depth 276 of 100 m. In each winter of this period the ventilation is strong enough, so that the cold 277 and fresh surface layers are directly connected to the density range of dLSW. This leads to 278 a fast build up of a homogeneous cold, fresh and dense body of water, extending from the 279 surface to a depth of about 2000 m. The winters of 1993 and 1994 reveal an exceptionally 280 strong vertical ventilation, where the coldest and freshest water is ventilated down to 281 a depth greater than 2000 m. The highest density in the intermediate depth layers is 282 reached in the winter of 1993 with a maximum of around  $\sigma_{\theta} = 27.785 \text{ kg m}^{-3}$ . It should 283 be mentioned that at the transition from phase one to phase two, in comparison to the 284 abrupt decrease in temperature (Fig. 3b), the salinity (Fig. 3c) features a more gradual 285 decrease. This suggests that the underlying mechanism that dominates the decrease in 286 salinity in the FESOM model is different from a fast vertical deep convection process and 287 will be discussed in section 6. 288

In the third phase, from 1995 to 1998, the dLSW mode water starts to get isolated from the surface and the supply of cold and fresh waters (Fig. 3a). This is associated with a reduction of the deep ventilation. The horizontal mixing with a warmer and more saline

Labrador Sea Boundary Current system (LSBCS) that consists of the West Greenland 292 Current in the northeast and the Labrador Current in southwest, leads to a gradual 293 decrease of the density in intermediate depths and a lowering of the  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$ 294 isopycnal of ~ 900 m until 1998. The mean depth of the  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$  isopycnal 295 remains at a level of ~ 100 m. The strong increase in the depth of the  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$ 296 isopycnal and the constant remaining depth of the  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$  isopycnal indicates 297 a thickening of the lighter uLSW layer in this phase. The fourth phase from 1999 to 2009 298 features a slowly decreasing depth of the  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$  isopycnal from  $\sim 1000 \text{ m}$  to 299 ~ 1200 m. The  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$  isopycnal shows a continuous sinking trend until 2008 300 to a depth of  $\sim 500$  m, which is associated with an accumulation of less dense water in the 301 surface layer. The sinking of the  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$  isopycnal, after 2004, is connected to 302 an increase in temperature and salinity (Fig. 3 (b), (c)) in the intermediate layers between 303 500 m and 1500 m by  $\sim 0.4$  °C and  $\sim 0.03$  psu, respectively. After 2008, the depth of the 304  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$  isopycnal indicates a rapid jump back to a depth of around 100 m. 305

## 5.2. Comparison of simulated and observed LSW layer thickness

Fig. 4 shows the time evolution of the monthly uLSW and dLSW layer thickness of the model (thin line), the 3-year-running-mean filtered time series (thick line) and the summer layer thicknesses estimated from observational data (filled circles) [*Kieke et al.*, 2006; *Rhein et al.*, 2011]. Additionally, the positive and negative phase of the January, February and March averaged normalized NAO index is shown by dark and light grey shaded areas, respectively.

<sup>312</sup> Both time series of simulated and observed dLSW (uLSW) show an increase (decrease) <sup>313</sup> in the layer thickness within the first phase from 1988 to 1990. The observed dLSW

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thickness is less than what is simulated by the FESOM model. Between 1991 and 1994 314 a large homogeneous dLSW body develops and the system is "charged with dense water" 315 from the surface, undergoing a transition to deep convection depths. The build-up of the 316 dLSW layer thickness occurs on the cost of the uLSW layer thickness which erodes into 317 the dLSW class. For the second phase the simulated and measured layer thicknesses reveal 318 that the Labrador Sea remained for several years in a deep convection state, when the 319 dLSW and uLSW layer thickness reached its maximum and minimum value, respectively. 320 The maximum value of the simulated and observed dLSW layer thickness with  $\sim 2100$  m 321 and  $\sim 2150$  m as well as the minimum value of the simulated and observed uLSW layer 322 thickness with  $\sim 50$  m and  $\sim 90$  m are in close agreement. 323

In the period from 1995 to 1998 (phase three), the simulated and observed layer thick-324 nesses show a gradual transition towards thinner dLSW and thicker uLSW layer thick-325 nesses, which coincides with a strong variability in the magnitude of the NAO index. The 326 dLSW index in Fig. 4 and the temporal evolution of the potential density and temper-327 ature in Fig. 3 reveal that the system does not react instantaneous to a change in the 328 wind and temperature forcing as indicated by the NAO index. The modeled uLSW layer 329 thickness shows in the third phase a faster increase with a slope of 219 m/yr, compared 330 to the slope of the observational derived uLSW layer thickness with a value of 154 m/yr. 331 The difference in the decrease of the modeled and observational derived dLSW layer thick-332 nesses is smaller with slopes of -200 m/yr and -172 m/yr, respectively. 333

At the beginning of the fourth phase (1999-2009), the layer thickness of the modeled uLSW layer increases to a maximum between 2000 and 2002, with a thickness of  $\sim 1000$  m. From 2002 until 2006, the uLSW layer thickness of the model decreases again. This is associated

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with the sinking of the isopycnal  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$  and the accumulation of a kind of "new LSW" class in the surface and upper ocean layers of the model which is lighter than uLSW. At this point, a detailed description of this new LSW class is omitted because this would require further comprehensive sensitivity experiments. The modeled uLSW layer thickness starts to increase again after 2006 until the end of the simulation period. In contrast, the observational derived uLSW layer thickness increases continuously from 1999 until 2009, but more slowly when compared to the third phase.

The modeled and observational derived dLSW layer thickness reveals a continuous decrease from 1999 until 2009, except for the years 2000 and 2008 where only the observational derived dLSW layer thickness features, besides the underlying trend, a slightly decreasing and increasing dLSW layer thickness, respectively. Both dLSW time-series run quite synchronous from 1999 until 2002. After 2002 the dLSW layer thickness derived from observations shows a stronger decreasing trend compared to the modeled dLSW layer thickness.

#### 5.3. Comparison of modeled and measured vertical Labrador Sea profiles

Fig. 5 presents observed (dashed) vertical density profiles for the upper 2500 m of the water column averaged over the AR7W cruise section [*WOCE Data Product Committee*, 2002] and modeled (solid lines) summer (JJA) potential density profiles averaged over the Labrador Sea index area for various years during phases of increasing (I, 1988-1990), maximal (II, 1991-1994), decreasing (III, 1995-1998) and minimal (IV, 1999-2009) dLSW layer thickness.

The density profiles during phase I and II reveal a depth evolution that is overall comparable between the modeled and observed density profiles. The latter shows a faster

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decrease in the surface and intermediate layer density compared to the modeled density profiles. In the deep layers (> 2000 m), the comparison between modeled and observed density profiles is vice versa. The dLSW (uLSW) layer thickness of 1990, calculated from the measured vertical profiles (hashed bars) indicate a slightly reduced (increased) value compared to the modeled (solid bars) dLSW layer thicknesses. In phase II, for the years 1992, 1993 and 1994, modeled and observed uLSW and dLSW layer thicknesses indicate a very good agreement, the differences being less than 90 m.

Phase III, reveals a different evolution of the measured and observed vertical density pro-366 files. During 1995-1998, the slope in the modeled density profiles below 150 m decreases 367 much stronger than it is the case of the observed profiles. The observed profiles feature 368 a generally higher potential density in the depth ranges between 250 m and 2000 m com-369 pared to the modeled profiles. The difference in the slope between modeled and observed 370 profiles leads to strong differences in the depth of the isopycnal  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$ . This 371 in turn leads to increasing differences in the modeled and observed layer thicknesses of 372 uLSW and dLSW within the third phase. The difference in the slope between modeled 373 and measured profiles is diminishing below a depth of 2200 m, which leads to a reduced 374 spread in the depth of the isopycnal  $\sigma_{\theta} = 27.80 \text{ kg m}^{-3}$ , between modeled and measured 375 profiles. 376

At the beginning of phase IV (1999 and 2001), modeled and observed density profiles reveal a comparable slope between 200 m and 2200 m. In 2003 and 2005, the depth of the isopycnals increased in the range between 250 m and 1000 m. In this depth range the modeled density profile of phase four indicate a more linear behaviour when compared to the observed profiles. Both, modeled and observed density profiles indicate In the depth

range from 1000 m to 2000 m a more linear density behaviour, where the observed density
 profiles have a stronger slope and more underlying variability

Fig. 6 presents modeled (solid lines) and measured (dashed lines) vertical temperature 384 profiles in the central Labrador Sea for the four different phases. In 1990, during the 385 phase of increasing dLSW thickness, modeled and measured temperature profile agree 386 well, although the measured profile shows a more gradual temperature decrease in the 387 upper 500 m. The FESOM model is not able to reproduce the temperature increase be-388 tween 2100 m and 2400 m. For the years 1992, 1993 and 1994, modeled and measured 389 temperature profiles indicate a general offset of  $\sim 0.15$  °C with the model profiles being 390 warmer. Also here the measured profiles show a more gradual temperature decrease in 391 the upper layers. 392

The years 1996, 1997, 1998 in phase III feature a similar depth evolution between the 393 modeled and measured temperature profiles for the upper 100 m of the water column as 394 well as in the depth range between 500 m and 2000 m. The model is not able to reproduce 395 the entire depth variability between 500 m and 2000 m. The model is also not able to 396 simulate the gradual temperature decrease between 100 m and 500 m or the temperature 397 increase below 2000 m. At the beginning of phase IV (1999 and 2001), modeled and 398 measured temperature profile reveal a comparable evolution in the range between 500 m 399 and 2000 m. Although the entire depth variability of the observed profiles could not be 400 reproduced in the model. The measured temperature profile of 1999 features in the depth 401 range between 100 m to 500 m a more gradual temperature decrease, while the modeled 402 profile features for this depth range reveals even a slight increase in temperature. The 403 modeled temperature profiles for 2003 and 2005 have the tendency to underestimate the 404

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measured temperature profiles in the depth range of 100 - 400 m as well as below 1400 m and to overestimate the temperature in the depth range between 400 m and 1400 m.

Fig. 7 shows modeled (solid lines) and measured (dashed lines ) vertical salinity profiles in the central Labrador Sea, during phase I-IV. Throughout phase I-III and also at the beginning of phase IV (1999 and 2001) the FESOM model is able to reproduce the slope and evolution of the measured salinity profiles in the upper 2000 m of the water column. But the modeled salinity profiles reveals a general offset towards lower salinities when compared to the measured profiles. At the end of phase IV (2003 and 2005) modeled and measured profiles diverge.

# 5.4. Comparison of modeled and measured Labrador Sea AR7W cruise sections

Due to rough winter conditions in the Labrador Sea, most available cruise sections were 414 measured in late spring to late summer. In the following, we compare two simulated 415 and measured hydrographic AR7W sections of the World Ocean Circulation Experiment 416 (WOCE, http://cchdo.ucsd.edu) and follow-up programs. The section crosses the central 417 Labrador Sea from the Canadian towards the Greenland continental shelf. Observational 418 data were retrieved from http://cchdo.ucsd.edu. Concerning years with highest dLSW 419 and uLSW layer thicknesses, data of the R/V Hudson cruises 93019/1 carried out in June 420 1993 and 2002/32 conducted in July 2002, respectively, were considered as appropriate 421 representatives (Figs. 8 (a), (b)). The corresponding AR7W cross sections of the FESOM 422 model are presented in Figs. 8 (c) and (d). We are aware that the area of maximum 423 deep water formation in the model is slightly shifted to the northwest when compared 424 to observed MLD (see Fig. 2), which provokes us to expect a certain difference in the 425

<sup>426</sup> modeled and measured cross sections. However, to assure a better comparability for the <sup>427</sup> reader, also in terms of bottom topography, we show here the same AR7W cruise line for <sup>428</sup> the modeled and measured sections.

The measured data from the AR7W line in June 1993 (Fig. 8a) feature a thick layer of dLSW. This massive dLSW body was gradually built up by a strong vertical mixing in the spring of 1993 and an intense winter time convection in the preceding three years [*Lazier et al.*, 2002; *Kieke et al.*, 2006; *Yashayaev and Loder*, 2009]. The observational data feature a maximum dLSW layer thickness of 2150 m in the central Labrador Sea and a minimum dLSW layer thickness of ~ 1000 m on the continental slope. The uLSW mode water has a very limited thickness of around 200 m.

The corresponding modeled cross section in Fig. 8c reveals on the first view a perceptible 436 deviation from the observed section, which can be mostly attributed to the shift between 437 modeled and observed maximum MLD. The western part of the model Labrador Sea cross 438 section is occupied by a lighter water body that reaches from  $\sim 300$  m down to a depth 439 of 2200 m, as a consequence of the northwestward shift of the deep convection area in the 440 model (see Fig 2b). Fig. 9a shows a horizontal mean density distribution in the northwest 441 Atlantic, which indicates that the location of the dense water is more concentrated on the 442 northeastern part of the modeled Labrador Sea. In the model this leads to the formation 443 of a tongue of lighter water in the southern part of the Labrador Sea, which is obvious 444 in the model data at the AR7W line. Nevertheless, the potential density of this tongue 445 is still in the defined range of the dLSW. Due to this fact, the vertical location of the 446  $\sigma_{\theta} = 27.68, 27.74$  and 27.80 kg m<sup>-3</sup> isopycnals and the layer thickness of the dLSW and 447 uLSW in the central Labrador Sea are hardly affected. However, this is not the case for 448

the area of the Canadian shelf, where big differences in the location of the isopycnals 449 can be found in the modeled cross section. The depth of the  $\sigma_{\theta} = 27.8 \text{ kg m}^{-3}$  isopycnal 450 within the model in June 1993 is around 350 m lower than in the observed cruise section. 451 Also the characteristic bowl structure of the observed  $\sigma_{\theta} = 27.8 \text{ kg m}^{-3}$  isopycnal close 452 to the continental slope is missing in the modeled AR7W section. The depth levels of 453 the measured and simulated isopycnals  $\sigma_{\theta} = 27.68, 27.74 \text{ kg m}^{-3}$  are quite similar in the 454 central Labrador Sea. On the eastern and western boundary of the Labrador Sea the 455  $\sigma_{\theta} = 27.68, 27.74 \text{ kg m}^{-3}$  isopycnals differ from the measured cruise section, but this is 456 also a consequence of the northwestward shift of the deep convection region in the model. 457 The AR7W cruise section in July 2002 (Fig. 8b), shows, in comparison to 1993, a quite 458 thick uLSW layer, with an average layer thickness of  $\sim 850$  m. The thickness of the dLSW 459 layer has decreased clearly. In 2002, the depth of the vertical ventilation has decreased so 460 much, that the dLSW was not renewed anymore from the surface during winter time (see 461 Fig. 3a). The decrease in the dLSW layer is due to the deepening of the  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$ 462 isopycnal. Also the depth of the  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$  isopycnal deepens by  $\sim 200 \text{ m}$  in the 463 central Labrador Sea. The depth of the  $\sigma_{\theta} = 27.80 \text{ kg m}^{-3}$  isopycnal remains almost the 464 same between summer 1993 and 2002. 465

<sup>466</sup> The corresponding AR7W model section in July 2002 (Fig. 8d) reveals a similar behaviour, <sup>467</sup> with a thickened uLSW layer. The western Labrador Sea features slightly lighter water <sup>468</sup> masses within the uLSW layer, which are again a consequence of the northwestward shift <sup>469</sup> of the deep convection area (see Fig. 9b). From 1993 until 2002, the  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$ <sup>470</sup> isopycnal sinks to a depth of ~ 1400 m, while the  $\sigma_{\theta} = 27.80 \text{ kg m}^{-3}$  isopycnal remains <sup>471</sup> at the same depth, which decreases the dLSW layer in the model. Also here the model

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indicates deficiencies in reproducing the observed bowl structure of the  $\sigma_{\theta} = 27.80 \text{ kg m}^{-3}$ isopycnal close to the continental slope.

## 5.5. Relationship between changing dLSW formation and changing surface forcings

It is known from observations that LSW formation is initiated/modulated by atmo-474 spheric surface buoyancy forcing during winter conditions [Lab Sea Group, 1998; Marshall 475 and Schott, 1999; Lazier et al., 2002]. The switch between the formation of different LSW 476 classes depends on the strength and lateral structure of the surface buoyancy forcing fields. 477 In the following we want to analyze the relationship between the formation of a certain 478 class of Labrador Sea mode water and different atmospheric fields of net heat flux to the 479 ocean, atmospheric surface temperature, sea level pressure and thermal and haline surface 480 density flux. 481

To analyze the responsible forcing mechanism in the model that causes fluctuation in the 482 thickness of the dLSW class we apply a Composite Map Analysis (CMA) [von Storch and 483 Zwiers, 2003 between a layer thickness time series of a certain LSW class and the afore-484 mentioned atmospheric forcing fields. For the CMA we use the detrended layer thickness 485 time series of the January, February March (JFM) averaged dLSW class, because it is 486 the most prominent LSW product observed in the last five decades, and it features the 487 most pronounced layer thicknesses in JFM (see Fig. 4). For the forcing fields in the CMA 488 we use the boreal winter season averaged over December, January and February (DJF), 489 when we expect the highest magnitude in the surface buoyancy forcing and to account for 490 a response time of one month for the onset of the winter time convection. The results of 491 the CMA are affected to a minor extent when the dLSW index is changed to DJF or the 492

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forcing fields are changed to JFM. To get a more meaningful result regarding the CMA, 493 the analysis was extended to the entire simulation period from 1958 to 2009, although 494 the results were very similar when they were limited to the period 1988-2009. For the 495 CMA only those years were considered when the dLSW time series was higher than +0.75496 standard deviation (high composite map) and lower than -0.75 standard deviation (low 497 composite map), respectively. This threshold was chosen as a compromise between the 498 strength of the oceanic signal and the number of maps that are necessary to have an 499 appropriate representation of the mean field. The analysis revealed that the results are 500 less influenced by the exact threshold values in the CMA (not shown). 501

First, we determine the response time of the ocean in the Labrador Sea to changes in 502 the atmospheric forcing. A lag-correlation analysis between the detrended dLSW index 503 for JFM and the detrended NAO index for JFM (Fig. 10) covering the period 1958-2009 504 reveals a significant correlation at a lag of 1-3 years with a maximum correlation of 0.52505 (99.9% significance level, using the method of *Dawdy and Matalas* [1964] to calculate the 506 significance of auto-correlated time series), when the NAO leads dLSW variability by one 507 year. Fig. 11 presents the resulting composite maps when the modeled JFM dLSW in-508 dex is put into relation to the winter atmospheric surface temperature of the forcing and 509 the modeled net heat flux to the ocean. Only those years are taken into account when 510 the detrended JFM dLSW index is 0.75 above/below standard deviation (red and blue 511 bars in Fig. 10). For the calculation of the composite maps, a lag of -1 year between 512 the oceanic index and the atmospheric field is considered (atmosphere leads). The left 513 column of Fig. 11 presents the high (Fig. 11a), low (Fig. 11c) and difference (Fig. 11e, 514 high minus low) composite maps of atmospheric surface temperature with respect to the 515

dLSW index. In years with a high dLSW index the mean surface temperature shows a 516 strong negative anomaly of -3 °C to -6 °C in the northwestern Labrador Sea and a 517 weak positive anomaly of 2 °C northeast of Iceland. During low dLSW years, the pattern 518 is reversed: positive temperature anomalies are found in the Labrador Sea and negative 519 anomalies northeast of Iceland. The difference composite map displays, in summary, that 520 the atmospheric surface temperature in the northwest Labrador Sea cools down by up 521 to 10 °C between a low and a high dLSW formation event. Additionally, a warming of 522 4 °C occurs northeast of Iceland. The right column of Fig. 11 displays the composite 523 maps of the net heat flux to the ocean (downward heat flux positive) in relation to the 524 JFM dLSW index. The heat flux indicates a strong negative anomaly of  $-100 \text{ Wm}^{-2}$ 525 over the central Labrador Sea during events with a high dLSW thickness. The positive 526 anomaly that extends southwards from the northwest coast of Greenland (Fig. 11b) is 527 caused by an increased sea ice transport through Davis Strait (57.7°W, 66.9°N, Fig. 13a) 528 and subsequent melting. During low dLSW, the Labrador Sea has a positive net heat 529 flux of 60  $W m^{-2}$ . Between high and low dLSW formation events (Fig. 11f) the net 530 heat flux over the Labrador Sea reveals a strong negative anomaly of  $-175 \text{ W m}^{-2}$ . This 531 strong negative anomaly triggers a further cooling of the sea surface temperature and the 532 formation of denser water masses. Additionally, we find that the modeled net heat flux 533 mainly reflects the changes in the sensible heat flux, while the latent heat flux is only in 534 the order of 20% of the sensible heat flux (not shown). 535

The contour lines in Fig. 11 show furthermore the high, low and difference composite maps between the dLSW index and the sea level pressure (SLP). In the high and low composite maps (Fig. 11 (a)-(d)), the Azores High and Icelandic Low pressure systems

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are indicated by red and black contour lines, respectively. The difference composite maps 539 of the SLP features a clear dipole structure with a negative center of -5 hPa close to Ice-540 land and a less expressed positive center of 3 hPa over the central North Atlantic. This 541 dipole-like structure resembles to a large degree the spatial fingerprint of the NAO [Barn-542 ston and Livezey, 1978; Hurrell, 1995]. During increased dLSW formation (Fig. 11a, high 543 composite map) the Icelandic Low is deepened. Due to the increased pressure gradient 544 between the Azores High and the Icelandic Low, the northwesterly winds are intensified 545 and bring very strong and cold winds from North Canada and the Canadian Archipelago 546 to the Labrador Sea. These winds lead to a strong cooling of the surface and increase the 547 net heat loss of the ocean, which can be seen in the high composite maps of the surface 548 temperature and the net heat flux (Fig. 11a, 11b). 549

To directly analyze the influence of the buoyancy forcing, we applied a CMA to the sur-550 face density flux to the ocean (calculation follows *Josey* [2003]). We distinguish here 551 between the thermal and haline related contributions to the surface buoyancy forcing in 552 the Labrador Sea. Fig. 12 presents the composite map between the JFM dLSW index 553 and the DJF thermal (left column) and haline (right column) surface density flux. The 554 thermal surface density flux takes into account the contributions of sensible, latent and ra-555 diative heat fluxes, respectively. The haline surface density flux includes the contributions 556 of precipitation, snow, evaporation, sea ice formation and sea surface salinity restoring. 557 The left column of Fig. 12 shows the high (Fig. 12a), low (Fig. 12c) and difference (Fig. 558 12e) composite maps of the dLSW index and the thermal surface density flux. Positive 559 values indicate an increase in the surface density of the ocean. During years with a high 560 dLSW thickness, the thermal contribution of the surface density flux is positive in the 561

central Labrador Sea and Irminger Sea as well as southwest of Iceland with a maximum 562 value of  $1.75 \cdot 10^{-6} \text{ kg/(m^2s)}$  in the central Labrador Sea. The increase of surface density 563 is mainly related to an increased heat loss by sensible heat during years with high dLSW 564 formation. The coastal areas of the Labrador and Irminger Seas, however, indicate a neg-565 ative thermal surface density flux. Here, the major influence is provided by the presence 566 of sea ice which largely reduces the heat exchange between ocean and atmosphere. The 567 negative thermal density flux in the northwestern Labrador Sea is related to a massive 568 sea ice export through Davis Strait (57.7°W, 66.9°N, Fig. 13a). In years with a low 569 dLSW thickness the central Labrador Sea reveals a negative thermal surface density flux 570 which is again mainly related to an increased sensible heat flux during that phase. The 571 northwestern Labrador Sea as well as the Davis Strait feature a slightly positive thermal 572 density flux which indicates a reduced sea ice coverage. 573

The haline surface density flux (Fig. 12, right column) is dominated by the formation, 574 melting and advection of sea ice. The contributions of precipitation, snow, evaporation 575 and sea surface salinity restoring are smaller by a factor of 10 (not shown), but also the 576 magnitude of the thermal density flux is almost an order of magnitude smaller than the 577 density flux from sea ice melting, when comparing Fig. 12a and Fig. 12b. During years 578 with high dLSW, the high composite map of the haline surface density flux (Fig. 12b) 579 features a decrease in the surface density in the area of the LSBCS. This is similar in the 580 Irminger Sea, which reveals an extreme value of  $-16 \cdot 10^{-6} \text{ kg/(m^2s)}$ . The high decrease 581 in the surface density of the Labrador Sea is related to an intensified transport and sub-582 sequent melting of sea ice through Davis Strait. The high formation rate of sea ice can 583 be seen in positive surface density fluxes of  $\sim 4 \cdot 10^{-6} \text{ kg/(m^2s)}$  at the shelf areas and the 584

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associated extraction of freshwater. This is proven by the time evolution of the sea ice 585 transport through a Davis Strait cross section at 61.7°W, 66.6°N - 53.7°W, 67.2°N (Fig. 586 13a). Due to intense westerly winds during the high dLSW phase, sea ice is transported 587 towards the location of the LSBCS. The melting of sea ice releases large quantities of 588 freshwater at the surface and causes a high negative haline surface density flux. One 589 can ask why this high negative haline surface density flux from the sea ice melting has 590 a minor influence on the central Labrador Sea. Fig. 13b shows the difference composite 591 map of the winter salinity of a northwest to southeast vertical cross section through the 592 Labrador Sea with the JFM dLSW index. This section has a positive salinity anomaly of 593  $\sim 0.25$  psu on the shelf at around  $63^{\circ}$ W which is caused by intensified sea ice formation 594 in Davis Strait and subsequent advection of a positive salinity anomaly in a depth of 595 around  $\sim 100$  m southwestward along the shelf during high dLSW phase. The negative 596 salinity anomaly of  $\sim -0.25$  psu at around  $60.5^{\circ}$ W is related to the melting of sea ice 597 and the release of fresh water at this location. On this cross-section the negative anomaly 598 is mostly confined to the location of the LSBCS. Only a minor interaction between the 599 LSBCS and the central Labrador Sea was observed in the model. This interaction could 600 be caused by a slow horizontal mixing process indicated by the salinity evolution in Fig. 601 3c. In years with a low dLSW thickness (Fig. 12d) the whole central Labrador Sea has a 602 zero to slightly negative surface density flux which is mostly related to precipitation (not 603 shown). Only the western part of the LSBCS and the eastern coast of Greenland feature 604 positive values in the low composite map of the haline surface density flux. This is again 605 related to an increased sea ice formation. 606

#### 6. Discussion

In this study we have investigated the deep water formation in the Labrador Sea using 607 a global FESOM model setup that has an increased, but non-eddy-resolving, regional res-608 olution in the deep water formation areas of the North Atlantic Ocean. This setup allows 609 us to simulate the effect of regional deep water formation and its global consequences be-610 yond the usual limitation of regional restricted models at moderate computational costs. 611 A drawback of this kind of model class is, that the time-step  $\delta t$  of the entire setup is 612 limited by the size of the smallest mesh triangle. However, the commonly used nesting 613 techniques have the problem that their interaction between different scales is usually just 614 one directional. 615

The general climatology of this setup was evaluated in Scholz et al. [2013]. Here, we con-616 centrate on the variability of the dLSW and uLSW layer thicknesses, which are formed 617 during the winter and spring deep convection for the period 1988-2009. It is shown that 618 the model is able to reproduce the temporal evolutions of the potential density, temper-619 ature and salinity since the late 1980s as shown by e.g. Yashayaev [2007] and Yashayaev 620 and Loder [2009]. The temporal evolution reveals four different phases of LSW formation 621 which differ significantly from each other. The first phase (1988-1990) is characterized in 622 the FESOM model by a rapid increase in the production of spring dLSW. In a second 623 phase (1991-1994) the Labrador Sea remained in a stable period of cold and fresh deep 624 convection with a maximum convection depth of > 2000 m. The modeled time evolution 625 of the surface to intermediate ocean temperature shows in that phase an abrupt drop of 626  $\sim 0.7$  °C, which is associated with a sudden onset of deep convection and downward venti-627 lation of cold surface waters. This trend in the ocean temperature of the Labrador Sea of 628

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the 1990s is also documented by observational studies  $[Curry \ et \ al., 1998]$ , which refer this 629 strong trend to an exceptional high positive NAO. In contrast, the time evolution of the 630 salinity shows a more gradual decrease of  $\sim 0.04$  psu within the first two phases. Analysis 631 of a Davis Strait cross section (Fig. 13a) revealed that the period from 1989 to 1995 is 632 characterized in the model by an increased sea ice export from Baffin Bay that features 633 its highest value in 1990. In the same time, this period is characterized also by a strong 634 interannual variability with a drop in Davis Strait sea ice transport from 1991 to 1992. 635 Sea ice is transported by surface winds from the area of the Davis Strait to the location 636 of LSBCS and leads to a high fresh water input caused by sea ice melting (Fig. 13b). The 637 slow decrease in salinity seems to originate from a horizontal mixing process with a fresher 638 LSBCS. Furthermore, we see in the modeled data a freshening trend between 1988-1994 639 in a depth below 2000 m. This freshening trend has its origin already in the late 1960s 640 (not shown), from 1969 until 1994, when the salinity decreased gradually by 0.04 psu. 641 This value is comparable to other model results of  $Wu \ et \ al. \ [2004]$ . Observational studies 642 of Dickson et al. [2002] confirm a similar decrease of 0.012 psu per decade, for the period 643 1965-2000, in the salinity evolution of the the deep Labrador Sea and the entire deep 644 North Atlantic Ocean. Our model data indicate for the same period a salinity decrease 645 of 0.010 psu per decade. Dickson et al. [2002] account this salinity decrease to a continu-646 ously freshening of the overflow water masses due to an intensified freshwater input from 647 sea ice melting. Analysis of different cross sections within our model (e.g. Denmark Strait, 648 Iceland Scotland Ridge) (not shown) support this theory [Scholz et al., 2013]. Studies of 649 Yashayaev and Clark [2005] suggest that the freshening trend has stopped, and reversed 650 since the mid 1990s to an increasing salinity. Also the FESOM model results of [Scholz] 651

et al., 2013] feature an increase in the salinity of the overflow water masses since 1995.

The third phase (1995-1998) is dominated by an increased production of the uLSW and 653 a reduction of dLSW, which becomes isolated from the supply of cold and fresh surface 654 waters. The third phase goes along with a drop in the NAO-index from 1995-1996 (Fig. 655 4) in the model. The downward ventilation of the surface water and the renewal of dLSW 656 mode water in the winter time convection weakens. The previously formed homogeneous 657 dLSW body starts to slowly degenerate due to horizontal mixing with a warmer and 658 saltier LSBCS [Myers et al., 2007]. This leads to a gradual increase in temperature and 659 salinity which then extends over the entire fourth phase from 1999 to 2009. Also the 660 deep ocean levels below 2500 m, which mainly originate from the overflow water masses, 661 show a moderate increase in the salinity between 1995 and 2009. This slight increase in 662 the modeled salinity is connected to the observed reversal in the salinity trend after 1995 663 documented by Yashayaev and Clark [2005] and Yashayaev and Loder [2009]. 664

<sup>665</sup> Yashayaev and Loder [2009] have used observations to identify a period of "dense and <sup>666</sup> voluminous" LSW mode water between 1987-1994. Their mode of LSW extends into a <sup>667</sup> depth of 2400 m and is equivalent to the dLSW formation event captured by the FESOM <sup>668</sup> model. A second event, from 2000 to 2003, was described by *Kieke et al.* [2006, 2007] and <sup>669</sup> *Yashayaev and Loder* [2009] which reached depths of ~ 1300 m. This event is analogous <sup>670</sup> to the increased formation of the uLSW mode water in our model between 1999 to 2009 <sup>671</sup> (Fig. 3).

The increase in the modeled temperature and salinity (see Fig. 3b and 3c) between 500 m and 1500 m in the period from 2003 to 2008 is mostly caused by a sustained high reduction of the oceanic heat loss to the atmosphere. The warming and salinity increase for this

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period in the model could not be related explicitly to a horizontal mixing process with 675 the LSBCS. Fig 14 shows the time evolution of the observed (blue line) Hadley Center 676 sea surface temperature (SST) from Rayner et al. [2003] and the modeled FESOM SST, 677 both averaged over the Labrador Sea index area in winter (DJF) for the period 1988-2009. 678 Between 2003 and 2007 both time series feature a strong warming period in winter. The 679 observed SST time series indicates two exceptional high warming events around 2004 and 680 2005 that were above 125% of standard deviation (see dashed lines). The period from 681 2005 to 2006 is characterized in the model by a extreme negative phase of NAO (see Fig. 682 4). The modeled FESOM SST time series has five exceptional high warming events that 683 were above 125% of standard deviation, which run synchronously with the surface air 684 temperature of the COREv2 forcing field (black line). This resulted in the model in an 685 anomalously small heat flux out of the ocean and to a pronounced reduction of the surface 686 buoyancy forcing over the Labrador Sea between 2003 and 2007. The sustained loss in 687 the buoyancy forcing was strong enough to form (in the model) a kind of new class of 688 Labrador Sea Water that was lighter than uLSW, which is also the cause for the sinking 689 of the isopycnal  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$  and the decrease in the uLSW layer thickness within 690 this period. The drop in the surface buoyancy forcing results in an accumulation of heat 691 and salt in the intermediate layers, due to a reduction of the vertical ventilation and the 692 associated reduced renewal of the uLSW during winter time convection. After 2007, when 693 the SST in the model Labrador Sea decreases, enough surface buoyancy forcing is built 694 up. The system goes back to a more "normal" uLSW formation, as its shown in Fig 3a 695 and Fig. 4. Due to the missing preconditioning and weak surface heat loss before 2007 696 we are also not able to simulate the return of the deep convection to a depth of  $\sim 1800$  m 697

<sup>698</sup> for the winter 2007-2008 as described by *Vage et al.* [2009]. A comparable increase in the <sup>699</sup> temperature and salinity of the intermediate layers between 2003 and 2007 is documented <sup>700</sup> in the observations of *Yashayaev and Loder* [2009], with the difference that here the loss <sup>701</sup> in surface buoyancy forcing was in an order that still uLSW could be formed, as its proved <sup>702</sup> by the observational derived uLSW time series shown in Fig. 4.

Major changes of the model mixed layer depth of the deep water formation areas were 703 observed: i) the mixed layer depth in the Labrador Sea is reduced by  $\sim 60\%$  between 704 1988-1995 and 1996-2009 and ii) the decrease in the mixed layer depth of the Irminger Sea 705 is even more drastic ( $\sim 70\%$ ). The main deep convection cell in the Labrador Sea in our 706 model is shifted to the northwest. This bias could be explained by a lack of eddy-induced 707 mixing with the West Greenland Current in the Labrador Sea caused by the limited hor-708 izontal resolution as described by *Chanut et al.* [2008]. They argued that the existence of 709 eddies that mix with the warm Irminger Current, the so-called Irminger Rings, can limit 710 the northward extent of the main deep convection area. Also a reduced liquid freshwater 711 export from the Arctics through the CAA and Davis Strait as is observed in our model 712 setup (not shown) could lead to a densification and increased mixed layer thickness of the 713 modeled northwestern Labrador Sea [Wekerle et al., 2013]. 714

The results for the layer thickness of the LSW mode waters (Fig. 4) are also in good agreement with observations [*Curry et al.*, 1998; *Kieke et al.*, 2006, 2007; *Yashayaev*, 2007; *Yashayaev et al.*, 2007; *Rhein et al.*, 2011] except for the period 2003-2007 when the FESOM model switched to the formation of a "new" kind of lighter LSW, due to insufficiencies of the atmospheric COREv2 forcing, which resulted in a deviating modeled uLSW and dLSW layer thickness. The offset in the transition rate from the low

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uLSW layer thickness to the high uLSW layer thickness between model and observations 721 might give a hint regarding a missing feedback mechanism from the ocean surface to the 722 atmosphere within the model that could be related to the relatively sparse resolution 723 but also to further temporal deficits of the atmospheric forcing reanalysis data. In the 724 fourth phase, the observational derived dLSW layer thickness continues its decay at a 725 rate (47.2 m/yr) that is higher than the simulated dLSW decay rate (34.7 m/yr). The 726 dLSW was not renewed during the last two phases of its decay process. Thus, the decay 727 is caused by the general ocean circulation in the Labrador Sea and over a wider extent of 728 the North Atlantic Ocean. The deviating simulated dLSW decay rate within the fourth 729 phase gives a hint to further model deficiencies in simulating the ocean circulation as well 730 as the interaction of the central Labrador Sea with the surrounding currents and water 731 masses due to a still insufficient resolution. 732

Our simulated dLSW data reveal further that the system that was "charged with dense 733 water" in the period from 1991 to 1994, does not afterwards react instantaneous to a 734 change in the NAO index, due to the memory effect of the Labrador Sea described by 735 Lazier et al. [2002]. Based on observational data, Curry et al. [1998] suggest a general 736 time lag of 2-4 years between the NAO index and dLSW index. Our model results 737 indicate a smaller time lag of not more than 1-3 years. If the system is once "charged 738 with dense water" and a massive dLSW body with a corresponding weak density strati-739 fication is built up, like in the period from 1991-1994, then also a lower surface buoyancy 740 forcing can be sufficient enough to further produce dLSW as mentioned by Lazier et al. 741 [2002]. In this case the system acts as a filter to short time fluctuations in the atmospheric 742 forcing until the dLSW body further degenerates due to reduced surface buoyancy flux 743

<sup>744</sup> and mixing with the LSBCS.

The analysis of the vertical potential density profiles revealed, that during phase I and II 745 the model is able to reproduce a comparable vertical density structure. During phase III 746 with decreasing dLSW thickness and at the end of phase IV, the model revealed clear defi-747 ciencies in reproducing the measured vertical density structure. The observed deficiencies 748 in the modeled vertical profiles can be attributed in part to the much coarser vertical 749 resolution of the model compared to the observations but also due to the spatial bias in 750 the location of the convection center. The modeled vertical salinity profiles indicate a 751 general offset to lower values when compared to observations, as is also proven in *Scholz* 752 et al. [2013]. The comparison of the observed and modeled AR7W section data indicates 753 a deeper location of the isopycnal  $\sigma_{\theta} = 27.80 \text{ kg m}^{-3}$  in the model, which can be explained 754 by an insufficient production rate of Denmark Strait Overflow water (DSOW), which is 755 usually the main contributor to the densest and deepest water mass in the Labrador Sea. 756 The deficit of the model setup in producing DSOW is discussed in more detail by *Scholz* 757 *et al.* [2013]. 758

Different authors [e.g., Marshall and Schott, 1999; Pickart et al., 2002, 2003; Lazier et al., 759 2002] assume that there is a set of required conditions in order to favor deep convection in 760 the ocean: a weakly stratified water mass, a closed cyclonic circulation to trap the water 761 masses and to prevent the surface waters from being advected, and the most important 762 condition is a strong atmospheric winter time buoyancy forcing [Pickart et al., 2003]. To 763 investigate the atmospheric forcing conditions within our model we have applied a CMA 764 between the dLSW index and the SLP field. We could clearly identify in the model that 765 a pattern in the SLP field which has a low pressure center over Iceland is one of the main 766

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triggers for the variability in the model LSW formation. Dickson et al. [1996] already 767 assumed that the variability in the LSW formation, on longer time scales, is mainly in-768 fluenced by the atmospheric forcing. Based on CMA it is shown that a high dLSW index 769 (Fig. 7) in our model setup is associated with a SLP pattern which resembles the positive 770 phase of NAO: a deepened Icelandic Low and a strong Azores High. Associated to this 771 SLP dipole-like structure is the advection of dry and cold polar air from the Canadian 772 landmass over the relatively warm Labrador Sea, which induces an enhanced heat loss, 773 leading to the formation of dense surface water masses and increased deep convection as 774 described by a variety of authors [e.g., Dickson et al., 1996; Pickart et al., 2003]. 775

Furthermore, we show from the analysis of the surface density flux that our index definition 776 area, which is marked by the dashed lines in Fig. 12, is mostly dominated by the thermal 777 contribution of the surface density flux, where the sensible heat flux is the main contrib-778 utor. In our simulation, the haline contributions, especially in the high dLSW phase, are 779 determined largely by a regional contribution of sea ice melting that are confined to the 780 LSBCS. This is in contradiction to the explanations of *Dickson et al.* [1988] and *Belkin* 781 [2004], who suggested that the central Labrador Sea is strongly influenced by propagating 782 negative salinity anomalies which are induced by melting of sea ice from different source 783 regions, such as the Arctic Ocean or the Canadian Archipelago. We showed that within 784 our model setup the central Labrador Sea is mostly shielded from the haline contributions 785 of the surface density flux by the LSBCS. We detect only a minor interaction between 786 the central Labrador Sea and the LSBCS by lateral mixing. The lack of lateral mixing 787 with the LSBCS could be caused by an absence of eddy-induced mixing with the west 788 Greenland Current [Katsman et al., 2004], due to an insufficient eddy resolving resolution 789
<sup>790</sup> in the model Labrador Sea. *Katsman et al.* [2004] described in an idealized regional model <sup>791</sup> study that the existence of eddies, especially the so-called Irminger Rings are crucial for <sup>792</sup> the lateral mixing and restratification process in the central Labrador Sea.

## 7. Conclusions

In this paper a FESOM model setup is used, which provides a compromise solution 793 between a global coverage and a regional focus on the Labrador Sea. The FESOM ap-794 proach has the advantage that it is not limited by artificial lateral boundary conditions 795 and allows at relatively moderate computational costs to simulate an adequate regional 796 deep water formation and its potential global impact. We demonstrate that this model is 797 suitable to simulate the spatio-temporal evolution of the layer thicknesses of the different 798 LSW modes. The model succeeds in simulating the evolution of LSW indices that is in 799 agreement with observed time series of Curry et al. [1998]; Kieke et al. [2006, 2007] and 800 Rhein et al. [2011]. Based on these indices we show that the Labrador Sea in our global 801 model setup can act as a low-pass filter to fluctuations in the NAO index, so that only 802 persistent NAO events correlate with the dLSW index. 803

The period 2003-2007 indicates some discrepancies between the modeled and observational 804 derived uLSW layer thickness. We could related these deviations to regional shortcom-805 ings in the COREv2 surface air temperature forcing field and discovered an extended 806 warming period between 2003 and 2007 in the COREv2 data set [Large and Yeager, 2009] 807 when compared to observational Hadley Center SST [Rayner et al., 2003]. This slightly 808 extended warm period has a large effect on the modeled hydrography in the Labrador 809 Sea and led to the production of an unrealistic light LSW. This demonstrates how ocean 810 model evaluation relies not only on spatial but also temporal correct forcing data. 811

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Our global model setup also confirms a dominance of the atmospheric circulation as one of the main triggers for the variability in the dLSW and uLSW layer thickness, which affects the deep water formation by increased heat loss and by intensified mixing. Our analysis of the thermal and haline surface density flux indicate that the central Labrador Sea is dominated by the thermal contributions of the surface density flux, while the haline contributions, that are dominated by the effects of sea ice melting, are limited in our model setup to the area of the LSBCS.

A next logical step will be the use of our model approach for further studies regarding the variability of deep water mass formation areas, like the Irminger Sea or Greenland Sea and their influence on the large-scale ocean circulation. In order to improve the lateral mixing processes in the Labrador Sea one needs to further increase the local resolution to be able to resolve eddy processes that could affect the deep water formation in the Labrador Sea.

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Figure 1. Regional resolution and bottom topography of the global model setup in the Northwest Atlantic Ocean. The dashed line marks the position of the AR7W cruise line [Lazier et al., 2002].



Figure 2. (a): Mixed layer depth ( $\geq 100$  m) for the month of March, shown for the North Atlantic and averaged over the period 1988-2009. Superimposed is the bottom topography (black contour line) of the model and the area (dashed line) considered for the time evolution indices shown in Fig. 3 and Fig. 4.

fb<sub>R</sub>(A): F March mixed layer depthcenneses get, over 15, 122, 25, 1988-1995 (b) and 1996-2000 fc). Please note the different scales in (a) vs. (b) and (c).



Figure 3. Time evolution of the monthly mean potential density (a), temperature (b) and salinity (c) over depth for the central Labrador Sea (dashed contour indicated in Fig. 2) for the years 1988-2009. Thick white lines indicate the isopycnals  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$ ,  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$  and  $\sigma_{\theta} = 27.80 \text{ kg m}^{-3}$  to separate between light (uLSW) and dense (dLSW) Labrador Sea Water.



Figure 4. Temporal evolution of the layer thickness of upper and deep Labrador Sea Water (LSW) for the years 1988-2009. Blue: upper LSW (uLSW), bounded by the isopycnals  $\sigma_{\theta} = 27.68 - 27.74 \text{ kg m}^{-3}$ ; Red: deep LSW (dLSW), bounded by the isopycnals  $\sigma_{\theta} = 27.74 - 27.80 \text{ kg m}^{-3}$ . The monthly values and the 3-years-running-mean filtered dLSW and uLSW data of the model run are shown by thin and thick lines, respectively. The dLSW and uLSW time series derived from observations are shown as filled circles including the uncertainties [*Rhein et al.*, 2011]. Solid (model) and dashed (observed) dark blue and dark red lines indicate the slope of the decreasing dLSW and increasing uLSW layer thickness for the period 1995-1998, respectively. The positive and negative phase of the January, February and March averaged normalized NAO index derived from the COREv2 data set [*Large and Yeager*, 2009] are indicated by dark- and light grey areas, respectively. Phases of increasing (I), maximal (II), decreasing (III) and minimal (IV) dLSW layer thickness are separated by vertical lines.



Figure 5. Vertical depth profiles of observed (dashed lines) potential density averaged over the AR7W cross section (http://cchdo.ucsd.edu) and modeled (JJA, solid lines) potential density averaged over the Labrador Sea index area (see Fig. 2a) for various years in the phase of increasing (I), maximal (II), decreasing (III) and minimal (IV) dLSW layer thickness (see Fig. 4). The density range of uLSW and dLSW is indicated by light- and dark grey areas, respectively. Horizontal bars present the observed (hashed) and modeled (solid) uLSW and dLSW layer thickness estimated from the density profile data.



**Figure 6.** Vertical depth profiles of observed (dashed lines) temperature averaged over the AR7W cross section (http://cchdo.ucsd.edu) and modeled summer (JJA, solid lines) temperature averaged over the Labrador Sea index area (see Fig. 2a) for various years in the phase of increasing (I), maximal (II), decreasing (III) and minimal (IV) dLSW layer thickness (see Fig. 4).



**Figure 7.** Vertical depth profiles of observed (dashed lines) salinity averaged over the AR7W cross section (http://cchdo.ucsd.edu) and modeled summer (JJA, solid lines) salinity averaged over the Labrador Sea index area (see Fig. 2a) for various years in the phase of increasing (I), maximal (II), decreasing (III) and minimal (IV) dLSW layer thickness (see Fig. 4).



Figure 8. Potential density of the observed [WOCE Data Product Committee, 2002] (a)-(b) and modeled (c)-(d) AR7W cross sections in June and July for years with a thicker (1993, left column) and thinner (2002, right column) dLSW layer thickness. Thick white lines indicate the  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$ ,  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$  and  $\sigma_{\theta} = 27.80 \text{ kg m}^{-3}$  isopycnals to separate between uLSW and dLSW.

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Figure 9. Horizontal mean potential density of the model data in the northwest Atlantic Ocean averaged over a depth from 500 m - 1000 m. In (a): June 1993 and (b): July 2002 for events with thicker and thinner dLSW layer thickness, respectively. Thick lines indicate the  $\sigma_{\theta} = 27.68 \text{ kg m}^{-3}$ ,  $\sigma_{\theta} = 27.74 \text{ kg m}^{-3}$  and  $\sigma_{\theta} = 27.80 \text{ kg m}^{-3}$  isopycnals. The dashed line marks the location of the AR7W cruise line.

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Figure 10. Detrended January, February and March averaged dLSW index (solid black line) for the entire simulation period from 1958 to 2009 and the 75% of standard deviation limits (dashed lines). Years when the dLSW layer thickness was above and below 75% of standard deviation are marked by red and blue bars, respectively. These time slices are used in the composite map analysis (CMA) (Figs. 11, 12 and 13b). Dark and light grey areas in the background indicate the positive and negative phases of the detrended normalized NAO index averaged over January, February and March and derived from the COREv2 data set [*Large and Yeager*, 2009], respectively. Std: standard deviation.

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Figure 11. Composite maps of the winter (DJF) seasonal atmospheric surface temperature (left column) and net heat flux to the ocean (right column, downward heat flux positive) with the January, February and March averaged dLSW index (see Fig. 10). (a)-(b): high composite maps, (c)-(d): low composite maps and (e)-(f): difference between high and low composite maps. Contour lines show the composite maps of SLP (units SLP: hPa). Black and red contour lines mark the low and high pressure systems, respectively. The 1000 m bathymetry is indicated by a D R A F T December 26, 2013, 12:25pm D R A F T dotted contour line.



Figure 12. Composite maps of the winter (DJF) seasonal thermal (left column) and haline (right column) surface density flux (downward density flux = surface density gain: positive values) with the January, February and March averaged dLSW index (see Fig. 10). (a)-(b): high composite maps, (c)-(d): low composite maps, and (e)-(f): difference between high and low composite maps (units are  $10^{-6} \text{ kg/(m^2s)}$ ). The dashed and dashed-dotted lines mark the area of the LSW index definition and the cross-section used in Fig. 13b, respectively. The 1000 m D R A F T December 26, 2013, 12:25pm D R A F T bathymetry is indicated by a solid contour line. Note the different scaling for the left and right



**Figure 13.** (a): Time evolution of winter (DJF) seasonal sea ice transport through Davis Strait for the period from 1988-2009. (b): difference composite map of the winter (DJF) salinity of a northwest to southeast vertical cross section through the Labrador Sea (Fig. 10) with the January, February and March averaged dLSW index.



Figure 14. Mean winter (DJF) observational derived Hadley Centre v2 (blue line, *Rayner et al.* [2003], http://www.metoffice.gov.uk/hadobs/hadsst2/) and modeled FESOM (red line) sea surface temperature (SST) averaged over the Labrador Sea index area (see Fig. 2a). Mean values and standard deviations for the period 1958-2009 are indicated by empty and filled triangles, respectively. The above 125% of standard deviation limit is indicated by dashed lines. Years when the SST in the Labrador Sea was above this limit are highlighted by circles. The different time spans when the FESOM and Hadley Center SST was above 125% of standard deviation are highlighted by light and dark grey areas, respectively. The black line represents the time evolution of the COREv2 surface air temperature forcing field averaged over the Labrador Sea index area.


























































