1	Climate variability on the south-eastern Tibetan Plateau since the Late Glacial based on a
2	multiproxy approach from Lake Naleng – comparing pollen and non-pollen signals
3	
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19	Highlights:
20	- Comparison of multi-proxy downcore variations with reconstructed MAP and MAT
21	- TOC, C/N, $\delta^{13}\text{C}_{\text{org}}$ CIA and Sr/Ba are related to changes of precipitation
22	- Doubling of Holocene precipitation in comparison to Late Glacial conditions
23	- Diminishing moisture availability from south to north on the eastern TP in the Holocene
24	

- 25 Keywords: Element concentrations; Grain-size; Principal component analysis; Temperature
- 26 and precipitation reconstructions; Weathering; Asian monsoon; Tibetan Plateau
- 27 Abstract
- 28

29	A multi-proxy Late Glacial environmental record is described from Lake Naleng (31.10°N;
30	99.75°E, 4200 m above sea level), situated on south-eastern Tibetan Plateau to gain deeper
31	insights into the hydrological and palaeoclimate development since 17.7 cal ka BP.
32	Palynological reconstructions of variations in mean annual precipitation (MAP) and
33	temperature (MAT), sedimentological data and sediment chemistry including weathering
34	indicators provide a multi-faceted picture of local and regional environmental changes since
35	the Late Glacial. Principal component analyses of all parameters provide information on
36	interrelationships between each parameters, which help to evaluate their traceability to
37	temperature and precipitation and to estimate their usability as proxy indicators for local
38	and or regional variations.
39	During the Late Glacial from 17.7 to 14.0 cal ka BP Lake Naleng experienced cold and dry
40	climate conditions with low biological productivity and supply of unaltered fine-grained
41	material due to the high supply of glacier milk. During the second half of the Late Glacial,
42	climate conditions changed abruptly: increases in MAT (from –4 to –2.2°C) and MAP (from
43	500 mm to 820 mm) between 14.0 and 13.0 cal ka BP indicate a climate amelioration. This
44	time interval can be correlated to the Bølling/Allerød (B/A) warming period in the North
45	Atlantic region and is followed by the Younger Dryas cold reversal indicated by abrupt
46	decreases of MAT (from –2.2 to –5°C) and MAP (from 820 to 650 mm). The onset of the
47	Holocene at about 11.5 cal ka BP is indicated by rises in reconstructed MAT (from –5 to
48	about –0.3°C) and MAP (from 600 mm to 950 mm), which led to an increased supply of

- 49 weathered material and higher biological productivity. Between 5.0 and 3.0 cal ka BP, MAT
- 50 increases to about 0.2°C and MAP rises to maximum values of about 1000 mm, followed by
- slightly decreasing MAT and MAP between 3.0 and 0 cal ka BP.
- 52 The biogeochemical parameters (total organic carbon (TOC), C/N, $\delta^{13}C_{org}$) and weathering
- 53 indicators (e.g. the chemical index of alteration (CIA) and Sr/Ba) are directly (erosion of soils)
- 54 or indirectly (changing provenance) related to moisture availability on the south-eastern TP
- and shows matching regional climate oscillations since the Late Glacial. In comparison to
- 56 other Late-Glacial records from the TP, MAP reconstructions from Lake Naleng indicate
- 57 wetter climate conditions in the south-eastern part of the TP and dryer conditions farther
- 58 away from moisture sources.
- 59 1. Introduction
- 60

The Tibetan Plateau (TP) is the largest elevated landmass on Earth and triggers the onset of the monsoon circulation by increasing the insolation-driven thermal contrast between land and ocean (Prell and Kutzbach, 1992). Furthermore, the TP is very important for the water supply of billions of people, because it is the source area of the largest rivers in central Asia (Huang et al., 2011). Understanding regional natural landscape variability on the TP and adjacent areas will therefore provide insights into low-latitude climatic systems, and will enable better predictions of future climate change.

Because of its climatic impact on the atmospheric circulation system, the TP is a key region for palaeoclimate research. Most published palaeoclimate studies on the TP focus on the Holocene, and records including the Late Glacial are still rare (Herzschuh, 2006; Zhang and Mischke, 2009). A temporally and spatially extended data set of climate records from the

72 Tibetan Plateau is useful for a regional assessment of the Late Glacial and Holocene climate

73	and for a discussion of spatial heterogeneities of climate change on the TP (Shen et al., 2005;
74	Mischke and Zhang, 2010). In this context, lake archives from the TP provide valuable
75	information about environmental and climate change since the Late Glacial. However,
76	different and interacting processes affect lake systems on the TP: hydrological and tectonic
77	changes in the catchment, the existence of glaciers, or variations in precipitation,
78	temperature and evaporation. Commonly used palaeolimnological proxies differ in the
79	degree to which they are known to trace specific climatic parameters. For instance,
80	individual proxies from the same site may suggest inconsistent climate reconstructions as
81	they can reflect either local within-lake variations, regional variations or both (Wischnewski
82	et al., 2011; Wang et al., 2014). Thus, validation procedures are needed to establish a
83	reliable relationship between a climatic variable and a proxy indicator (IPCC, 2007).
84	Our objectives in this study were threefold. First, this study from Lake Naleng on the south-
85	eastern TP presents quantitative palynological reconstructions for mean annual precipitation
86	and temperature since 17.7 cal ka BP in comparison to other quantitative records from the
87	eastern TP in order to determine the strength of, and the forcing mechanisms behind,
88	climate oscillations in the Late Glacial and Holocene. Second, we present weathering
89	indicator records (CIA, Sr/Ba, Y/AI, AI/Rb) to estimate the degree of weathering since the
90	early Late Glacial. Third, we compared the palynological reconstructions with all newly
91	available sedimentological and biogeochemical proxies (grain size, total organic carbon
92	(TOC), C/N, $\delta^{13}C_{ m org}$) from Lake Naleng by principal component analyses to evaluate their
93	traceability of temperature and precipitation and to estimate their usability as proxy
94	indicators for local or regional environmental and climate variations.

95 2. Regional Setting

97 Lake Naleng (also referred as Lalong Cuo; 31.10°N, 99.75°E; Fig. 1) is situated in a glacial 98 tongue basin, 4200 m above sea level (asl) on the south-eastern TP in the Shaluli Shan 99 mountain range in the Sichuan Province of the People's Republic of China. The open freshwater lake (specific conductivity of 0.045 mS/cm) has a surface area of 1.7 km², a 100 catchment area of 470 km² and a maximum water depth of 36.7 m (Kramer et al., 2010a, b, 101 102 c). The lake has a Secchi depth of 2.9 m (determined 19.09.2003), a pH of 8.1 and a dissolved 103 oxygen content of 6.9 mg/L, and is classified as mesotrophic water body (Kramer et a., 104 2010b). Today, the main inflow to Lake Naleng occurs through a major river channel on the 105 northern side of the lake. Furthermore, several small streams from the surrounding 106 mountains reach the lake. They originate from an elevation of approximately 4900 m asl 107 (Kramer et al., 2010b). The outlet at the southern edge drains towards the Xinlong Plateau. 108 Lake Naleng is currently not affected by glaciers but erratic boulders within the catchment 109 area and marginal moraines near the lake-shore indicate its origin as glacially-formed basin 110 (Graf et al., 2008). The Shaluli Shan mountain area includes both high-relief mountains and 111 relatively low-relief upland landscapes. The regional bedrock geology is mainly composed of 112 Triassic flysch sequences of the Songpan-Garze terrane and Triassic volcanic and 113 sedimentary rocks, intruded by Jurassic plutons (Ouimet et al., 2010). However, the 114 catchment area of the lake is composed of Miocene granite and granodiorite (Reid et al., 115 2005; Graf et al., 2008; Strasky et al., 2009). The main geomorphological units in this area 116 are the Haizishan Plateau and the Xinlong Plateau (Fu et al., 2013a).

117 The study area is mainly influenced by the Indian summer monsoon, which transports warm 118 and humid air masses from the Gulf of Bengal to the TP (Domrös and Peng, 1988). During 119 winter, dry and cold air masses prevail in the investigation area, driven by the anticyclone 120 over Mongolia. Mean January temperature is -3.9°C and mean July temperature is 14.3°C.

121 Most (90%) of the annual rainfall (560 mm) occurs during the summer monsoon season 122 between May and October (measured at meteorological station Garzê, located about 80 km 123 north-east of Lake Naleng, 31.62°N, 100.00°E, at 3522 m asl).

Lake Naleng is located at the upper tree-line and the vegetation between 3200 and 4400 m asl consists of conifer forests with *Abies squamata* and *Picea likiangensis*, and alpine meadows. The vegetation above the subalpine ecotone is mainly dominated by *Kobresia* species and *Polygonum sphaerostachyum* (Kramer et al., 2010b, c). Detailed information on the vegetation composition in the study area is given by Kramer et al. (2010a, b, c). The catchment area of the lake is used for grazing by yaks and sheep during summer.

130

131 Fig.1

132 3. Materials and Methods

133

134 3.1 Sediment core and dating

135

136 A 1781 cm long sediment core was recovered from the centre of Lake Naleng (Fig. 1) during 137 a field campaign in February 2004 from 32 m water depth using an Uwitec (Niederreiter 60) 138 piston corer system on the frozen lake surface (Kramer et al., 2010a). The age-depth model 139 for the sediment core is based on AMS (accelerator mass spectrometry) radiocarbon dating 140 of ten bulk organic carbon samples at the Leibniz Laboratory, Kiel. The humic acid fraction 141 and leaching residue were analysed separately. The humic acid fraction was used for the 142 construction of the age-depth model because this fraction is relatively insensitive to the re-143 deposition of older carbon or to the input of terrestrial material (Abbot and Stafford, 1996; 144 Kramer et al., 2010b). The determined lake reservoir effect of 1500 cal a BP was calculated

145 using two dated samples near the top of the sediment core and was subtracted from the original ¹⁴C dating results (Kramer et al., 2010a, b). The reservoir effect is a common 146 147 phenomenon on the TP and the determined age of about 1500 years corresponds to other 148 lake studies on the TP (Yu et al., 2007; Hou et al., 2012; Mischke et al., 2013). Radiocarbon 149 ages were calibrated using CALIB 5.0.1 (Stuiver and Reimer, 1993; Reimer et al., 2004). The 150 sedimentation rate for the lower part of the sediment core (between 1800 and 950 cm) is 151 about 2.2 mm/a. For the upper part (between 950 and 0 cm) the rate ranges between 1.3 to 152 0.6 mm/a. A detailed description of the age-depth model and the stratigraphy of the 153 recovered sediment core from Lake Naleng is given by Kramer et al. (2010a).

154

155 3.2 Analytical methods

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157 The laboratory analyses were conducted on 120 samples taken at intervals between 4 and 158 10 centimetres at the State Key Laboratory of Lanzhou Institute of Geology (Chinese 159 Academy of Sciences). The determination of the carbonate content was conducted at 10-cm 160 intervals using Chittick Apparatus. The procedure is based on the volumetric determination 161 of carbon dioxide produced by the reaction of carbonates with 1N HCl (Dreimanis, 1962). 162 Contents of carbon (C), TOC, and nitrogen (N) were determined on duplicate powdered 163 samples using a Vario EL III (Elementar Analysensysteme GmbH, Hanau, Germany). For the 164 determination of TOC, the sediment samples were pre-treated using 1N HCl to remove 165 calcium carbonate.

Sub-samples were treated with 100% phosphoric for the determination of the organic carbon stable isotope composition ($\delta^{13}C_{org}$) using a Finnigan 252 mass spectrometer. The isotopic values are reported as delta per mil notation (δ , ‰) notation, relative to V-PDB. The

169 analytical error is <0.3‰ for eight repeated measurements. Magnetic susceptibility 170 measurements were performed at 6-cm intervals using a Bartington Instruments Ltd MS2 171 Magnetic Susceptibility Meter linked to an MS2B Dual Frequency Sensor (470 and 4700 Hz). 172 Element concentrations were determined by X-ray fluorescence spectroscopy (XRF) using a 173 MagiX PW2403 at 4-cm intervals. The estimated accuracy is 1-2% for major elements and 5% for trace elements. The CIA was calculated to determine the weathering intensity in the 174 175 catchment area. Baumann et al. (2014) showed that the CIA is the most suitable weathering 176 index in permafrost ecosystems on the Tibetan Plateau. The CIA is defined as: 177 Al₂O₃/(Al₂O₃+CaO+Na₂O+K₂O) assuming that CaO comes mainly from silicates (Nesbitt and 178 Young, 1982). This assumption applies to Lake Naleng due to the lack of calcareous rocks in 179 the catchment area and the low carbonate content of the lake sediments (<1%). The 180 element ratios Y/AI and AI/Rb are commonly used as physical weathering indicators 181 (Krauskopf and Bird, 1995; Lucchini et al., 2003). Furthermore, the Sr/Ba ratio was used for weathering reconstructions, because Sr and Ba are included in feldspars of granites, and the 182

183 Sr/Ba ratio traces the mobility of Sr relative to Ba (Liu et al., 1993).

Grain-size analyses were performed for the 0.02–2000 μ m fraction using a Malvern Mastersizer 2000 laser granulometer at 4-cm sampling intervals. The samples were pretreated using: (1) H₂O₂ to remove organic matter and soluble salts, (2) 10 % HCl to remove calcium carbonate, and (3) Na-hexametaphosphate to disperse aggregates.

A pollen-based calibration (transfer) function for eastern continental Asia from Cao et al. (2013) was applied to the pollen assemblages from the sediment core of Lake Naleng for the reconstruction of mean annual precipitation (MAP) and mean annual temperature (MAT) (Kramer et al., 2010a). A detailed description of the used dataset and the applied calibration function is given by Herzschuh et al. (2014).

193	Principal component analysis (PCA) was used and Pearson's correlation coefficient (r)
194	calculated following the normalisation of the dataset using the OriginPro 9.0 software
195	package. Missing data as a result of the different sampling resolutions between 4 and 10 cm
196	intervals were interpolated using a linear interpolation algorithm before conducting PCA.

197 4. Results

- 198
- 199 4.1 General changes in TOC, C/N ratio, $\delta^{13}C_{org}$, MAP and MAT
- 200

The TOC contents generally range between 0.2 and 12% (Fig. 2). TOC is low below 950 cm (before 14.5 cal ka BP) and increases to 11% at 700 cm (8.3 cal ka BP), followed by fluctuating values between 6-12% (Fig. 2). The C/N ratio generally ranges between 2 and 17 and shows a similar pattern as TOC (Fig. 2). Below 1000 cm (before 14.0 cal ka BP) the C/N ratio is mostly below 8 rises to 13 at 1050 cm (14.3 cal ka BP). From 1050 cm to 0 cm (between 14.0 to 0.0 cal ka BP) the C/N ratio range between 10 and 17.

The $\delta^{13}C_{org}$ values of the sediment core range between -31 and -26‰ and show an inverse pattern to TOC and the C/N ratio (Fig. 2). Below 1000 cm (14.5 cal ka BP) the values range between -28.5 and -25‰. From 1000 cm to the top (between 14.5 to 0 cal ka BP) the $\delta^{13}C_{org}$ values range between -29.5to -27.5‰.

The pollen-based reconstructed MAP ranges between 371 and 973 mm (Fig. 2). Below 1000 cm (before 14.5 cal ka BP) the values are relatively low and range between 400 and 580 mm. From 1000 to 730 cm (14.5 to 8.5 cal ka BP) the values rise to a maximum of 973 mm and slightly decrease to 780 mm at about 600 cm (7.5 cal ka BP). Between 600 and 0 cm (since 7.5 cal ka BP), MAP remains relatively high and range between 820 and 960 mm.

Reconstructed MAT ranges between -5.1 and 0.3°C (Fig. 2). Below 820 cm (before 11.0 cal ka BP) MAT is slightly decrease from about -2.0 to -5.0°C. From about 820 to 700 cm (14.3 to about 8.5 cal ka BP) the values rise to 0.2°C and show a subsequent decreasing trend between 700 and about 520 cm (8.5 to 6.8 cal ka BP) to a minimum value of -5.1°C. From 520 to 0 cm (6.8 to 0.0 cal ka BP) MAT increases to a maximum value of 0.3°C.

221

222 Fig. 2.

4.2 General changes in grain-size, weathering indicators, magnetic susceptibility, and

224 element concentrations and ratios

225

The median grain size ranges between 4 and 24 μ m (Fig. 3). Grain-size variations are large in the lowermost part between 1780 and 1400 cm (between 17.7 and 16.4 cal ka BP). The median grain size ranges between 4 and 16 μ m in the section between 1400 and 1000 cm (16.2 to 14.3 cal ka BP). Above, the median varies in a relatively narrow range between 8 and 12 μ m.

231 In the lowermost part of the sediment core between 1780 and 1600 cm (17.7 to 17.0 cal ka 232 BP) the clay content (<2 μ m) ranges between 10 and 25%, silt content (2–63 μ m) ranges 233 between 72 and 90%, and the sand content (>63 μ m) ranges between 0 and 25% (Fig. 3). 234 Between 1600 and 1450 cm (between 17.0 and 16.6 cal ka BP) the clay content shows high 235 variability and rises at 1500 cm (16.8 cal ka BP) to a maximum value of 27%. Silt content 236 ranges between 60 and 96% and drops at 1650 cm (16.6 cal ka BP) to a minimum of 40%. 237 The sand content is relatively low between 0 and 10% and rises at 1450 cm (16.6 cal ka BP) 238 to a maximum value of 58%. From 1450 to 1000 cm (16.6 to 14.3 cal ka BP) the clay content 239 shows an increase from 4 to about 22%, whereas silt fluctuates between 60 and 90% and

sand between 0 and 22%. Between 1000 and 800 cm (between 14.3 and 10.1 cal ka BP) the
clay content decreases from 22% to 5% whereas the silt content increases from 80 to 92%.
Sand content ranges between 0 and 3% interrupted by a relatively high value of 20% at
about 890 cm (11.1 cal ka BP). From 800 to 0 cm (10.1 to 0 cal ka BP) the grain-size fraction
is relatively stable. Clay content ranges between 10 and 15%, silt ranges mainly between 80
and 90%, and sand ranges between 2 and 20%.

Below 1000 cm (before 14.3 cal ka BP) all weathering indicators show similar patterns with relatively low values (Fig. 4), except Al/Rb. Between 1000 and 0 cm (between 14.3 and 0.0 cal ka BP) increase and are remain high until the top, except Y/Al and Al/Rb, which show a variable pattern.

250 The oxide compounds Fe₂O₃ and MgO show a similar uniform pattern with relatively stable 251 values below 1000 cm (before 14.3 cal ka BP), interrupted by a sharp increase at 1450 cm 252 (16.6 cal ka BP), followed by an increase from 1000 to about 600 cm (between 14.3 and 7.3 253 cal ka BP) and relatively high concentrations afterwards (Fig. 5). SiO₂, Al₂O₃, CaO, Na₂O, and 254 K₂O show uniform patterns with relatively high values below 1000 cm (before 14.3 cal ka BP) 255 interrupted by a sharp decrease at 1450 cm (16.6 cal ka BP). From 1000 to about 600 cm 256 (14.3 to 7.3 cal ka BP) the concentrations abruptly decrease followed by relatively low values 257 until the top of the sediment core.

Below 1000 cm (before 14.3 cal ka BP) Mg/Ca ratios show low values (Fig. 5). Highest Mg/Ca values occur between 1000 and 800 cm (between 14.3 and 10.1 cal ka BP). Between 800 and 0 cm (between 10.1 and 0 cal ka BP) Mg/Ca ratios show a strong variability and slightly decrease.

The low frequency magnetic susceptibility (χ_{LF}) ranges between 0.8 and 3.0 x 10⁻⁷m³ kg⁻¹ (Fig.4). Below 1000 cm (before 14.3 cal ka BP) the χ_{LF} values are relatively low and range

264	between 1.2 and 1.8 x 10^{-7} m ³ kg ⁻¹ , interrupted by an abrupt rise at 1450 cm (16.8 cal ka BP).
265	From 1000 to 900 cm (14.3 to 12.0 cal ka BP) the values increase to around 2.0 x 10^{-7} m ³ kg ⁻¹
266	and remain relatively high between 900 to 300 cm (between 12.0 and 2.8 cal ka BP). Above,
267	the values decrease to a low level of about 1.0 x 10^{-7} m ³ kg ⁻¹ .

269 Fig. 3-5

270

4.3 PCA of sedimentological, geochemical, and magnetic properties and transfer-function
derived MAP and MAT

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274 The PCA biplot of Figure 6 shows the interrelationships between different variables analysed 275 for the Lake Naleng record. The first two eigenvectors (PCA 1 and PCA 2) account for 85.8% 276 of the total variance. PCA 1 accounts for 53.1% of the total variance and is mainly controlled 277 by MAP, TOC, C/N, Fe₂O₃, Al/Rb, CIA, and Sr/Ba at the positive end and Na₂O₃, CaO, K₂O, SiO₂ and $\delta^{13}C_{org}$ at the negative end (Fig. 6). PCA 2 accounts for 32.7% of the total variance and is 278 279 mainly controlled by χ_{LF} , MgO, and Y/Al at the positive end and MAT and Mean grain size at 280 the negative end. The correlation between pollen-related and non-pollen data is highest for 281 MAP and TOC (r=0.95), MAP and Sr/Ba ratios (r=0.91), and MAP and C/N ratios (r=0.86, Fig. 282 6). Highly anti-correlated are SiO₂ and Fe₂O₃ (r=-0.88) and SiO₂ and CIA (r=-0.75). The low 283 significance of the positions of the grain-size fractions in the biplot is indicated by short 284 arrows (Fig. 6).

285 Fig. 6

286 5. Discussion

287 5.1 Development of Lake Naleng since the Late Glacial

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289 5.1.1 Late Glacial (1780–900 cm, 17.5–11.5 cal ka BP)

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291 Pollen-based reconstructions of MAP (around 600 mm) and MAT (about -3°C) indicate a 292 relatively dry and cold climate during the Late Glacial. C/N values mainly below 10 suggest 293 that the remains of aquatic organisms predominate in the Naleng Co record and point to a 294 very low input of terrestrial plant material (Cummins and Klug 1979; Meyers 1994; Meyers 295 and Teranes 2001. According to Kasper et al. (2015), Shen et al. (2005) relatively low TOC 296 values indicate a low biological productivity within the lake during the Late Glacial. 297 Relatively high $\delta^{13}C_{org}$ values (>-25‰) during the Late Glacial suggest that the bulk of the 298 organic matter derived from the growth of macrophytes which was already described by 299 Kramer et al. (2010b). Pollen from macrophytes such as Hippuris, Myriophyllum and 300 Potamogeton, and high percentages of algae (Botryococcus, Spirogyra and Pediastrum) were 301 recorded (Kramer et al., 2010b). 302 Grain-size variations within the lowermost sediments suggest alternating modes of detrital 303 sediment supply. The relatively high clay content of about 12-20% and the high 304 concentrations of Al_2O_3 , SiO_2 , Na_2O and K_2O at about 17.5 and 15.5 cal ka BP are possibly 305 related to the input of fine-grained detrital material originating from glacial erosion. Rock 306 flour produced by glacial abrasion has a significant proportion of clay to silt-sized particles 307 (Fenn and Gomez, 1989; Benson et al., 1998; Rosenbaum and Reynolds, 2004) and is typical 308 for unaltered detrital sediments in a partly glaciated, alpine catchment (Zhang and Mischke,

309 **2009**). The assumption of the influx of unaltered rock flour is supported by relatively low

weathering indicator values and by ¹⁰Be age determinations from inner, middle, lateral, and outer end moraines near Lake Naleng which suggest that the upper catchment was covered by glaciers during the Late Glacial (Strasky et al., 2009; Fu et al., 2013a). The calculated and erosion-corrected exposure ages for moraines in the outlet area of Lake Naleng range between 21.5 and 17.5 ka BP and represent the period of basin formation by an advancing glacier during the global Last Glacial Maximum (LGM; Strasky et al., 2009, Fig. 1). We therefore assume, that Lake Naleng received glacially-derived unaltered detrital sediments

317 from local sources during the Late Glacial.

318 The climate conditions changed abruptly during the second half of the Late Glacial. 319 Increasing MAT (from -4 to -2.2°C) and MAP (from 500 mm to 820 mm) between 14.5 and 320 13.0 cal ka BP indicate a climate amelioration. This time interval probably corresponds to the 321 Bølling/Allerød (B/A) warming period in the North Atlantic region (Kramer et al., 2010b). 322 Based on the observed temperature gradient on the TP of 0.5°C per 100 m difference in elevation (Böhner, 1994), today's estimated MAT is 1.6°C at Lake Naleng (Kramer et al., 323 324 2010c). Increasing TOC contents also reflect a climatic change to warmer and moister 325 conditions and point to amplified lake productivity as a consequence of the climate 326 amelioration.

327	The increase of MAP correlates with increasing CIA values implying that CIA is linked to the
328	moisture availability in the study area. Baumann et al. (2014) showed that weathering
329	processes on the TP are directly linked to climatic gradients and pedogenesis and reflected
330	by CIA. High Mg/Ca ratios after 14.5 cal ka BP may also indicate the influence of pedogenic
331	minerals (Chamley, 1989). The temporal correlation of MAP and CIA with the beginning of
332	the B/A possibly indicates the formation and erosion of soils. Weakly developed
333	polygenetically formed soils were found on slopes and terraces and occur often in

334 topographic depressions close to rivers and lakes (Kaiser, 2004; Kaiser et al., 2007). The 335 instability of the soil substrates is related to intense precipitation during summer months 336 leading to fluvial erosion and alluvial accumulation (Baumann et al., 2014). According to 337 Shao and Yang (2012), the temporal correlation of weathering indicators and MAP is possibly linked to a changing provenance in relation to the shift of the precipitation zone with the 338 339 onset of moisture climate conditions. A changing provenance can possibly be inferred by 340 rising Y/Al, Al/Rb, Sr/Ba ratios, χ_{LF} values and simultaneously decreasing Al₂O₃, SiO₂, Na₂O 341 and K₂O concentrations, which indicate a different composition of the sediment supply 342 compared to the first half of the Late Glacial. However, a changed provenance within the 343 catchment is rather unlikely, because the catchment area of Lake Naleng is very small and 344 characterized by granite or granodiorite rocks. Possibly due to the increasing precipitation 345 the provenance from discharging streams which orginate on a elevation of 4900 m was 346 changed and led to a changed sediment supply. 347 We therefore assume that the weathering dataset of our record indicate the erosional input 348 of weathering products, which are directly (erosion of soils) or indirectly (changing 349 provenance) linked to changes of MAT and MAP. Furthermore decreasing clay contents 350 suggests a decreasing influence of glaciers in the area. This assumption correlates with the 351 start of the deglaciation with the onset of the B/A period at about 14.7 ka BP (Strasky et al., 352 2009).

From 13.0 to 11.5 cal ka BP an abrupt decrease in MAT (from –2.2 to –5°C) and MAP (from 820 to 650 mm) indicates cooler and dryer climate conditions, which is probably associated with the North Atlantic Younger Dryas (YD) period (Kramer et al., 2010c). This climate deterioration is also reflected in the non-pollen dataset of Lake Naleng by decreasing TOC resulting from a decrease of biological productivity. Given the temperature gradient

- discussed above, the reconstructed MAT suggests that during the YD temperatures were
 ~6°C colder than today and about 2°C colder than in the first part of the Late Glacial.
- 360

361 5.1.2 Early to mid Holocene (900–280 cm, 11.5–5.0 cal ka BP)

362

The onset of the Holocene at Lake Naleng is indicated by a rise in MAT (from –5 to about -0.3°C) and MAP (from 600 to 950 mm). This change is also reflected by incresing TOC and decreasing $\delta^{13}C_{org}$ values which suggest an increase in biological productivity. A change in the origin of organic matter to almost exclusively phytoplankton within the lake was already indicated by the palynological analysis (Kramer et al., 2010b).

All weathering indicators suggest a relatively high input of weathering material, which is probably directly (erosion of soils) or indirectly (changes of the provenance) related to the increased moisture availability during the start of the Holocene. This time period was characterized by alpine meadow and montane forest at Lake Naleng (Kramer et al., 2010b).

- 372 Possibly the increasing supply of weathered material into the lake was triggered by
- increasing fluvial erosion due to intense precipitation, which caused a instability of soils in
- depressions around the lake (Baumann et al., 2014).

Lake systems on the TP are known to be sensitive to moisture changes. The early-mid Holocene time interval was characterized by high lake levels indicated by palaeoshorelines around the lakes (Liu et al., 2013) and fine-grained material settling out within lake basins (Opitz et al., 2012). Although the climate situation in the study area was characterized by relatively high MAP values during the early to mid Holocene, the clay-size fraction shows no

380 distinct variations at Lake Naleng, which suggests relatively stable lake levels. Indications for

381 a higher lake levels like palaeoshorelines around the lake were not found during the field

- 382 campaign. The lack of indications for higher lake levels supports the assumption that Lake
- 383 Naleng was an open-basin lake as today at least since the early Holocene.

MAP and MAT values decreased between 8.3 and 7.3 cal ka BP. MAT dropped to -5° C and MAP to 800 mm during this period indicating climatic deterioration. When allowing for dating uncertainty, this cold and dry period is possibly related to the North Atlantic 8.2 ka event (Kramer et al., 2010c). The cold-dry event is possibly also reflected in the non-pollen data of Lake Naleng. A abrupt drop of TOC corresponds to the MAP and MAT reductions and indicates decreasing biological productivity at about 7.3 cal ka BP. However, relatively stable low $\delta^{13}C_{org}$ values indicate the dominance of planktonic algal remains.

During the cold-dry period between 8.3 and 7.3 cal ka BP decreasing Y/Al and χ_{LF} values, increasing SiO₂ concentrations and coarser material suggest a different composition of the terrestrial sediment input. Possibly, the changing composition can be explained by a more intense aeolian sediment supply into the lake due to drier and colder climate conditions. An increasing input of aeolian material at about 8.2 ka BP is also described for Genggahai Lake on the north-eastern TP (Li et al., 2015).

The abrupt climate deterioration after 8.2 cal ka BP was followed by climate amelioration 397 398 indicated by MAP values above 950 mm and increasing MAT, culminating at about 5.5 cal ka 399 BP and reaching values above 0°C for the first time. The climate amelioration is also 400 reflected by high TOC contents, which suggest a rise of biological productivity. C/N ratios of 401 around 12 suggest input of terrestrial plant material in addition to aquatic material due to the dense vegetation cover within the catchment. Very low $\delta^{13}C_{org}$ values indicate a 402 403 predominating origin of organic matter from planktonic production. The grain-size 404 distributions of the sediment record show only minor changes and suggest enhanced stable 405 open-lake conditions.

406 Mostly all weathering indicators and the χ_{LF} values mirror the increasing moisture availability 407 and show highest values during the mid-Holocene. The increasing input of weathered 408 material suggests that the sediment supply into Lake Naleng was similar to the end of the Late Glacial and the early Holocene directly or indirectly controlled by the increasing 409 410 precipitation. 411 Another dramatic climatic change to cooler and dryer conditions is documented at about 5.0 412 cal ka BP by the abrupt decline of MAT from around 0° C to about -4° C, which was resulting 413 in a downward shift of the treeline (Kramer et al., 2010a). The abrupt cooling occurred 414 probably within a few hundred years and is also seen in an abrupt decline of TOC and $\delta^{13}C_{org}$ 415 values. 416 417 5.1.3 Late Holocene (280–0 cm, 5.0–0.0 cal ka BP)

418

419 MAT increased to about 0.2°C and MAP rose to maximum values of about 1000 mm after 5.0 420 cal ka BP. Increasing TOC also documents this climate period during the early stage of the 421 late Holocene and decreasing C/N ratios point to a slight decrease in terrestrial matter 422 contribution. The increasing $\delta^{13}C_{org}$ values point to a simultaneously decreasing relative 423 contribution of planktonic algae to the lake sediments.

Based on the Lake Naleng pollen record, forest began to decline after 3.4 cal kyr BP. Frequently found grazing indicators such as *Sanguisorba, Rumex,* and Apiaceae are possibly a result of human impact (Kramer et al., 2010c). The change in vegetation correlates with the abrupt decline of χ_{LF} values and Y/Al ratios from about 3.0 to 1.0 cal ka BP, which probably reflects a slowing down of the weathering processes. Since 1.0 ka BP increasing χ_{LF} values, Al/Rb and Y/Al ratios indicate re-initiated erosion processes. Possibly, human impact on the

430 vegetation in the catchment of the lake resulted to a *meadow* and *shrub* vegetation (Kramer

431 et al., 2010c), which possibly caused an increased supply of erosional weathering products.

432 Alpine meadow soil is an important ecosystem component on the TP and degradations due

433 to global climate change, overgrazing, human activities and rodents have enormous

- 434 influence on physical and chemical soil properties (Zeng et al., 2013).
- 435 5.2 Comparison of pollen-based inferences to the non-pollen record from Lake Naleng436
- The high correlation coefficients of MAP and TOC (positively correlated) and MAP and $\delta^{13}C_{org}$ 437 (inversely correlated) of PCA 1 indicate that TOC and $\delta^{13}C_{org}$ are strongly related to the 438 439 moisture availability on the south-eastern TP (Fig. 6). The correlation between MAP and TOC 440 and the low correlation between MAP and MAT suggest that the amount of organic matter is 441 probably linked to the availability of nutrients via fluvial input, which was also assumed by 442 Kramer et al. (2010b). In addition to the biochemical proxies, the weathering indicators 443 Sr/Ba, CIA and Al/Rb are highly correlated with the MAP record (PCA 1, Fig. 6) indicating 444 directly (development of soils) or indirectly (changing provenance) the moisture availability 445 in the area starting at about 14.5 cal ka BP. The increasing alteration pattern is also reflected 446 by a high correlation between CIA and Fe concentrations (PCA 1, r=0.89), which possibly 447 suggests changes in the redox system during the development of soils (Figs. 4, 6).

In contrast to the biogeochemical or weathering proxies, the grain-size record does not show high correlation values with MAP or MAT (Fig. 3). The relatively low statistical significance probably results from the open lake system since at least the end of the Late Glacial and implies that the grain-size record of Lake Naleng only reflects changes within the lake setting and is not directly linked to moisture changes. χ_{LF} values and Y/AI ratios show no distinct

- 453 correlation to MAP or MAT but a relatively high correlation to each other, which suggests
- 454 that both proxies are related to similar processes.
- 455 Excluding the grain-size data, the non-pollen biogeochemical parameters (TOC, C/N, $\delta^{13}C_{org}$)
- 456 and weathering indicators (e.g. CIA and Sr/Ba) from the Lake Naleng record accord well with
- 457 the pollen-based reconstructions of MAP and provide supporting evidence for regional
- 458 climate oscillations on the south-eastern part of the TP. Short-term oscillations are especially
- 459 well reflected by the biogeochemical record.
- 460 The results of sedimentological, biogeochemical, and geochemical analyses in comparison to
- 461 reconstructed MAT and MAP indicate that the multi-proxy dataset from Lake Naleng
- 462 captures both local changes and regional climate signals.
- 463 The comparison of pollen and non-pollen data of the Lake Naleng record shows that a
- 464 combination of sedimentological, biogeochemical, and palaeoecological multi-proxy
- 465 datasets is ultimately necessary to separate local geological and geomorphological signals
- 466 from regional and hemispheric climate signals. Furthermore, the findings suggest that for a
- 467 plateau-wide climatic reconstruction on the basis of different lake archives, similar related
- 468 proxy records have to be considered.
- 469
- 470 5.3 Climatic implications and comparison with other records from the eastern TP
- 471
- 472 5.3.1 Late Glacial
- 473
- 474 Lake Naleng was affected by the direct influence of glaciers between 17.7 and 14.6 cal ka BP.
- ¹⁰Be exposure age determinations of nine erratic boulders from two inner end moraines
- 476 suggest glacier expansions in the study area between 22.2 to 17.6 BP, implying that a glacier

477 covered most of the catchment area including the modern lake basin (Strasky et al., 2009; Fu 478 et al., 2013a). The early Late Glacial age of the basal lake sediments of the recovered core 479 corresponds to the dated glacier advances in the LGM and later on. A similar lake history on 480 the south-eastern TP with the formation of the lake basin through a glacier advance in the 481 LGM and a subsequently high supply of glacier meltwater during the Late Glacial was also 482 proposed for Lake Ximencuo 280 km north-east of Lake Naleng (Zhang and Mischke, 2009).

Reconstructed MAP and MAT at Lake Naleng point to cold and relatively dry conditions with relatively low precipitation rates (400–600 mm) between 17.5 and 14.6 cal ka BP in comparison to today's climate. These reconstructed cold and relatively dry conditions correspond well with other palaeoclimate records from the eastern TP (Herzschuh et al., 2009; 2014).

The reconstructed MAP of 500–600 mm between 17.5 and 14.6 cal ka BP is slightly higher than pollen-based MAP at Lake Yidun (400–500 mm; Shen et al., 2006; Wang et al., 2013), Lake Kuhai (300–400 mm; Wischnewski et al., 2011; Wang et al., 2013), Lake Donggi Cona (200–300 mm; Wang et al, 2013) and Lake Luanhaizi (200–300 mm; Herzschuh et al., 2010; Wang et al., 2013) on the eastern TP. The spatial pattern of decreasing MAP towards the north suggests that climate conditions on the south-eastern TP were slightly wetter than on the north-eastern TP during the Late Glacial.

Relatively moisture climate (MAP at about 800 mm) conditions occurred at the lake between
14.0 and 13.0 cal ka BP, which correlates with the B/A warming period in the North Atlantic
region. The B/A warming is also observed in records from Hulu and Dongge caves in eastern
China (Dykoski et al., 2005; Wang et al., 2008) and is probably associated with the
strengthening of the summer monsoon system and high summer precipitation rates
(Herzschuh et al., 2005).

501 In contrast to the B/A, the following interval between 13.0 to 11.5 cal ka BP is characterized 502 by cooler and dryer conditions indicated by pollen and non-pollen data of the Lake Naleng 503 record. This period is probably associated with the North Atlantic YD cold reversal (Kramer et 504 al., 2010c). The widespread climate event was probably forced through a strengthened 505 westerly circulation in central Europe (Mischke et al., 2010). The YD was inferred from 506 several paleoclimate studies based on lake records from the Tibetan Plateau: e.g. Lake 507 Qinghai (Ji et al., 2005; Shen et al., 2005) or Lake Donggi Cona (Opitz et al., 2012). However, 508 MAP reconstructions from Lake Naleng indicate precipitation rates of about 700 mm during 509 the YD period, which is slightly above precipitation reconstructions for other sites on the 510 eastern TP (Wang et al., 2013). Following the discussion above, this palaeo-precipitation 511 pattern implies that the south-eastern TP experienced more humid conditions during the 512 cold and dry YD in contrast to the north-eastern part of the TP. The cold and dry YD period 513 possibly corresponds to reconstructed glacier advances near Kanding, in the Daxue 514 Mountains (about 165 km farther east), between 14.3 and 12.0 ka BP (Strasky et al., 2007).

515

516 5.3.2 Early to mid Holocene

517

The beginning of the early Holocene at Lake Naleng about 11.5 cal ka BP was associated with increasing temperatures and precipitation rates culminating at about 9.0 cal ka BP. The climate amelioration was probably associated with a strengthened summer monsoon (Kramer et al., 2010c) triggered by the summer insolation maximum (An et al., 2000; Chen et al., 2008). The warming trend during the early and mid Holocene was also identified at other places on the TP (An et al., 2000) and is known as a high-lake level period. Lakes on the Tibetan Plateau were several times larger during the early-mid Holocene than today

according to their relict shorelines (Avouac et al., 1996; Kong et al., 2011; Liu et al., 2013a),
which is also documented for lakes and thermokarst basins on the north-eastern TP (Opitz et
al., 2012, 2013).

528 MAP reconstructions at Lake Naleng indicate higher precipitation rates in comparison to 529 other lakes on the north-eastern TP – Lake Yidun (500–600 mm; Shen et al., 2006; Wang et 530 al., 2013), Lake Kuhai (400-450 mm; Wischnewski et al., 2011; Wang et al., 2013), Lake 531 Donggi Cona (300–350 mm; Wang et al., 2013) and Lake Luanhaizi (400–500 mm; Herzschuh 532 et al., 2010; Wang et al., 2013). The northward decrease of MAP is possibly related to spatial 533 climate differences and diminished moisture availability by a weaker influence of the Asian 534 monsoonal system towards the more continental interior of the TP. However, further 535 investigations of palaeoclimate archives are required to assess spatial differences of MAP 536 during the early to mid Holocene.

537 The recorded cold period between 8.3 and 7.5 cal ka BP at Lake Naleng correlates with monsoonal signals derived from the Guliya ice-core and the Dongge cave speleothem 538 539 records (Thompson, 2000; Dykoski et al., 2005) and probably represents the 8.2 ka event. 540 This cold and dry event is reported from several sites on the TP: lakes Qinghai at 8.2 ka, 541 Ximencuo at 8.3 ka BP, Koucha at 8.5 ka BP, Zigetang during 8.7-8.3 ka BP, Cuoe during 8.8-542 8.6 ka BP, and from the Hongyuan peat during 8.3–7.8 ka BP (Shen et al., 2005; Herzschuh et 543 al., 2006; Wu et al., 2006; Yu et al., 2006; Mischke et al., 2008; Zhang and Mischke, 2009). 544 Because of the temporal correlation of the inferred climate reversal around 8.2 ka BP it has 545 already been assumed that this cold event is the result of a linkage between the North 546 Atlantic realm and the Tibetan Plateau by a simultaneously acting trigger mechanism (Zhang 547 and Mischke 2009; Liu et al., 2013a).

548

570

551 After the climate amelioration and its culmination at about 5.5 cal ka BP, another cold period 552 was inferred from the pollen and non-pollen Lake Naleng records. According to Morrill et al. 553 (2003), this cold event which was recorded between 5.0 and 4.5 cal ka BP was apparently 554 widespread across central Asia and was also inferred from Lake Donggi Cona and adjacent 555 thermokarst lakes (Opitz et al., 2012, 2013), Lake Ximencuo (Zhang and Mischke, 2009) and 556 Lake Bangong (Van Campo and Gasse, 1993; Gasse et al., 1996) on the TP. Within dating 557 uncertainty, this cold event is also referred to as the "4.2 event" (Yu et al., 2000, 2009). 558 Cooling after 5.0 cal ka BP can be regarded as a widespread climate event in eastern and central to southern Asia (Yu et al., 2009; Mischke and Zhang, 2010), and the Indian 559 560 subcontinent (Staubwasser et al., 2003). This incipient cold period might have resulted in 561 significant aridification and the collapse of 'Old World' cultures (Yu et al., 2000) and ancient 562 civilizations in central China (deMenocal, 2001; Wu and Liu, 2004; An et al., 2005; Shao et al., 563 2006; Mischke and Zhang, 2010). A possible explanation for the cold and dry period 564 beginning at about 5.0 cal ka BP is the insolation-driven threshold-type weakening of the 565 summer monsoon (Berger and Loutre, 1991; Overpeck et al., 1996; Wu and Liu, 2004). 566 From 5.0 to 0.0 cal ka BP Lake Naleng was similar to the early-mid Holocene affected 567 relatively high reconstructed MAT (-2-2°C) and MAP (around 800 mm). Reconstructed MAP 568 from Lake Yidun (around 700 mm; Shen et al., 2006; Wang et al., 2013), Lake Kuhai (400–450 569 mm; Wischnewski et al., 2011; Wang et al., 2013), Lake Donggi Cona (around 300 mm; Wang

571 indicate a trend towards dryer conditions from south to north on the eastern part of the TP.

et al., 2013) and Lake Luanhaizi (around 300 mm; Herzschuh et al., 2010; Wang et al., 2013)

572 This trend can be probably explained by the diminishing influence of the Asian monsoon in

573 the northern part of the TP due to the increased continentality. However, further multiproxy 574 studies are needed to decipher the complex relationships of Holocene moisture and 575 temperature change on the eastern TP and its impact on the environment.

576 6. Conclusions

- 577
- 578 Our record from Lake Naleng from the south-eastern TP shows that during the Late Glacial
- 579 from 17.7 to 14.0 cal ka BP the lake was affected by relatively cold and dry climate
- 580 conditions (MAP: about 600 mm and MAT about –3°C) and low biological productivity.
- 581 Further the supply of unaltered fine-grained material due to the supply of glacier milk
- 582 indicates a sensitive glacier response.
- 583 The climate changed abruptly during the second half of the Late Glacial. MAT and MAP
- 584 increased (from –4 to –2.2°C and from 500 mm to 820 mm, respectively) between 14.0 and
- 585 13.0 cal ka BP and led to generally warmer and wetter climate conditions. This time interval
- 586 can be correlated to the Bølling/Allerød (B/A) warming period in the North Atlantic region
- 587 and is followed by the Younger Dryas cold reversal indicated by abrupt decreases of MAT
- 588 (from –2.2 to –5°C) and MAP (from 820 to 650 mm).
- 589 The onset of the Holocene at about 11.5 cal ka BP is indicated by rises in reconstructed MAT
- 590 (from –5 to about –0.3°C) and MAP (from 600 mm to 950 mm), higher biological productivity
- 591 and the increased supply of weathered material. MAT increased to about 0.2°C and MAP
- rose to maximum values of about 1000 mm between 5.0 and 3.0 cal ka BP, followed by
- 593 slightly decreasing MAT and MAP between 3.0 and 0 cal ka BP. In comparison to other Late
- 594 Glacial records from the eastern TP, MAP reconstructions from Lake Naleng indicate wetter
- 595 climate conditions in the south-eastern part of the TP and dryer conditions farther away
- 596 **from moisture sources.**

597	Our comparison of a newly available sedimentological and biogeochemical record with
598	palynological reconstructions of variations in MAP and MAT from Lake Naleng shows tha
599	the biogeochemical dataset (TOC, C/N, $\delta^{13}C_{org}$) is strongly related to moisture availability and
600	shows concomitant evidence for regional climate oscillations on the south-eastern part o
601	the TP. The weathering indicators (e.g. CIA and Sr/Ba) are highly correlated to MAP and
602	reflect general moisture changes on the TP since the Late Glacial. The input of weathered
603	material is either related to pedogenesis or linked to different supply areas in relation to
604	changed climate conditions.
605	Our study demonstrates how a palaeoenvironmental study can benefit from
606	methodologically different parameters. The study has shown that a multi-proxy record
607	based on sedimentological, biogeochemical, and palynological data is ultimately necessary
608	to reconstruct the lake development, environmental conditions and climatic changes
609	However, further investigation on erosional processes in relation to vegetation density is
610	necessary to gain deeper insights into the landscape development on the south-eastern TI
611	since the Late Glacial. Furthermore, we were not able to decipher the human impact on the
612	catchment (e.g vegetation density) sufficiently during the late Holocene. Thus, the
613	investigation of effects of human activity like overgrazing on the sensitive landscape on the
614	TP is still an important challenge for future palaeoenvironmental studies.
615	

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937 Figure Captions:

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939 Fig. 1 Satellite image of Lake Naleng (31.10°N, 99.75°E) located on the south-eastern Tibetan 940 Plateau about 4200 m asl (Landsat 8 scene from May 2013, Band 8 panchromatic, source: http://earthexplorer.usgs.gov). Numbers one to nine indicate sampling points for ¹⁰Be 941 942 surface exposure dating from erratic boulders from inner, middle, lateral, and outer end 943 moraines. The calculated erosion corrected ages for the separate sampling points are : 1. 944 21.6 ± 1.0 ka BP; 2. 21.2 ± 1.0 ka BP; 3. 20.7 ± 0.9 ka BP; 4. 20.1 ± 1.0 ka BP; 5. 17.6 ± 0.7 ka 945 BP; 6. 18.9 ± 0.8 ka BP; 7. 19.5 ± 1.0 ka BP; 8. 17.5 ± 0.7 ka BP; 9. 22.0 ± 0.9 ka BP (Strasky et 946 al., 2009).

947

948 Fig. 2 Lithological overview of the investigated sediment core from Lake Naleng based on

949	Kramer et al. (2010b, c) at left, and total organic carbon (TOC), C/N ratio, $\delta^{13}C_{\text{org}}$ and
950	reconstructed mean annual precipitation (MAP) and mean annual temperature (MAT) based
951	on pollen assemblages of Kramer et al. (2010b; dashed line indicates mean value)
952	
953	Fig. 3 Mean grain size and clay (<2 μm), silt (2–63 μm) and sand (>63 μm) fractions for the
954	sediment core from Lake Naleng.
955	
956	Fig. 4 Weathering parameters (Y/Al; CIA; Sr/Ba; Al/Rb) and low frequency magnetic
957	susceptibility (χ_{LF}) of the Lake Naleng record.
958	
959	Fig. 5 Concentrations of major elements in weight percentage (wt%) and Mg/Ca ratios
960	revealed by XRF analysis for the last 17.7 cal ka BP at Lake Naleng.
961	
962	Fig. 6 Principal component analyses (PCA) biplot of the complete dataset from Lake Naleng.
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Outlow

0 0,5 1

2

3

4 km

99°49'0"E

31°3'40"N



Figure 3 Click here to download high resolution image



Figure 4 Click here to download high resolution image







KML File (for GoogleMaps) Click here to download KML File (for GoogleMaps): Lake Naleng.kmz