



# Rapid shift and millennial-scale variations in Holocene North Pacific Intermediate Water ventilation

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The Pacific hosts the largest oxygen minimum zones (OMZs) in the world ocean, which are thought to intensify and expand under future climate change, with significant consequences for marine ecosystems, biogeochemical cycles, and fisheries. At present, no deep ventilation occurs in the North Pacific due to a persistent halocline, but relatively better-oxygenated subsurface North Pacific Intermediate Water (NPIW) mitigates OMZ development in lower latitudes. Over the past decades, instrumental data show decreasing oxygenation in NPIW; however, long-term variations in middepth ventilation are potentially large, obscuring anthropogenic influences against millennial-scale natural background shifts. Here, we use paleoceanographic proxy evidence from the Okhotsk Sea, the foremost North Pacific ventilation region, to show that its modern oxygenated pattern is a relatively recent feature, with little to no ventilation before six thousand years ago, constituting an apparent Early–Middle Holocene (EMH) threshold or “tipping point.” Complementary paleomodelling results likewise indicate a warmer, saltier EMH NPIW, different from its modern conditions. During the EMH, the Okhotsk Sea switched from a modern oxygenation source to a sink, through a combination of sea ice loss, higher water temperatures, and remineralization rates, inhibiting ventilation. We estimate a strongly decreased EMH NPIW oxygenation of ~30 to 50%, and increased middepth Pacific nutrient concentrations and carbon storage. Our results (i) imply that under past or future warmer-than-present conditions, oceanic biogeochemical feedback mechanisms may change or even switch direction, and (ii) provide constraints on the high-latitude North Pacific’s influence on mesopelagic ventilation dynamics, with consequences for large oceanic regions.

North Pacific | intermediate water | oxygen minimum zone | stable isotopes | Holocene

Intermediate waters in the subarctic North Pacific marginal seas play a critical role in supplying oxygen (O<sub>2</sub>) to the North Pacific oxygen minimum zone (OMZ) (1). Recent studies point to decreasing O<sub>2</sub> and increasing temperatures of the mesopelagic North Pacific over the past decades (2, 3). The cause of these changes, however, is hard to unambiguously attribute to either anthropogenic influences or long-term natural variability, as the latter occurs on timescales beyond the reach of instrumental datasets. One decisive factor that today prevents the development of more widespread hypoxia is the supply of dissolved O<sub>2</sub> to the mesopelagic water layer via ventilation of subarctic waters (1, 4). In the North Pacific, no ventilation of deep waters occurs (5) due to the existence of a strong halocline, and ventilation of intermediate water is restricted to the marginal seas, as middepth density layers do not outcrop in the open surface ocean (6, 7) (Fig. 1 and *SI Appendix, Fig. S1*). Today, the Okhotsk Sea constitutes the most important region with active ventilation during the wintertime sea ice season, when polynyas open up on the northeastern shelf areas and dense, O<sub>2</sub>-enriched water masses form through brine rejection (8). Subsequently exported to the open North Pacific as Okhotsk Sea Intermediate Water (OSIW), they ventilate the middepth North Pacific (9) and prevent mesopelagic hypoxia occurrence (10–12). As the Okhotsk Sea is a unique region in being the world ocean’s lowermost latitude where seasonal sea ice occurs, it responds with high sensitivity to changes in climate

forcing, with short response times (13). Previous works have been mostly limited to OSIW and North Pacific Intermediate Water (NPIW) changes during recent glacial periods (14, 15). Few studies have addressed paleoenvironmental changes in the Okhotsk Sea over warm episodes such as the Holocene (16, 17). Here, we present high-resolution proxy records for Holocene changes in OSIW ventilation, using stable carbon isotope ratios of the epibenthic foraminifer *Cibicides mundulus*, a species that reliably records the δ<sup>13</sup>C of ΣCO<sub>2</sub> of surrounding bottom water masses (18). Based on our records, we estimate past changes in ventilation and corresponding oxygenation of middepth Pacific water masses during the last 12 ka, with a particular focus on the warmer-than-present Early–Middle Holocene (EMH).

## Results and Discussion

We used a suite of four sediment cores to reconstruct OSIW ventilation (for details, see *SI Appendix, Site Selection – Material and Methods and Figs. S4 and S5*). Gravity core 108 was retrieved from the western Kamchatka continental margin (52°01.330' N, 153°35.006' E; 627 m water depth) in the pathway of inflowing North Pacific water to the formation region of OSIW on the northeastern Okhotsk Sea continental shelf. This core thus records the precursor water mass—Western Subarctic Pacific Water (WSAPW)—delivered via the East Kamchatka Current (Fig. 1). Three sites record changes in newly formed OSIW on the eastern Sakhalin continental margin, along the export pathway into the North Pacific. Site 78 (52°40.388' N, 144°42.203' E; 673 m water depth) and site 4 (51°08.475' N, 145°18.582' E, 674 m water depth) are located within the core layer of OSIW (19, 20). Site 79

## Significance

The North Pacific hosts extensive oxygen minimum zones. Ventilation of North Pacific Intermediate Water mitigates hypoxia in thermocline waters not under influence of ocean–atmosphere processes. Instrumental datasets show recent decadal decreases in O<sub>2</sub>, but millennial-scale natural variations in mesopelagic ventilation might be large and are not understood well. We reconstruct Holocene ventilation changes in a key region (Okhotsk Sea). Modern ventilation and O<sub>2</sub> levels are a relatively recent feature. In the warmer-than-present Early Holocene, middepth O<sub>2</sub> concentrations were 25 to 50% reduced, with significant millennial-scale variations. A sudden ventilation decrease six thousand years ago is linked to higher ocean temperatures, sea ice loss, and higher remineralization, corroborated by results from paleoclimate modeling, providing constraints for future warming scenarios.

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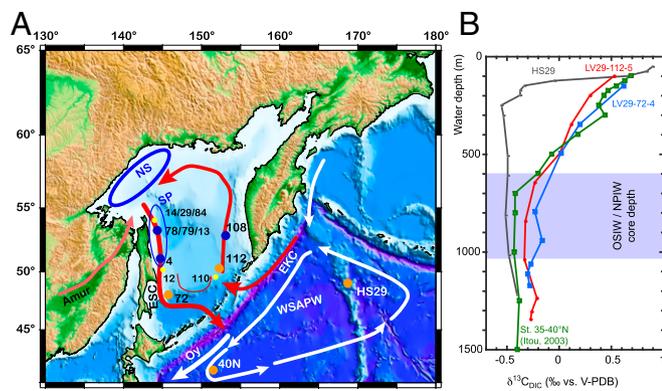
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**Fig. 1.** Study area and regional oceanographic characteristics. (A) Bathymetric map with site locations. EKC, East Kamchatka Current; ESC, East Sakhalin Current; NS, Northern Shelf Polynia; Oy, Oyashio; SP, Sakhalin Polynia. (B) Vertical profiles of modern water  $\delta^{13}\text{C}_{\text{DIC}}$  for the Okhotsk Sea and North Pacific. Station HS29 (black, open circles) records WSAPW before it enters the Okhotsk Sea (14); Station 112 represents intermediate water before transport to ventilation areas on the Northern Shelf (orange, filled circles, this study); and Station 72 monitors OSIW path “downstream” of ventilation area before export into the North Pacific (blue, filled squares, this study). Station 40N (24) represents final water mix in a southerly position near Kuroshio-Oyashio Extension Region (green, open squares), where new NPIW is formed. Note gradient among WSAPW, OSIW before and after ventilation, and resulting change in NPIW (intermediate water core layer: light blue shaded area). Stations 14/29/84, 110, and 12 (yellow dots in A) indicate additional bottom water and surface sediment sample locations used for validation of modern  $\delta^{13}\text{C}$  characteristics of the epibenthic foraminifer *C. mundulus* (SI Appendix, Table S1).

(52°47.272' N, 144°57.318' E; 1,082 m water depth) complements the records with a deeper location at the lower boundary of OSIW. Based on an accelerator mass spectrometry (AMS)  $^{14}\text{C}$ -anchored stratigraphic framework (SI Appendix, Age Models and Stratigraphic Framework and Fig. S2), these cores yield sedimentation rates between 20 and 180 cm/ka, thus allowing for the reconstruction of millennial-scale changes in intermediate water ventilation.

Changes in  $\delta^{13}\text{C}$  for deeper water masses without contact with surface ocean and atmosphere become linearly correlated to regenerated nutrient and  $\text{O}_2$  concentrations (21, 22). While in some oceanic regions, other factors alter this relationship (e.g., air-sea exchange processes, thermodynamic effects, or water mass mixing) (22, 23), in the Okhotsk Sea and North Pacific, the  $\delta^{13}\text{C}$ -nutrient- $\text{O}_2$  relationship has been shown to be relatively simple, and these secondary factors play a less important role (24, 25) under the considerations we follow here (SI Appendix, Figs. S1 and S2). To validate that heavier  $\delta^{13}\text{C}$  signatures represent better-ventilated OSIW compared to WSAPW, we measured water-column  $\delta^{13}\text{C}_{\text{DIC}}$  (dissolved inorganic carbon) depth profiles from two stations near our inflow and outflow locations (Fig. 1). Compared with existing North Pacific data (14, 24), we identified about 0.2 to 0.3‰ higher  $\delta^{13}\text{C}_{\text{DIC}}$  values in the OSIW outflow (Station LV29-72-4) than WSAPW mean values of about -0.5‰ (Station HS29, Fig. 1B), corroborating our use of  $\delta^{13}\text{C}$  as proxy for mid-depth water mass ventilation (see SI Appendix, Use of Stable Carbon Isotopes for Assessing OSIW/NPIW Ventilation).

Before using down-core records for our OSIW reconstructions, we also tested the assumption that epibenthic foraminifera of the genus *C. mundulus* are reliably recording  $\delta^{13}\text{C}$  of bottom water DIC and do not incorporate unidentified offsets as reported from other oceanic regions and time intervals (18). Our results from eight undisturbed sediment surface sites with corresponding bottom water  $\delta^{13}\text{C}_{\text{DIC}}$  measurements within the Okhotsk Sea show

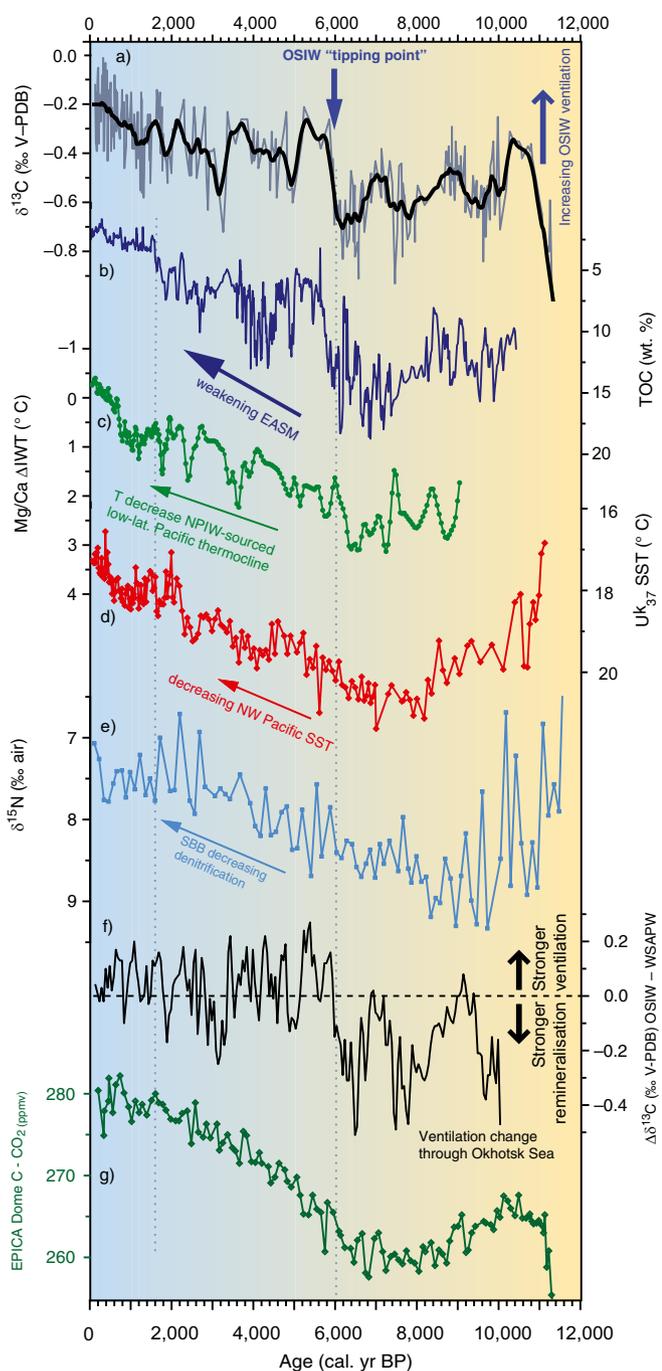
that the  $\delta^{13}\text{C}$  of live (rose bengal-stained) *C. mundulus* mirrors the  $\delta^{13}\text{C}$  of bottom waters within  $\sim 0.1\text{‰}$ , which is within commonly accepted values for intrasample variability in benthic foraminifera (SI Appendix, Table S1).

**A Middle-Holocene Threshold in OSIW Ventilation.** The Sakhalin margin cores that monitor OSIW (sites 4, 78, and 79) are characterized by high-amplitude variations throughout the Holocene, exceeding glacial-interglacial changes in Pacific Deep Water ventilation (14), with values between  $-0.8\text{‰}$  and  $-0.1\text{‰}$  and a close resemblance between single cores. Sample resolution in the deeper core 79 is slightly worse due to the partial absence of *Cibicides* species from the benthic fauna; however, core 79's overall  $\delta^{13}\text{C}$  pattern matches that of the other cores. In contrast, the Kamchatka margin core 108, representing WSAPW inflow, shows  $\delta^{13}\text{C}$  values ranging mostly between  $-0.2\text{‰}$  and  $-0.5\text{‰}$ , similar to modern values (25) but with no discernible long-term trend over the Holocene (Fig. 2B). For better comparison hereafter, we discuss principal characteristics of OSIW based on a combined record (named C-OSIW) of the three outflow, or “downstream” sites representing the OSIW (sites 4, 78, and 79) (Figs. 2 C–E and 3A). We stacked individual records on their own age models into one record and added a 25-point smoothing to the original data to highlight millennial-scale changes (Fig. 3A).

Our C-OSIW  $\delta^{13}\text{C}$  record shows a subdivision of the Holocene into two periods that have differing ventilation patterns (Figs. 2 and 3A). The EMH (11 to 6 ka) exhibits significantly lower than modern  $\delta^{13}\text{C}$  values (Figs. 2 and 3A), suggesting persistent ventilation minima in OSIW. In contrast, Late Holocene (LH) (0–6 ka) ventilation increases after a sudden shift around 6 ka (Fig. 2 C and D) toward modern conditions, featuring a principal Middle-Holocene threshold (or “tipping point”) in NPIW ventilation. In addition, the LH (Fig. 3A) shows a slight but more gradual increase in the C-OSIW stack toward modern values of  $\delta^{13}\text{C}$  over the last 1 to 2 ka of about  $0.1\text{‰}$  (Figs. 2 C and D and 3A). Therefore, a critical initial observation of this C-OSIW ventilation reconstruction is that the subarctic North Pacific middepth water layer rapidly changed its characteristics during the Middle Holocene around 6 ka toward the present-day OSIW and the connected mesopelagic North Pacific ventilation prevalence. For a better comparison between the OSIW outflow site (cores 4, 78, and 79) and the WSAPW inflow site (core 108), we subtracted the latter from our C-OSIW record to create a  $\Delta\delta^{13}\text{C}$  time series (Fig. 3F), after resampling our data in evenly spaced 50-y intervals. Our  $\Delta\delta^{13}\text{C}$  data (Fig. 3F) reveal that OSIW ventilation decreased below values of its precursor WSAPW before the 6-ka shift. This negative OSIW–WSAPW gradient (Fig. 3F) implies that OSIW export into the North Pacific was, in effect, not actively ventilated in the Okhotsk Sea before 6 ka.

We assume that under Holocene boundary conditions, the principles of OSIW formation through seasonal sea ice, polynya-induced brine rejection, and mixing between surface waters and WSAPW remained essentially similar. However, apparently, the intensity of mixing well-ventilated waters into middepth levels must have increased after the Middle Holocene toward the modern setting. Other processes like changed air-sea exchange or simple source water mass variations (e.g., through higher contributions of Pacific Deep Water or mixed layer water, respectively) cannot fully explain the large observed changes in absolute  $\delta^{13}\text{C}$  values on millennial timescales, and particularly the EMH negative  $\Delta\delta^{13}\text{C}$  gradient (SI Appendix, Fig. S10). Earlier qualitative multiproxy studies of nearby sites in the Okhotsk Sea (17) showed oceanographic reorganizations around 6 ka. In those results, independent evidence from microfossil assemblages suggested higher mesopelagic productivity with increased microbial biomass and higher organic matter respiration in OSIW before 6 ka (see SI Appendix, Previous Studies Indicating Okhotsk Sea Ventilation Changes), while support for reduced formation of OSIW and intensified OMZ conditions was also found in nearby sites (17, 26, 27). As a result, we





**Fig. 3.** OSIW ventilation dynamics and gradients compared with long-term changes in regional and global climate records. (A)  $\delta^{13}\text{C}_{\text{OSIW}}$  combined record of sites 4, 78, and 79, indicating OSIW ventilation dynamics. Gray line: original data; black thick line: resampled 25-point moving average. (B) Proxy record for intensity of EASM changes in Huguangyan Maar Lake, southern China, as expressed in total organic carbon (TOC) content (30). Note inverted scale for better comparison with OSIW ventilation record. (C) Reconstruction of intermediate water temperature (IWT) (inverted) in the equatorial West Pacific at 500 m water depth, indicative of northern-sourced NPIW reaching the low-latitude thermocline. Calculated changes over the Holocene with reference to the last 100 y, based on Mg/Ca ratios of benthic foraminifera (40). (D) Composite alkenone-based high-resolution SST record from Japan margin (cores MD01-2421, KR02-06\_MC, and KR02-06\_GC) (38). (E) NE Pacific Santa Barbara Basin (SBB)  $\delta^{15}\text{N}_{\text{bulk}}$  record, indicating denitrification and nutrient utilization changes in waters under NPIW influence (55). (F) Ventilation difference between OSIW and WSAPW, expressed as  $\Delta\delta^{13}\text{C}$  between the stacked C-OSIW record and site 108 (this study). Positive values (above dashed “zero” line) denote active ventilation in the Okhotsk Sea; negative

values indicate ventilation loss in the Okhotsk Sea due to remineralization processes and lack of new water mass formation. (G) Atmospheric  $\text{CO}_2$  record from EPICA Dome C ice core record (64).

In the subarctic Pacific, other evidence for Holocene millennial-scale cycles in marine sequences is scarce; however, a southerly located Japan margin SST record (38) also showed 1,500-y cycles in SST anomalies (see original data of ref. 38 in Fig. 3D). A northward propagation of low-latitude warm Kuroshio waters would plausibly explain the strong correspondence between Japan offshore SST records and Okhotsk Sea decreases in ventilation due to warming and salinification of upper ocean water masses (38), as well as the closer coupling between the midlatitude North Pacific and the subarctic Okhotsk Sea region. For future warming scenarios, such a pattern has been observed in recent modeling results, which indicated an intensification and northward shift of most Western Boundary Currents (45). As the 1,500-y variations are most prominent during the EMH in our records, we presume that during periods of suppressed OSIW ventilation, this modulation via oceanic forcing and the global Meridional Overturning Circulation gains importance.

**High-Latitude Mesopelagic Oxygenation and Remineralization Changes: Effects and Feedbacks.** In principle, benthic  $\delta^{13}\text{C}$  may, in addition, provide qualitative information on past baseline variations of bottom water oxygenation (46, 47) (see *SI Appendix, Estimation of Past Oxygenation Based on  $\delta^{13}\text{C}$  Isotope Values*). However, because  $\text{O}_2$  in seawater equilibrates with the atmosphere almost instantaneously (i.e., on timescales of a year or less), whereas DIC and its carbon isotopes react on timescales of a decade [i.e., with about an order of magnitude difference (48)], only long-term, millennial-scale changes can be inferred. Correlation between dissolved water column  $\text{O}_2$  and  $\delta^{13}\text{C}_{\text{DIC}}$  based on water column data from the Okhotsk Sea (*SI Appendix, Figs. S3 and S4*) can thus provide some insight into potential ranges of past Holocene middepth oxygenation levels. When applying this modern relationship between  $\text{O}_2$  and  $\delta^{13}\text{C}$  to assess long-term oxygenation changes for OSIW, the last 0 to 6 ka would broadly range within modern instrumental data, indicating a moderately oxenic environment (i.e., 100 to 200  $\mu\text{mol/L} \pm 50 \mu\text{mol/L}$ ) (2, 3). In contrast,  $\text{O}_2$  estimates for the preceding EMH interval, before the 6-ka threshold, show oxygenation levels that did not reach even the lower range of modern  $\text{O}_2$  concentrations (*SI Appendix, Figs. S3 and S4*). EMH  $\text{O}_2$  values would have mostly yielded just 30 to 50% of modern ranges (50 to 120  $\mu\text{mol/L} \pm 50 \mu\text{mol/L}$ ) and thus imply a substantial reduction in oxygenation of middepth waters. Taken at face value, OSIW oxygen supply would have been significantly reduced in a warmer-than-present EMH, changing the Okhotsk Sea from a modern mesopelagic  $\text{O}_2$  source into an episodically hypoxic sink before 6 ka (*SI Appendix, Fig. S4*), with likely limitations for marine life, OMZ strengthening (49, 50), and altered biogeochemical nutrient cycles (51, 52). This largely qualitative assessment, while not without methodological caveats like most proxy-based assessments of paleooxygenation levels (53, 54), is in agreement with synthesis results indicating an expansion of Early-Holocene OMZs on both a global scale and in the middepth Indo-Pacific (54). Also, independent evidence based on benthic foraminiferal assemblage analyses and multiproxy records recently indicated intensifications of  $\text{O}_2$ -limited conditions in both the subarctic Northwest (27) and the Northeast Pacific, with more dysoxic conditions during the EMH (55, 56) (Fig. 3E).

Modern NPIW provides nutrients, in particular silicate and phosphate, to lower latitudes via the “ocean tunnel” mechanism—that is, the meridional export of entrained nutrients in intermediate

values indicate ventilation loss in the Okhotsk Sea due to remineralization processes and lack of new water mass formation. (G) Atmospheric  $\text{CO}_2$  record from EPICA Dome C ice core record (64).



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