

1 **Smoked cigarette butt leachate impacts survival and behaviour of freshwater**
2 **invertebrates**

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9 **Abstract**

10 Smoked cigarette filters a.k.a. “butts”, composed of plastic (e.g. cellulose acetate) are one of
11 the world’s most common litter items. In response to concerns about plastic pollution,
12 biodegradable cellulose filters are being promoted as an environmentally safe alternative,
13 however, once smoked, both contain toxins which can leach once discarded. The impacts of
14 biodegradable butts as littered items on the receiving environment, in comparison with
15 conventional butts has not yet been assessed. A freshwater mesocosm experiment was used to
16 test the effects of leachate from smoked cellulose acetate versus smoked cellulose filters at a
17 range of concentrations (0, 0.2, 1 and 5 butts L⁻¹) on the mortality and behaviour of four
18 freshwater invertebrates (*Dreissena polymorpha*, *Polycelis nigra*, *Planorbis planorbis* and
19 *Bithynia tentaculata*). Leachate derived from 5 butts L⁻¹ of either type of filter caused 60-100%
20 mortality to all species within 5 days. Leachate derived from 1 butt L⁻¹ of either type resulted
21 in adults being less active than those exposed to no or 0.2 butts L⁻¹ leachate. Cigarette butts,
22 therefore, regardless of their perceived degradability can cause mortality and decreased activity
23 of key freshwater invertebrates and should always be disposed of responsibly.

24 **Key words:** smoking, cigarette butts, leachate, molluscs, platyhelminth.

25 **Capsule:** As litter in enclosed aquatic habitats, conventional and biodegradable cigarette butts
26 have the same effects causing mortality and behavioural changes to invertebrates.

27

28 **1. Introduction**

29 Cigarette butts (used cigarette filters) are the most common form of personal litter worldwide
30 due to the majority (>75%) of smokers littering them after use (Patel et al. 2013). Each year,
31 ~6 trillion cigarettes are smoked globally, possibly resulting in an estimated deposition of ~4.5
32 trillion used cigarette butts in the environment (Novotny and Slaughter 2014). Despite their
33 prevalence as litter in the environment, the effects of cigarette butts on marine, freshwater and
34 terrestrial habitats is still vastly understudied. The majority (~90%) of cigarette filters are
35 composed of cellulose acetate (Pauly et al. 2002), a type of plastic which is not readily
36 biodegradable, but can break down into smaller pieces and persist as microplastics and
37 nanoplastics (Chevalier et al. 2018). Cellulose acetate itself can cause environmental impacts
38 as litter, with some studies finding that even unsmoked plastic filters can cause a detrimental
39 effect on the receiving ecosystem, for example, decreasing plant growth (Green et al. 2019)
40 causing mortality to fish (Slaughter et al. 2011) and amphibians (Lawal and Ologundudu 2013).
41 In response to concerns about plastic, alternative materials, including pure, unbleached
42 cellulose, are being promoted for use in cigarette filters instead of cellulose acetate plastic.
43 These alternative filters have been described as “green”, “biodegradable” and “environmentally
44 friendly” giving the impression that these items would be benign as litter (Amos et al. 2017).
45 There is, however, no research providing evidence of their level of toxicity as litter items nor
46 any research comparing their effects with that of the cellulose acetate butts.

47 As litter, cigarette butts present a unique combination of physical and chemical contamination.
48 Once smoked, cigarette butts contain thousands of chemicals including nicotine, polycyclic
49 aromatic hydrocarbons and heavy metals which, once entering an aquatic environment, can

50 leach out into the surrounding water (Moerman and Potts 2011; Roder Green et al. 2014;
51 Dobaradaran et al. 2019). Such leachates are likely to pose a greater threat to lotic habitats that
52 can have slow rates of water turnover such as ponds, low energy streams or rockpools than to
53 habitats where the rate of water replacement is rapid (e.g. the ocean and in fast flowing streams
54 and rivers). Indeed, leachate from smoked cigarette butts can be lethal for freshwater organisms
55 such as microalgae, including *Raphidocelis subcapitata* (Bonanomi et al. 2020), water fleas,
56 including *Ceriodaphnia dubia* (Warne et al. 2002, Micevska et al. 2006), *Daphnia magna*
57 (Register 2000), fish including *Pimephales promelas* (Slaughter et al. 2011) and amphibians
58 including *Hymenochirus curtipes* and *Clarias gariepinus* (Lawal and Ologundudu 2013).
59 Although mortality often occurs at high concentrations of cigarette butt leachate (> 1 butt L⁻¹),
60 sublethal impacts at lower, more environmentally realistic concentrations (<0.2 butts L⁻¹) have
61 been observed, including mutagenic effects (Montalvão et al. 2019), developmental retardation
62 (Lee and Lee 2015, Parker and Rayburn 2017) and alterations to behaviour (Booth et al. 2015;
63 Wright et al. 2015). Such sublethal effects are often overlooked by policymakers, but may
64 invoke important cascading ecological effects (Relyea and Hoverman 2006).

65 To explore toxicological effects of leachate from smoked cigarette butts at incremental
66 concentrations, four different aquatic invertebrate species were studied in a controlled
67 environment. The selected organisms included *Dreissena polymorpha* (Pallas 1771) (zebra
68 mussel), *Polycelis nigra* (Müller 1774) (a flatworm), *Planorbis planorbis* (Linnaeus 1758)
69 (ramshorn snail) and *Bithynia tentaculata* (Linnaeus 1758) (faucet snail). These were chosen
70 as model organisms as each are commonly found in pond ecosystems across Europe and the
71 UK and fulfil a range of ecosystem functions (as e.g. detritivores, grazers, filter feeders,
72 predators and prey organisms). Here, lethal (mortality) and sublethal (behaviour) effects were
73 measured in response to leachate derived from smoked cigarettes with either conventional
74 cellulose acetate filters or biodegradable cellulose filters. The hypothesis tested was that

75 alternative, cellulose cigarette butts would not cause the same lethal and sublethal effects as
76 conventional, cellulose acetate cigarette butts on the aquatic invertebrates.

77

78 **2. Materials and methods**

79 *2.1. Preparation of leachate from smoked cigarette filters*

80 Cigarettes were rolled manually using standard cigarette papers to an average (\pm S.E.) of 0.543
81 \pm 0.002 g per cigarette of a leading brand of tobacco in the UK, with either a cellulose acetate
82 or a cellulose (unbleached) filter. All cigarettes were smoked using a hand-operated vacuum
83 pump with silicone tubing attached to the filter of the cigarettes. After lighting, approximately
84 30 (\pm 1) ml of air was drawn in, simulating a draft and each cigarette was smoked for a total
85 inhalation volume of \sim 600 ml per cigarette, thereby emulating a similar total inhalation volume
86 of cigarettes smoked by humans (585 ± 245) ml; McBride et al. 1984). Cigarettes were smoked
87 until 2 mm from the edge of the filter and stubbed out in an aluminium tray. Any remaining
88 tobacco was removed, leaving the filter with the cigarette paper attached. A stock solution of
89 leachate from each type of filter used (cellulose and cellulose acetate) was prepared separately
90 by soaking 14 smoked butts in 1 L of fresh, filtered (20 μ m) rainwater obtained from an
91 artificial pond in glass volumetric flasks and gently agitating (100 rpm) on an orbital shaker
92 for 18 h at room temperature (\sim 18 $^{\circ}$ C). Rainwater was chosen to represent how cigarettes butts
93 may experience leaching when exposed to precipitation in the environment. Furthermore,
94 rainwater resembles pond water more closely than media such as distilled water. Rainwater has
95 been shown to also leach potential contaminants from cigarette butts (e.g. Koutela et al. 2020).

96 *2.2. Mesocosm set-up and experimental design*

97 The experiment was carried out in a temperature and light controlled facility at the Portaferry
98 Marine Laboratory with a 12/12 h light/dark cycle. Mesocosms were set up in the laboratory,

99 using conical glasses (86 mm diameter at top, 65 mm diameter at bottom) that were filled with
100 rainwater (400 ml), extracted from the same artificial pond as the test organisms, and left to
101 settle without any added leachate for 24 h before the experimental exposures were initiated.
102 On day 1 (19th March, 2020) of the experiment, treatments were randomly assigned to
103 mesocosms and corresponding leachate was added by removing the required volume of water
104 and substituting with 5.7, 28.6 or 142.8 ml of stock leachate representing incremental
105 concentrations based on 0.2, 1 or 5 smoked butts L⁻¹ of either cellulose or cellulose acetate
106 smoked filters. The experimental organisms including *D. polymorpha*, *P. nigra*, *P. planorbis*
107 and *B. tentaculata* were harvested using a net from an artificial pond (1.4 x 2.1 x 0.9 m). One
108 individual of each species was added to each mesocosm along with five *B. tentaculata* juveniles
109 thereby creating representative communities of similar densities to those found in the sampled
110 pond (Table S1). A treatment with no added leachate served as a control. Therefore, the
111 experiment consisted of an asymmetric design with 2 fixed factors; “Butts” (2 levels; cellulose
112 versus cellulose acetate filters) and “Concentration” (3 levels; 0.2, 1 and 5 butts L⁻¹ added as
113 leachate). Each treatment was replicated using 5 separate mesocosms (n = 5, N = 35) (Figure
114 1). Water temperature within the mesocosms had an average pH of 8.13 (± 0.02), salinity <
115 0.05 ppt and was maintained at 15 (± 0.42) °C throughout the experiment.

116 The experiment was repeatedly sampled every 24 h for a total of 120 h. At each sampling
117 occasion, mortality was recorded and a number of behavioural observations were recorded into
118 categories including (i) filtering or (ii) closed for the bivalves, (i) moving, (ii) open (antennae
119 and foot extended) or (iii) closed (antennae and foot withdrawn into shell) for the gastropods
120 and (i) moving, (ii) open (body elongated) or (iii) closed (body compressed into a spherical
121 shape) for the flatworms. Observations were made in real time by the same observer each time.
122 Due to the high mortality rate in the 5 butts L⁻¹ treatments, behavioural observations were only
123 recorded for mesocosms exposed to 0, 0.2 or 1 butt L⁻¹.

124

125 2.3. *Statistical analysis*

126 Mortality data was categorised into a mortality scale ranging from 0 to 5 with “0” meaning no
127 mortality at the end of the experiment and “1”, “2”, “3”, “4” and “5” meaning that death
128 occurred after >120, 96, 72, 48 and 24 hours respectively. In this way, the higher the number,
129 the more rapidly the animal died representing a more lethal effect. The survival of juvenile *B.*
130 *tentaculata* was converted to percentage out of 5 which were still alive at each time point.
131 Mortality and juvenile survival were analysed using asymmetrical ANOVA (see e.g. Green et
132 al. 2016 for more details) to account for a single set of control units for the two experimental
133 levels Butt and Concentration. The survival of juvenile *B. tentaculata* was analysed separately
134 for each time point to avoid complications involved with repeated measures. Univariate data
135 were screened for normality and homogeneity of variance to check assumptions of ANOVA
136 and any necessary transformations are where appropriate. Statistical analyses were done using
137 R V.3.6.2 (R Core Team 2019).

138 To test effects of leachate on the behaviour over the duration of the experiment, the behavioural
139 data over the course of the 5 days was pooled and analysed mirroring the univariate analysis
140 except with only 2 levels of leachate concentration (0.1 and 1 butt L⁻¹) instead of three due to
141 the removal of the 5 butts L⁻¹ treatment. Multivariate ANOVA was done on Bray-Curtis
142 dissimilarities of untransformed data with 9999 permutations under the reduced model using
143 Type I SS using the vegan package v2.5-2 (Oksanen et al. 2019). The asymmetric analysis was
144 done by fitting each main effect (‘Butt’ and ‘Concentration’) in turn with a Type I (sequential)
145 SS model, swapping the order of the terms and combining the results of these 2 analyses. The
146 multivariate behaviour data were visualised using a non-metric multidimensional scaling
147 ordination approach reflecting the dissimilarity matrix used for the PERMANOVA with
148 variables with a Pearson’s correlation $R > 0.6$ overlain as vectors. SIMPER was used to

149 elucidate which behaviours were driving the significant differences between treatments
150 (contributing >5% to the dissimilarity) found by PERMANOVA analysis. Note that
151 behavioural data is a sublethal response variable so data from either of the 5 butts L⁻¹ treatments
152 was omitted since there was a high instance of mortality in these treatments. The nMDS and
153 the SIMPER analyses were generated using Primer V6.1.13 (PRIMER-e, Plymouth, UK).

154

155 **3. Results**

156 *3.1. Effects of leachate from smoked cigarette butts on mortality of aquatic invertebrates.*

157 At 5 butts L⁻¹ most of *D. polymorpha*, *P. planorbis*, *B. tentaculta* and *P. nigra* died after 72
158 hours of exposure on average (Figure 1), which was significantly (Table 1) different from
159 mesocosms treated with 1 butt L⁻¹ (Concentration [5 vs 1 butt L⁻¹]: P < 0.001), 0.2 butt L⁻¹
160 (Concentration [5 vs 0.2 butt L⁻¹]: P < 0.001) or mesocosm with no leachate (Concentration [5
161 butt L⁻¹ vs control]: P < 0.001). There was no significant difference between survival of the test
162 organisms based on leachate derived from cellulose versus cellulose acetate butts (Table 1).

163 Significantly fewer juvenile *B. tentaculata* survived in mesocosms with either 5 butts L⁻¹ of
164 cellulose acetate or cellulose butts compared with controls with less than 20% surviving even
165 after just 24 h (Table 1, Concentration [Control vs 5 cellulose butts L⁻¹]: P < 0.001,
166 Concentration [control vs 5 cellulose acetate butts L⁻¹]: P < 0.001 for each time point). After
167 48 and 72 h, survival with 1 cellulose acetate butt L⁻¹ was ~50% which was significantly lower
168 than in the Controls (Control vs 1 cellulose acetate butt L⁻¹: P < 0.001 at 48 and 72 h). At the
169 same time points (48 and 72 h) 1 cellulose butt L⁻¹ did not have a significant effect on survival
170 (Control vs 1 cellulose butt L⁻¹: P = 0.690). After 120 h, however, there were no differences
171 between cellulose acetate and cellulose butts and 1 butt L⁻¹ of either type caused survival to
172 drop to ~30% (Table 1, Figure 2). In addition, by 120 h, survival decreased with increasing
173 concentration of leachate with 100% survival at 0.2 butts L⁻¹, ~30% at 1 butt L⁻¹ and <5% at 5

174 butts L⁻¹ (post-hoc tests for concentration at 120 h; 0.2 vs 1: P < 0.001, 0.2 vs 5: P < 0.001 and
175 1 vs 5: P < 0.001).

176

177 *3.2. Sub-lethal effects of leachate from smoked cigarette butts on aquatic invertebrates.*

178 Behaviour of the surviving individuals did not significantly differ (Figure 3) regardless of the
179 source of the leachate (Butt [cellulose vs cellulose acetate], P = 0.458). The concentration of
180 leachate, however, did significantly alter patterns of behaviour. In particular, the mesocosms
181 exposed to 1 butt L⁻¹ exhibited different types of behaviour compared to those in mesocosms
182 with 0.2 butts L⁻¹ or no leachate (Concentration [control vs 1 butt L⁻¹]: P < 0.003 and [0.2 vs 1
183 butt L⁻¹]: P = 0.002). These differences were mostly due to a greater occurrence of movement
184 or filtering in the case of *D. polymorpha* (accounting for ~40% of the variation in the
185 multivariate pattern), and less occurrence of being in a closed state (accounting for ~38% of
186 the variation in the multivariate pattern), of all four species in mesocosms without leachate or
187 with 0.2 butts L⁻¹ leachate compared with those in leachate from 1 butt L⁻¹ (Figure 3).

188

189 **4. Discussion**

190 Cigarette butt leachate derived from biodegradable (i.e. cellulose) filters was equally as
191 detrimental to freshwater pond invertebrates as leachate derived from conventional (i.e.
192 cellulose acetate) filters. Leachate from 5 butts L⁻¹ derived from either type of butt was lethal
193 to ~60% of adult *P. nigra*, *P. planorbis* and *B. tentaculata* and to ~40% of adult *D. polymorpha*
194 within 48 hours. This is similar, albeit less lethal, to the results of Booth et al. (2015) who found
195 100% mortality of two species of marine gastropod (*Austrocochlea porcata* and *Nerita*
196 *atramentosa*) after 24 hours of continuous exposure to leachate from 5 butts L⁻¹, but 100%
197 mortality of a third species (*Bembecium nanum*) did not occur until 150 hours.

198 In the current study, mortality of adults was low at exposure to leachate from 1 butt L⁻¹
199 equivalent and no animals died during the experimental period in mesocosms with no or just
200 0.2 butts L⁻¹ equivalent of leachate. Juvenile *B. tentaculata*, however, were more sensitive to 1
201 butt L⁻¹ than their adult counterparts with only ~30% of juveniles surviving after 120 h of
202 exposure versus ~80% of adults. This is not surprising given that early life stages of
203 invertebrates are typically more sensitive to toxicants and hence are often prioritised for use in
204 ecotoxicological studies (Mohammed 2013). For example, early life stage (ELS) tests (such as
205 OECD 2018) are widely conducted to estimate toxicity for the registration of industrial
206 chemicals, pesticides, biocides, and pharmaceuticals. Early life stages are also important
207 ecologically because a reduction in successful recruitment can result in changes to population
208 dynamics over the longer term and cause shifts in freshwater biodiversity and ecosystem
209 functioning (Strayer and Malcom 2012).

210 It is important to measure sublethal responses to contaminants as these may be ecologically
211 important, for example, movement facilitates feeding, predator avoidance, reproduction and
212 migration and so can link effects on individuals to a population level (Bayley et al. 1997). Even
213 though there was little mortality of adults at 1 butt L⁻¹ of leachate, significant alterations to
214 behaviour did occur whereby the test animals were less active. It is likely that this indicates
215 that they were under stress and in the longer-term this may have led to mortality (Rubach et al.
216 2011). In a study by Wright et al (2015), a marine polychaete (*Hediste diversicolor*) was also
217 found to be less active, decreasing burrowing in response to >2 butts L⁻¹ leachate. Alteration
218 to behaviour also occurred in marine gastropods exposed to 1.25 butts L⁻¹, but this differed
219 depending on the species (Booth et al. 2015). Lee and Lee (2015) found contrasting effects at
220 increasing concentrations of cigarette butt leachate, with significantly increased heart rates and
221 accelerated embryonic development at lower concentrations (0.2 - 2 butts L⁻¹), but lower heart
222 rates and suppressed development at high concentrations (5 - 10 butts L⁻¹). In addition,

223 Montalvão et al. (2019) found that freshwater mussels, *Anadontites trapesialis*, exposed to
224 leachate from smoked cigarette butts accumulated heavy metals in their tissues and experienced
225 mutagenetic effects even at low environmentally relevant concentrations (<0.2 butts L^{-1}),
226 although the treatments were pseudo-replicated. Therefore, the response over time to sublethal
227 toxicity may manifest in factors such as reproduction or growth performance, important for
228 population sustainability and warrants further investigation.

229 We currently know very little about how the toxicity of cigarette butts may change over time
230 when in the environment, but recent research indicates that butts continue to exude toxic
231 chemicals into the air at least 1 week after being extinguished (Gong et al. 2020). Furthermore,
232 Bonanomi et al. (2020) found that cellulose acetate cigarette butts remained toxic to the
233 microalga *Raphidocelis subcapitata* after 5 years of degradation in the terrestrial environment.
234 Whether or not cellulose cigarette butts also remain toxic for this length of time is unknown
235 but should be a priority of future work in order to ascertain comparative effects of these
236 different filter materials. International testing standards designed to evaluate the
237 biodegradability of materials for use in cigarette butts do not test biodegradation after smoking,
238 therefore are not environmentally realistic and when smoked, cellulose cigarette butts
239 deposited as litter in the environment can also persist for years (Joly and Coulis 2018).

240

241 **Conclusion**

242 Overall, leachate from either type of butt at 5 butts L^{-1} caused mortality of most of the
243 individuals in the experiment. Additionally, at 1 butt L^{-1} , both types of butt had a lethal effect
244 on juvenile snails and reduced the activity levels of all four species of invertebrate. This
245 emphasises that, once smoked, cigarette filters, biodegradable or not, therefore are likely to
246 have a detrimental effect on the environment due to toxins concentrated from smoking tobacco.

247 Filters manufactured of cellulose, once smoked, can pose the same ecological threat as
248 conventional cellulose acetate butts if they become litter in an enclosed water body such as a
249 lake or pond. Considering their lack of rapid biodegradation in terrestrial habitats and their
250 toxic effects in freshwater habitats, any shift to cellulose cigarette filters should be
251 accompanied with the same plans for their appropriate post-use disposal as those made from
252 cellulose acetate.

253

254 **Acknowledgements**

255 We would like to thank Anglia Ruskin University for granting Dr Green a research sabbatical
256 and Emma Gorman for maintaining laboratory facilities at Portaferry.

257

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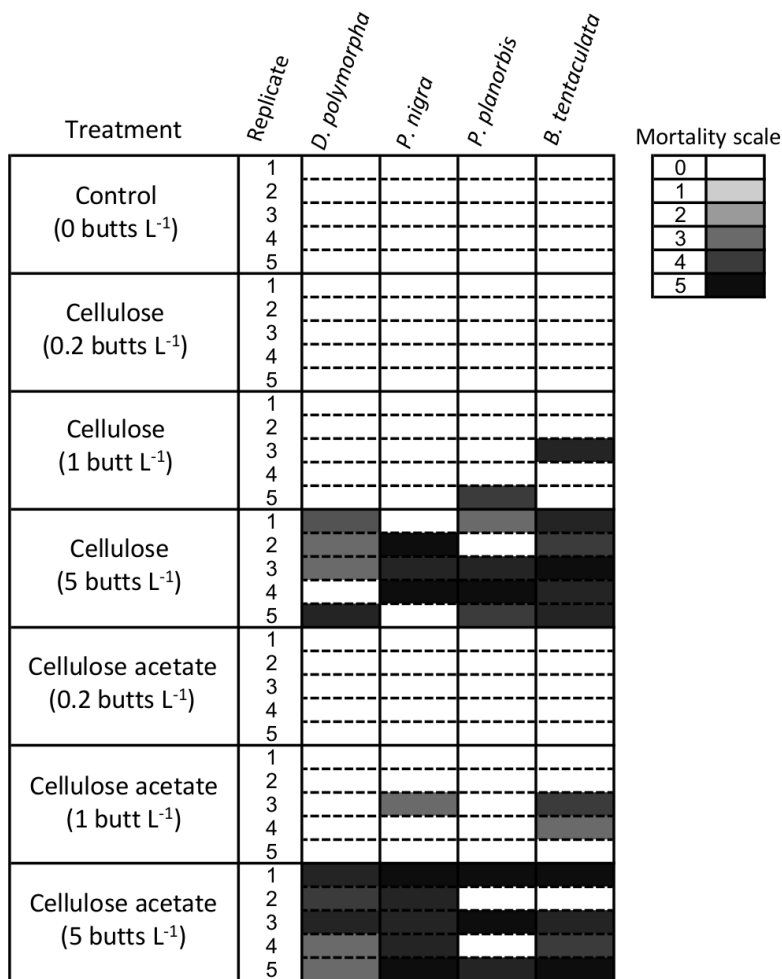
341 **Tables and figures**

342 **Table 1.** Results of asymmetrical ANOVA for (a) the lethality of leachate to each species
 343 throughout the experiment and (b) the survival of juvenile *B. tentaculata* at each time point
 344 (from 24 to 120 h). *d.f.* = degrees of freedom, *F* = F-ratio and *p* = p-value. Significance at $\alpha <$
 345 0.05 and is indicated by values in **bold**.

(a)										
Source of variation	d.f.	<i>D. polymorpha</i>		<i>P. nigra</i>		<i>P. planorbis</i>		<i>B. tentaculata</i>		
		<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	
Treatment (one way)	6	8.63	<0.001	15.72	<0.001	4.47	0.003	10.64	<0.001	
Control vs others	1	1.93	0.175	3.72	0.064	2.27	0.143	5.31	0.029	
Butt (B)	1	1.27	0.297	2.62	0.090	0.07	0.930	0.72	0.497	
Concentration (C)	2	22.37	<0.001	40.85	<0.001	11.97	<0.001	27.82	<0.001	
B x C	2	1.27	0.297	1.85	0.176	0.24	0.791	0.72	0.497	
Residuals	52									

(b)											
Source of variation	d.f.	24 h		48 h		72 h		96 h		120 h	
		<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>
Treatment (one way)	6	10.64	<0.001	39.31	<0.001	54.67	<0.001	50.37	<0.001	35.47	<0.001
Control vs others	1	5.31	0.029	27.53	<0.001	44.16	<0.001	48.26	<0.001	54.40	<0.001
Butt (B)	1	0.72	0.497	2.60	0.092	2.71	0.084	1.13	0.338	0.70	0.504
Concentration (C)	2	27.82	<0.001	96.10	<0.001	132.88	<0.001	122.19	<0.001	76.21	<0.001
B x C	2	0.72	0.497	5.47	0.010	6.33	0.005	3.67	0.039	2.29	0.120
Residuals	52										

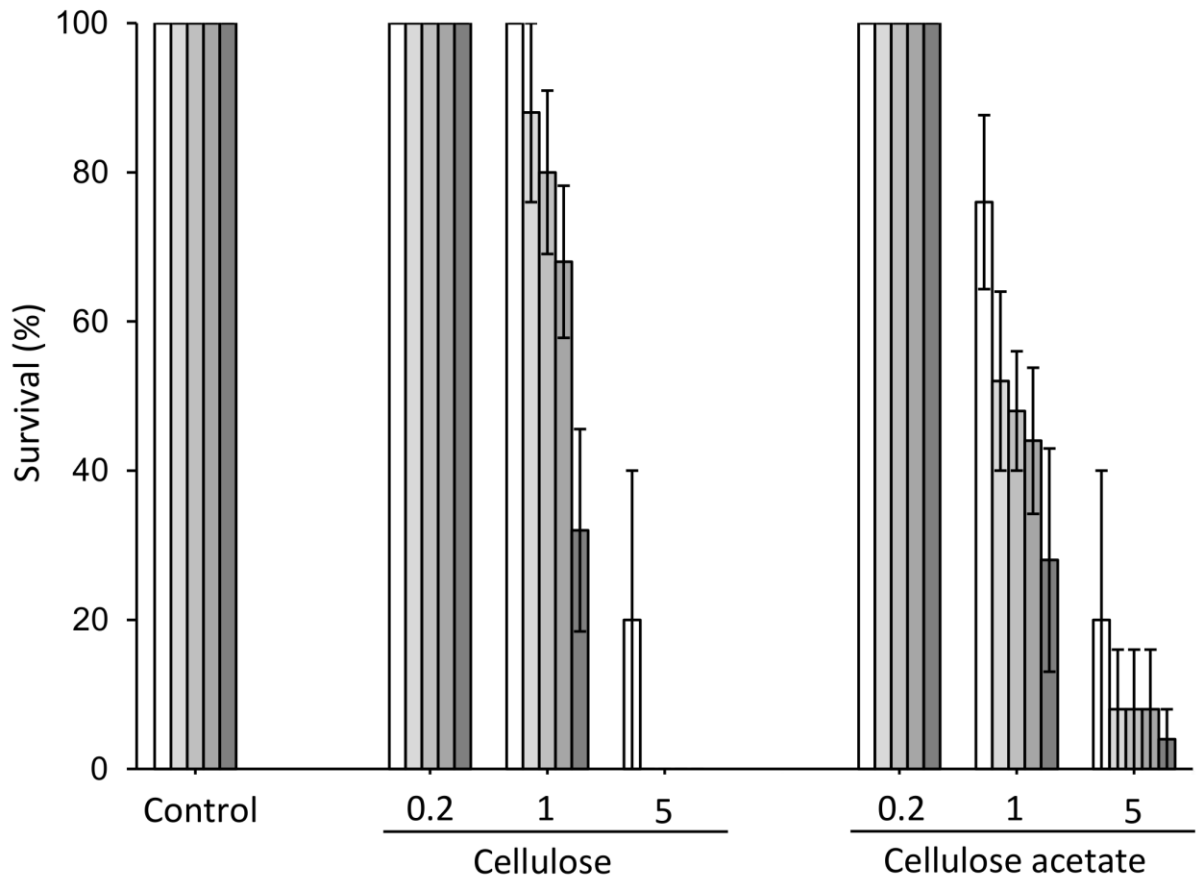
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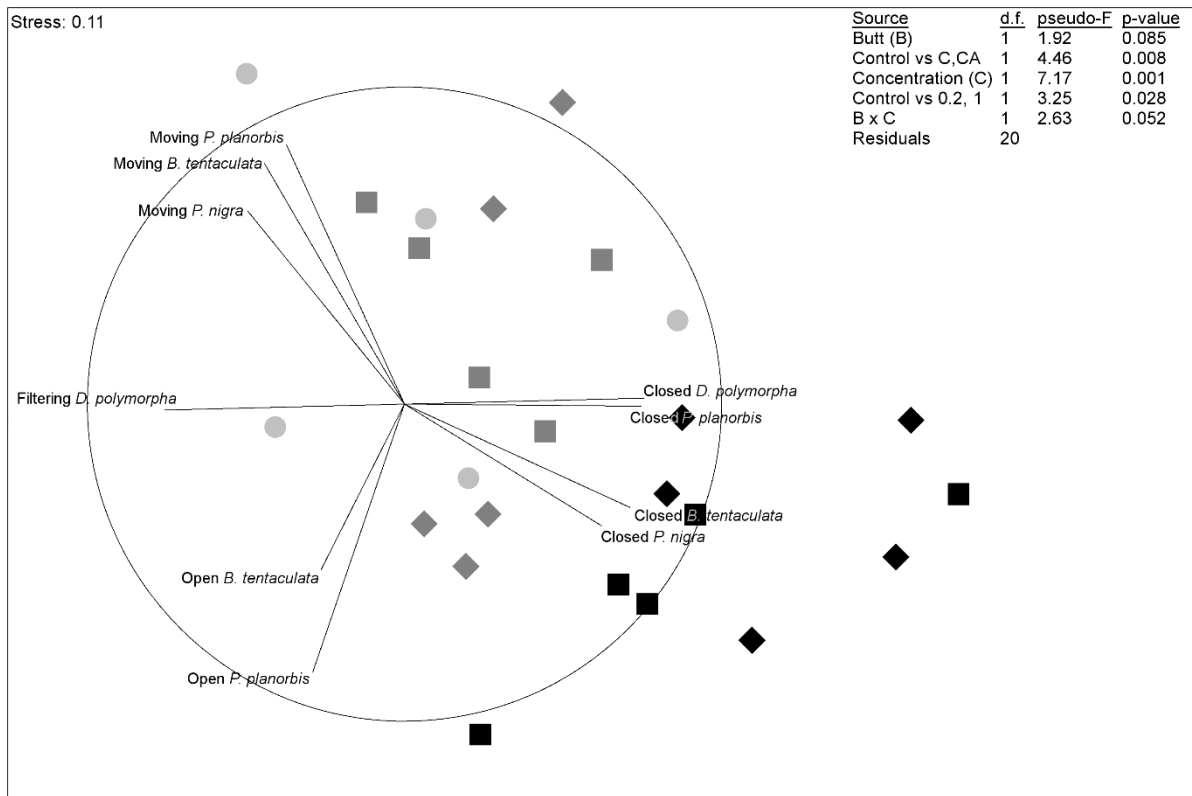
348 **Figure 1.** Heatmap showing the lethality of leachate derived from smoked cigarettes butts
 349 made from either cellulose or cellulose acetate filters on *D. polymorpha*, *P. nigra*, *P. planorbis*
 350 and *B. tentaculata* for each replicate mesocosm. Mortality scale is shown and is based on the
 351 time taken for death to occur, i.e. the darker the cell, the higher the mortality in a replicate
 352 mesocosm, with 0 the least lethal (no deaths within 120 h) and 5 the most lethal (died within 24
 353 h).

354



355

356 **Figure 2.** Survival (%) out of 5 individual juvenile *B. tentaculata* snails in either rainwater
 357 without leachate (Control) and leachate from 0.2, 1 or 5 cellulose, or cellulose acetate butts L⁻
 358 ¹ at 24 (□), 48 (□), 72 (□), 96 (■) and >120 (■) hours of exposure. Data are mean ± SEM, n
 359 = 5.



360

361 **Figure 3.** Non-metric multidimensional scaling diagram of the behaviour exhibited by all
362 species pooled over the 5 days of the experiment exposed to either no leachate (○) or to leachate
363 from 0.2 (◇) or 1 (◆) cellulose butts L⁻¹ or to 0.2 (▣) or 1 (■) cellulose acetate butts L⁻¹.
364 Vectors are overlain for behaviours classifications correlated to the multivariate pattern at $r >$
365 0.6. Included are results of the asymmetric PERMANOVA analysis, with associated pseudo-F
366 values and observed p-values based on 9999 permutations of the data.